

<b>Form 5500</b>  Department of the Treasury Internal Revenue Service  Department of Labor Employee Benefits Security Administration  Pension Benefit Guaranty Corporation	<b>Annual Return/Report of Employee Benefit Plan</b> This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).  <b>▶ Complete all entries in accordance with the instructions to the Form 5500.</b>	OMB Nos. 1210-0110 1210-0089  <div style="text-align: center; font-size: 24pt; font-weight: bold;">2018</div>  <b>This Form is Open to Public Inspection</b>
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<b>Part I</b>	<b>Annual Report Identification Information</b> For calendar plan year 2018 or fiscal plan year beginning <u>01/01/2018</u> and ending <u>12/31/2018</u>
<b>A</b> This return/report is for: <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> a multiemployer plan   <input type="checkbox"/> a single-employer plan         </div> <div> <input type="checkbox"/> a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)   <input type="checkbox"/> a DFE (specify) _____         </div> </div>	
<b>B</b> This return/report is: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> the first return/report   <input type="checkbox"/> an amended return/report         </div> <div> <input type="checkbox"/> the final return/report   <input type="checkbox"/> a short plan year return/report (less than 12 months)         </div> </div>	
<b>C</b> If the plan is a collectively-bargained plan, check here. . . . . <input checked="" type="checkbox"/>	
<b>D</b> Check box if filing under: <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Form 5558   <input type="checkbox"/> special extension (enter description)         </div> <div> <input type="checkbox"/> automatic extension         </div> <div> <input type="checkbox"/> the DFVC program         </div> </div>	

<b>Part II</b>	<b>Basic Plan Information</b> —enter all requested information			
<b>1a</b> Name of plan <u>THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT &amp; SIGNAL EMPLOYEES</u>	<b>1b</b> Three-digit plan number (PN) ▶	<u>508</u>		
<b>2a</b> Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>NATIONAL CARRIERS' CONFERENCE COMMITTEE</u>  <div style="display: flex; justify-content: space-between;"> <div> <u>251 - 18TH STREET SOUTH SUITE 750</u>  <u>ARLINGTON, VA 22202</u> </div> <div> <u>251 - 18TH STREET, SOUTH, SUITE 750</u>  <u>ARLINGTON, VA 22202</u> </div> </div>		<b>1c</b> Effective date of plan <u>07/01/1973</u>	<b>2b</b> Employer Identification Number (EIN) <u>52-1036399</u>	
		<b>2c</b> Plan Sponsor's telephone number <u>571-336-7600</u>		
		<b>2d</b> Business code (see instructions) <u>482110</u>		

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

<b>SIGN HERE</b>	Filed with authorized/valid electronic signature.	10/09/2019	BRENDAN M. BRANON
	<b>Signature of plan administrator</b>	Date	Enter name of individual signing as plan administrator
<b>SIGN HERE</b>			
	<b>Signature of employer/plan sponsor</b>	Date	Enter name of individual signing as employer or plan sponsor
<b>SIGN HERE</b>			
	<b>Signature of DFE</b>	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

<b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor	<b>3b</b> Administrator's EIN  <b>3c</b> Administrator's telephone number  <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
<b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: <b>a</b> Sponsor's name <b>c</b> Plan Name	<b>4b</b> EIN  <b>4d</b> PN
<b>5</b> Total number of participants at the beginning of the plan year	<b>5</b> 41948
<b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).  <b>a(1)</b> Total number of active participants at the beginning of the plan year ..... <b>a(2)</b> Total number of active participants at the end of the plan year .....  <b>b</b> Retired or separated participants receiving benefits..... <b>c</b> Other retired or separated participants entitled to future benefits ..... <b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> ..... <b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. .... <b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....  <b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....  <b>h</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested .....	<div style="background-color: #cccccc; height: 20px; width: 100%;"></div> <b>6a(1)</b> 41948 <b>6a(2)</b> 42267 <b>6b</b> <b>6c</b> <b>6d</b> 42267 <b>6e</b> <b>6f</b> <b>6g</b> <b>6h</b>
<b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) .....	<b>7</b> 33
<b>8a</b> If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:   <b>b</b> If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions: 4F	

