# Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

# Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

► Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2019

	Administration											
Pension Benefit Guaranty Corporation		_			This Form is Open to Pu Inspection							
Part I	Annual Report I	dentification Information	n									
For cale	ndar plan year 2019 or fis	cal plan year beginning 01/01/2	2019		and ending 12/31/20	019						
A This return/report is for:    X   a multiemployer plan								ns.)				
		a single-employer plan		a DFE (specify)	)							
<b>B</b> This	return/report is:	the first return/report		the final return/	the final return/report							
		an amended return/report	t	a short plan yea	ar return/report (less than 1	2 months)	)					
C If the	plan is a collectively-barg	gained plan, check here					<b>▶</b> 🔀					
<b>D</b> Chec	k box if filing under:	X Form 5558		automatic exten	sion	the	e DFVC program					
		special extension (enter de	scription)									
Part II	Basic Plan Infor	mation—enter all requested in	nformation									
	ne of plan AILROAD EMPLOYEES N	NATIONAL VISION PLAN				1b	Three-digit plan number (PN) ▶	509				
1c Effective d							Effective date of pla 01/01/1999					
Mail City	ing address (include room or town, state or province	ver, if for a single-employer plan) n, apt., suite no. and street, or P e, country, and ZIP or foreign po	.O. Box)	f foreign, see instru	uctions)	2b	<b>2b</b> Employer Identification Number (EIN) 52-1036399					
NATION	AL CARRIERS' CONFER	ENCE COMMITTEE				2c Plan Sponsor's telepho number 571-336-7600						
	TH STREET, SOUTH, SU FON, VA 22202			H STREET, SOUTH, SUITE 750 DN, VA 22202			2d Business code (see instructions) 482110					
Caution	: A penalty for the late o	or incomplete filing of this retu	ırn/report v	will be assessed ι	ınless reasonable cause i	s establis	shed.					
		ner penalties set forth in the instr vell as the electronic version of t										
SIGN HERE	Filed with authorized/vali	d electronic signature.		10/09/2020	BRENDAN M. BRANON							
HERE	Signature of plan adm	inistrator		Date	Enter name of individual s	igning as	plan administrator					
SIGN												

Date

Date

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Signature of employer/plan sponsor

Signature of DFE

**HERE** 

SIGN HERE

> Form 5500 (2019) v. 190130

Enter name of individual signing as employer or plan sponsor

Enter name of individual signing as DFE

Form 5500 (2019) Page 2 **3b** Administrator's EIN **3a** Plan administrator's name and address 

✓ Same as Plan Sponsor

		3c Administrate number	or's telephone
4	If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan,	4b EIN	
a c	enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:  Sponsor's name  Plan Name	4d PN	
5	Total number of participants at the beginning of the plan year	5	133177
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1), 6a(2), 6b, 6c, and 6d).		
a(	(1) Total number of active participants at the beginning of the plan year	6a(1)	133177
a(	(2) Total number of active participants at the end of the plan year	6a(2)	130981
b	Retired or separated participants receiving benefits	. 6b	
С	Other retired or separated participants entitled to future benefits	. 6c	
d	Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b>	. 6d	130981
е	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	. 6e	
f	Total. Add lines <b>6d</b> and <b>6e</b>	. 6f	
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	. 6g	
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6h	
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	. 7	43
	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes  4E  Plan funding arrangement (check all that apply)  (1)	at apply) insurance contrac	
10	Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number	•	e instructions)
а	A Pension Schedules  (1) R (Retirement Plan Information)  (2) MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary  (3) SB (Single-Employer Defined Benefit Plan Actuarial  (4) C (Service Provide Defined Benefit Plan Actuarial	nation – Small Pla mation) er Information)	,
	Information) - signed by the plan actuary (6) G (Financial Trans	saction Schedules	s)

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

No

Receipt Confirmation Code\_\_\_\_\_

# SCHEDULE A (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## **Insurance Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