<b>9a</b> Plan funding arrangement (check all that apply) <b>(1)</b> <input type="checkbox"/> Insurance <b>(2)</b> <input type="checkbox"/> Code section 412(e)(3) insurance contracts <b>(3)</b> <input checked="" type="checkbox"/> Trust <b>(4)</b> <input type="checkbox"/> General assets of the sponsor	<b>9b</b> Plan benefit arrangement (check all that apply) <b>(1)</b> <input type="checkbox"/> Insurance <b>(2)</b> <input type="checkbox"/> Code section 412(e)(3) insurance contracts <b>(3)</b> <input checked="" type="checkbox"/> Trust <b>(4)</b> <input type="checkbox"/> General assets of the sponsor
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**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- (1)** ☐ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☐ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☒ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

<b>SCHEDULE C</b> <b>(Form 5500)</b>  Department of the Treasury Internal Revenue Service  Department of Labor Employee Benefits Security Administration  Pension Benefit Guaranty Corporation	<b>Service Provider Information</b>  This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).  ▶ <b>File as an attachment to Form 5500.</b>	OMB No. 1210-0110
		<b>2018</b>
		<b>This Form is Open to Public Inspection.</b>

For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018		
<b>A</b> Name of plan THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT & SIGNAL EMPLOYEES	<b>B</b> Three-digit plan number (PN) ▶	508
<b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 NATIONAL CARRIERS' CONFERENCE COMMITTEE	<b>D</b> Employer Identification Number (EIN) 52-1036399	

<b>Part I</b>	<b>Service Provider Information (see instructions)</b>
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

**1 Information on Persons Receiving Only Eligible Indirect Compensation**

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

<b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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<b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

AETNA, INC.

151 FARMINGTON AVENUE  
HARTFORD, CT 06186

06-6033492

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
12 13 15	NONE	2322149	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

TMDG, LLC

500 E PRATT STREET SUITE 525  
BALTIMORE, MD 21202

03-0583064

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	122457	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

WEAVER BROTHERS INSURANCE

7315 WISCONSIN AVE EAST SUITE 900  
BETHESDA, MD 20814

52-1590169

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
22	NONE	15290	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SUNTRUST BANKS, INC.

303 PEACHTREE STREET NE SUITE 3200  
ATLANTA, GA 30308

58-0466330

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21		5561	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	



**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

**Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>SCHEDULE H</b> <b>(Form 5500)</b>  <small>Department of the Treasury Internal Revenue Service</small>  <small>Department of Labor Employee Benefits Security Administration</small>  <small>Pension Benefit Guaranty Corporation</small>	<b>Financial Information</b>  This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).  <b>► File as an attachment to Form 5500.</b>	OMB No. 1210-0110  <b>2018</b>  <b>This Form is Open to Public Inspection</b>
For calendar plan year 2018 or fiscal plan year beginning <u>01/01/2018</u> and ending <u>12/31/2018</u>		
<b>A</b> Name of plan <u>THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT &amp; SIGNAL EMPLOYEES</u>		<b>B</b> Three-digit plan number (PN) <span style="float: right;"><u>508</u></span>
<b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 <u>NATIONAL CARRIERS' CONFERENCE COMMITTEE</u>		<b>D</b> Employer Identification Number (EIN) <u>52-1036399</u>

Part I Asset and Liability Statement			
<b>1</b> Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. <b>Round off amounts to the nearest dollar.</b> MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
<b>a</b> Total noninterest-bearing cash .....	<b>1a</b>	213341	308245
<b>b</b> Receivables (less allowance for doubtful accounts):			
<b>(1)</b> Employer contributions.....	<b>1b(1)</b>	2565683	2665898
<b>(2)</b> Participant contributions .....	<b>1b(2)</b>		
<b>(3)</b> Other.....	<b>1b(3)</b>	7287448	115549
<b>c</b> General investments:			
<b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit).....	<b>1c(1)</b>	1630319	7849955
<b>(2)</b> U.S. Government securities .....	<b>1c(2)</b>		
<b>(3)</b> Corporate debt instruments (other than employer securities):			
<b>(A)</b> Preferred .....	<b>1c(3)(A)</b>		
<b>(B)</b> All other.....	<b>1c(3)(B)</b>		
<b>(4)</b> Corporate stocks (other than employer securities):			
<b>(A)</b> Preferred .....	<b>1c(4)(A)</b>		
<b>(B)</b> Common.....	<b>1c(4)(B)</b>		
<b>(5)</b> Partnership/joint venture interests .....	<b>1c(5)</b>		
<b>(6)</b> Real estate (other than employer real property).....	<b>1c(6)</b>		
<b>(7)</b> Loans (other than to participants) .....	<b>1c(7)</b>		
<b>(8)</b> Participant loans.....	<b>1c(8)</b>		
<b>(9)</b> Value of interest in common/collective trusts .....	<b>1c(9)</b>		
<b>(10)</b> Value of interest in pooled separate accounts .....	<b>1c(10)</b>		
<b>(11)</b> Value of interest in master trust investment accounts .....	<b>1c(11)</b>		
<b>(12)</b> Value of interest in 103-12 investment entities.....	<b>1c(12)</b>		
<b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds).....	<b>1c(13)</b>		
<b>(14)</b> Value of funds held in insurance company general account (unallocated contracts).....	<b>1c(14)</b>		
<b>(15)</b> Other.....	<b>1c(15)</b>		