#### File as an attachment to Form 5500.

Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2019

This Form is Open to Public Inspection

			LINIOA Section 103(a)(2)	•			inspection	
For calendar plan year 20	19 or fiscal plar	n year beginning 01/01/2019		and en	nding 12/31/201	9		
A Name of plan THE RAILROAD EMPLOY	YEES NATION	AL VISION PLAN			e-digit number (PN)	<b>&gt;</b>	509	
•	Plan sponsor's name as shown on line 2a of Form 5500 NATIONAL CARRIERS' CONFERENCE COMMITTEE				oyer Identification 1036399	Number	(EIN)	
		ning Insurance Contract Individual contracts grouped a						
1 Coverage Information:								
(a) Name of insurance ca	rrier							
# N = N .	(c) NAIC	(d) Contract or	(e) Approximate nu		Po	olicy or c	contract year	
<b>(b)</b> EIN	code	identification number	persons covered at policy or contract		(f) From	1	<b>(g)</b> To	
43-0949844	71870	9859752	366141		01/01/2019		12/31/2019	
2 Insurance fee and communication descending order of the		ation. Enter the total fees and tot	al commissions paid. Li	st in line 3	the agents, broke	rs, and c	other persons in	
(a) Total a	amount of com	missions paid		<b>(b)</b> To	otal amount of fee	s paid		
		0					0	
3 Persons receiving com		ees. (Complete as many entries						
	(a) Name a	nd address of the agent, broker,	, or other person to whor	n commiss	sions or fees were	paid		
(b) Amount of sales ar	nd base	Fee	es and other commissior	ns paid				
commissions pai		(c) Amount		(d) Purpose			(e) Organization code	
	(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid							
(b) Amount of sales and base Fees and other commissions paid								
commissions pai		(c) Amount		(d) Purpose			(e) Organization code	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid						
		Fees and other commissions paid	(e)			
<b>(b)</b> Amount of sales and base commissions paid	(c) Amount	(d) Purpose	Organization code			
(a) Nar	ne and address of the agent, broker	, or other person to whom commissions or fees were paid				
Fees and other commissions paid						
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	<b>(e)</b> Organization code			
commodene para			0000			
(a) Nar	me and address of the agent, broker	r, or other person to whom commissions or fees were paid				
.,						
		Face and other commissions poid	(e)			
(b) Amount of sales and base	(c) Amount	Fees and other commissions paid  (d) Purpose	Organization			
commissions paid	(-)	(-)	code			
(a) Nar	ne and address of the agent broker	r, or other person to whom commissions or fees were paid				
( <b>a)</b> (vai	ne and address of the agent, broker	, of dutel person to whom commissions of rees were paid				
(b) Amount of sales and base	(c) Amount	Fees and other commissions paid  (d) Purpose	<b>(e)</b> Organization			
commissions paid	(c) Amount	(a) i dipose	code			
(a) Nor	no and address of the agent broker	or other person to whom commissions or face were paid				
(a) Nai	ne and address of the agent, broker	, or other person to whom commissions or fees were paid				
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization			
commissions paid	(c) Amount	(d) Purpose	code			

F	Part				
		Where individual contracts are provided, the entire group of such indivithis report.	dual contracts with each car	rier may be treated as a unit	for purposes of
4	Curr	ent value of plan's interest under this contract in the general account at year	end	4	
		ent value of plan's interest under this contract in separate accounts at year er			
_		tracts With Allocated Funds:	•		
	а	State the basis of premium rates			
	b	Premiums paid to carrier		6b	
	С	Premiums due but unpaid at the end of the year		6c	
	d	If the carrier, service, or other organization incurred any specific costs in corretention of the contract or policy, enter amount.			
		Specify nature of costs			
	е	Type of contract: (1) individual policies (2) group deferred	d annuity		
		(3) other (specify)			
	f	If contract purchased, in whole or in part, to distribute benefits from a termin	ating plan, check here		
7	Con	tracts With Unallocated Funds (Do not include portions of these contracts ma	intained in separate account	s)	
	а	Type of contract: (1) deposit administration (2) immedia	te participation guarantee		
		(3) guaranteed investment (4) other			
		(, <u> </u>			
	b	Balance at the end of the previous year		7b	
	C	Additions: (1) Contributions deposited during the year			
		(2) Dividends and credits	7c(2)		
		(3) Interest credited during the year	. 7c(3)		
		(4) Transferred from separate account	7c(4)		
		(5) Other (specify below)	7c(5)		
		<b>&gt;</b>			
		(6)Total additions		7c(6)	0
	d	Total of balance and additions (add lines <b>7b</b> and <b>7c(6)</b> )		— ·- ·	
		Deductions:			
	_		7e(1)		
		(2) Administration charge made by carrier	7e(2)		
		(3) Transferred to separate account	7e(3)		
		(4) Other (specify below)	7e(4)		
		,			
				7-/5	
	,	(5) Total deductions			0
	Ť	Balance at the end of the current year (subtract line 7e(5) from line 7d)		7f	

Р	art	III Welfare Benefit Contract Informa	ition				
		If more than one contract covers the same of					
		the information may be combined for reporti					
_		employees, the entire group of such individu	iai contracts with each ca	irrier may be	treated as a unit for pu	urposes of t	nis report.
8	Ben	efit and contract type (check all applicable boxes)	<u>_</u>	_			_
	а	Health (other than dental or vision)	<b>b</b> Dental	CX	Vision		<b>d</b> Life insurance
	е	Temporary disability (accident and sickness)	f Long-term disabilit	у <b>д</b> 🗌	Supplemental unemp	ployment	<b>h</b> Prescription drug
	i	Stop loss (large deductible)	j  HMO contract	k∏	PPO contract		I Indemnity contract
	m	Other (specify)	<i>,</i> –				<u> </u>
a	Evn	erience-rated contracts:					
5		Premiums: (1) Amount received	]	9a(1)			
	u	(2) Increase (decrease) in amount due but unpaid		9a(1)			
				9a(3)			
		(3) Increase (decrease) in unearned premium res (4) Earned ((1) + (2) - (3))	-			9a(4)	
	b	Benefit charges (1) Claims paid		9b(1)		. Ja(+)	
	b	(2) Increase (decrease) in claim reserves	•				
		(3) Incurred claims (add (1) and (2))				9b(3)	
		(4) Claims charged				9b(4)	
	С	Remainder of premium: (1) Retention charges (or				JD( <del>T</del> )	
	C			9c(1)(A)			
		(A) Commissions(B) Administrative service or other fees		9c(1)(B)			
				9c(1)(C)			
		(C) Other specific acquisition costs(D) Other expenses		9c(1)(D)			
		(E) Taxes					
		(F) Charges for risks or other contingencies		9c(1)(F)			
		(G) Other retention charges		9c(1)(G)			
		(H) Total retention				9c(1)(H)	1
		(2) Dividends or retroactive rate refunds. (These					
	d	Status of policyholder reserves at end of year: (1)	_			9d(1)	
	_	(2) Claim reserves	•			9d(2)	
		(3) Other reserves				9d(3)	
	е	Dividends or retroactive rate refunds due. (Do no				9e	
10	No	onexperience-rated contracts:			,		
	а	Total premiums or subscription charges paid to ca	arrier			10a	12117769
	b	If the carrier, service, or other organization incurr	ed any specific costs in o	onnection witl	h the acquisition or		
	_	retention of the contract or policy, other than repo				10b	
	Spe	ecify nature of costs.					
P	art	IV Provision of Information					
		d the insurance company fail to provide any inform	ation necessary to compl	ete Schedule	А?	Yes	X No
		the answer to line 11 is "Yes," specify the information		oto Coricadie	, <u> </u>		<u> </u>
. 2	11 (	The answer to line it is ites, specify the illioilliding	JII HOL PLOVINGU. F				

(Rev. September 2018)

Department of the Treasury Internal Revenue Service

# **Application for Extension of Time** To File Certain Employee Plan Returns

► For Privacy Act and Paperwork Reduction Act Notice, see instructions. ► Go to www.irs.gov/Form5558 for the latest information.

OMB No. 1545-0212

File With IRS Only

P	art I Identification					
 A	Name of filer, plan administrator, or plan sponsor (see instructions)	В	Filer's identif	ying numb	er (see ir	nstructions)
			Employer identifica		IN) (9 digits	XX-XXXXXXX)
	NATIONAL CARRIERS' CONFERENCE COMMITTEE	1	<u>52-1036</u>	399		
	Number, street, and room or suite no. (If a P.O. box, see instructions)					
	251 - 18TH STREET, SOUTH, SUITE 750	-	Social security nur	nber (SSN) (9 d	igits XXX-XX	(-XXXX)
	City or town, state, and ZIP code ARLINGTON, VA 22202					
			Plan	Pla	n year er	nding -
С	Plan name		YYYY			
_	THE RAILROAD EMPLOYEES NATIONAL VISION PLAN		509	12	31	2019
P	art II Extension of Time To File Form 5500 Series, and/or Form 8955-					
1	Check this box if you are requesting an extension of time on line 2 to file the first Form	m 5500	series return/r	eport for th	e plan lis	ted
	in Part I, C above.					
•	I request an extension of time until 10/15/2020 to file Form 5	EE00 a	ariaa Caa inatro	ıotiono		
2	·		eries. See instru	ictions.		
	Note: A signature IS NOT required if you are requesting an extension to file Form 5500 serion	es.				
3	I request an extension of time until to file Form 8	8955-S	SA. See instruc	ctions.		
	Note: A signature IS NOT required if you are requesting an extension to file Form 8955-SSA	۹.				
	The application is automatically approved to the date shown on line 2 and/or line 3 (above	o) if <b>(a)</b>	the Form 5559	is filed on	or boforo	the normal
	due date of Form 5500 series, and/or Form 8955-SSA for which this extension is requested					
	later than the 15th day of the 3rd month after the normal due date.					
Б	art III Extension of Time To File Form 5330 (see instructions)					
4	I request an extension of time until to file Form 5	5330				
7	You may be approved for up to a 6-month extension to file Form 5330, after the normal due		of Form 5330			
	a Enter the Code section(s) imposing the tax   ▶ a	o dato	51 T 51111 5555.			
	<b>b</b> Enter the payment amount attached		<b>•</b>	b		
	c For excise taxes under section 4980 or 4980F of the Code, enter the reversion/amendment			С		
5	State in detail why you need the extension:		_			
_						
	der penalties of perjury, I declare that to the best of my knowledge and belief, the statements	made (	on this form are	true, corre	ct, and c	omplete,
	d that I am authorized to prepare this application.  properties ►		Date			
OIC	manure 🗲		Date 🗲			

# Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

### Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210 - 0110 1210 - 0089

2019

This Form is Open to Public Inspection

Parl	Annual Report Identification	on Information					
Fo	r calendar plan year 2019 or fiscal plan year	beginning 01/01/	2019 and endin	g 12/31/2019			
A Th	is return/report is for: 🛮 🗵 a multiemple	oyer plan a	multiple-employer plan (F	ilers checking this box must atta	ch a list of		
		p.	articipating employer info	rmation in accordance with the f	orm instr.)		
	a single-emp	oloyer plan 🔲 a	DFE (specify)	_,			
B Th	is return/report is: the first retu	rn/report th	ne final return/report				
	_	_	short plan year return/rep	oort (less than 12 months)			
C If	he plan is a collectively-bargained plan, che	ck here		▶⊠			
D Ch	neck box if filing under: Form 5558	aı	utomatic extension	the DFVC program			
	special exte	nsion (enter description)					
Part		er all requested information					
	ame of plan	mT03131 11T0T031	TO T 3.37	1b Three-digit	F 0 0		
THE	RAILROAD EMPLOYEES NA	TIONAL VISION	PLAN	plan number (PN)	509		
				1c Effective date of plan 01/01/1999			
<b>2a</b> P	an sponsor's name (employer, if for a single-emp	oyer plan)		2b Employer Identification N	umber (EIN)		
M	ailing address (include room, apt., suite no. and s	reet, or P.O. Box)		52-1036399			
	ity or town, state or province, country, and ZIP or IONAL CARRIERS ' CONFER		,	2c Plan Sponsor's telephone number (571) 336-7600			
				2d Business code (see instru 482110			
251	- 18TH STREET, SOUTH,	SUITE 750					
ARL:	INGTON V.	A 22202					
Cautio	n: A penalty for the late or incomplete fili	og of this return/report will	he seeseed unless res	sennahla cause is established			
	nalties of perjury and other penalties set forth in the instruc	<del> </del>			mente se well		
	ectronic version of this return/report, and to the best of my			pariying outcodios, statements and accom-	110110, 40 11011		
SIGN	Boursas M. Bravon	10/9/20	BRENDAN M. F	BRANON			
HERE	Signature of plan administrator	Date	Enter name of individua	l signing as plan administrator			
SIGN							
HERE	Olavatana of ampliance false as a second	Data	Enter name of individua	l signing as amplayor or plan an			
	Signature of employer/plan sponsor	Date	citter name of individua	l signing as employer or plan sp	UI ISU!		
SIGN							
HENE	Signature of DFE	Date	Enter name of individua	l signing as DFE			

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2019)

	Form 5500 (2019)			Page	e <b>Z</b>					
20	Diag administratoria managed address V Company Diag Company			12	<b>b</b>		<b></b>			
sa	Plan administrator's name and address 🛮 Same as Plan Sponsor			3	<b>3b</b> Administrator's EIN					
				3	C Administ	istrator's telephone number				
					7 (411111110)		tolophono n	iai i iboi		
_										
4	If the name and/or EIN of the plan sponsor or the plan name has change	•		•	iled for this	plan,	4b EIN			
а	enter the plan sponsor's name, EIN, the plan name and the plan numb Sponsor's name	er from the i	ast return/r	eport:			<b>4d</b> PN			
	Plan Name						TO FIN			
Ī	Tarrame									
5	Total number of participants at the beginning of the plan year					5	1	33,177		
6	Number of participants as of the end of the plan year unless otherwise	stated (well	fare plans c	complete	only lines					
	6a(1), 6a(2), 6b, 6c, and 6d).							00 4 5 5		
	(1) Total number of active participants at the beginning of the plan year					6a(1)		33,177		
	(2) Total number of active participants at the end of the plan year					6a(2)		30,981		
	Retired or separated participants receiving benefits									
	Other retired or separated participants entitled to future benefits  Subtotal. Add lines 6a(2), 6b, and 6c						1	30,981		
	Deceased participants whose beneficiaries are receiving or are entitled							,		
	Total. Add lines <b>6d</b> and <b>6e</b>					6f				
	Number of participants with account balances as of the end of the plan									
	complete this item)					6g				
h	Number of participants who terminated employment during the plan ye					Ch.				
7	less than 100% vested					6h				
•	this item)	` ,	. , .			7		43		
8a	If the plan provides pension benefits, enter the applicable pension feat					ics Cod	les in the in	structions:		
	If the plan provides welfare benefits, enter the applicable welfare featu	re codes fro	m the List o	of Plan C	haracteristic	s Code	s in the inst	ructions:		
4E										
9a	Plan funding arrangement (check all that apply)	9b Plan	n benefit arr	rangemer	nt (check all	that an	nlv)			
	(1) X Insurance		X Insura		(0.1.0011 a	an out	P-)/			
	(2) Code section 412(e)(3) insurance contracts	(2)			112(e)(3) inst	urance (	contracts			
	(3) Trust	(3)	Trust							
40	(4) General assets of the sponsor	(4)			of the spon					
10	Check all applicable boxes in 10a and 10b to indicate which schedules (See instructions)	s are attache	ed, and, wh	ere indic	ated, enter t	he num	ber attache	ed.		
а	Pension Schedules	b Gen	neral Sched	dules						
u	(1) R (Retirement Plan Information)	(1)			Financial Inf	ormatio	n)			
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	(2)			Financial Inf		-	an)		
	Purchase Plan Actuarial Information) - signed by the plan	(3)	<b>X</b> _ 1		Insurance Ir					
	actuary	(4)	Ц	<b>C</b> (	Service Prov	vider Int	formation)			
	(3) SB (Single-Employer Defined Benefit Plan Actuarial	(5)	Н		DFE/Particip					
	Information) - signed by the plan actuary	(6)	Ц	G (	Financial Tra	ansactio	on Schedule	es)		

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) Yes No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.)... Yes No

11c Enter the Receipt Confirmation Code for the 2019 Form M-1 annual report. If the plan was not required to file the 2019 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_