		(a) Beginning of Year	(b) End of Year
<b>1d</b>	Employer-related investments:		
(1)	Employer securities .....	<b>1d(1)</b>	
(2)	Employer real property .....	<b>1d(2)</b>	
<b>e</b>	Buildings and other property used in plan operation .....	<b>1e</b>	
<b>f</b>	Total assets (add all amounts in lines 1a through 1e) .....	<b>1f</b>	11696791 10939647
<b>Liabilities</b>			
<b>g</b>	Benefit claims payable .....	<b>1g</b>	11241577 8536121
<b>h</b>	Operating payables .....	<b>1h</b>	403953 210738
<b>i</b>	Acquisition indebtedness .....	<b>1i</b>	
<b>j</b>	Other liabilities .....	<b>1j</b>	51261
<b>k</b>	Total liabilities (add all amounts in lines 1g through 1j) .....	<b>1k</b>	11696791 8746859
<b>Net Assets</b>			
<b>l</b>	Net assets (subtract line 1k from line 1f) .....	<b>1l</b>	0 2192788

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
<b>a</b>	<b>Contributions:</b>		
(1)	Received or receivable in cash from: <b>(A)</b> Employers .....	<b>2a(1)(A)</b>	27406500
	<b>(B)</b> Participants .....	<b>2a(1)(B)</b>	
	<b>(C)</b> Others (including rollovers) .....	<b>2a(1)(C)</b>	
(2)	Noncash contributions .....	<b>2a(2)</b>	
(3)	Total contributions. Add lines <b>2a(1)(A)</b> , <b>(B)</b> , <b>(C)</b> , and line <b>2a(2)</b> .....	<b>2a(3)</b>	27406500
<b>b</b>	<b>Earnings on investments:</b>		
(1)	Interest:		
	<b>(A)</b> Interest-bearing cash (including money market accounts and certificates of deposit) .....	<b>2b(1)(A)</b>	69136
	<b>(B)</b> U.S. Government securities .....	<b>2b(1)(B)</b>	
	<b>(C)</b> Corporate debt instruments .....	<b>2b(1)(C)</b>	
	<b>(D)</b> Loans (other than to participants) .....	<b>2b(1)(D)</b>	
	<b>(E)</b> Participant loans .....	<b>2b(1)(E)</b>	
	<b>(F)</b> Other .....	<b>2b(1)(F)</b>	
	<b>(G)</b> Total interest. Add lines <b>2b(1)(A)</b> through <b>(F)</b> .....	<b>2b(1)(G)</b>	69136
(2)	Dividends: <b>(A)</b> Preferred stock .....	<b>2b(2)(A)</b>	
	<b>(B)</b> Common stock .....	<b>2b(2)(B)</b>	
	<b>(C)</b> Registered investment company shares (e.g. mutual funds) .....	<b>2b(2)(C)</b>	
	<b>(D)</b> Total dividends. Add lines <b>2b(2)(A)</b> , <b>(B)</b> , and <b>(C)</b> .....	<b>2b(2)(D)</b>	
(3)	Rents .....	<b>2b(3)</b>	
(4)	Net gain (loss) on sale of assets: <b>(A)</b> Aggregate proceeds .....	<b>2b(4)(A)</b>	
	<b>(B)</b> Aggregate carrying amount (see instructions) .....	<b>2b(4)(B)</b>	
	<b>(C)</b> Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....	<b>2b(4)(C)</b>	
(5)	Unrealized appreciation (depreciation) of assets: <b>(A)</b> Real estate .....	<b>2b(5)(A)</b>	
	<b>(B)</b> Other .....	<b>2b(5)(B)</b>	
	<b>(C)</b> Total unrealized appreciation of assets. Add lines <b>2b(5)(A)</b> and <b>(B)</b> .....	<b>2b(5)(C)</b>	

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts .....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts .....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts .....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities .....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) .....	2b(10)		
c Other income .....	2c		
d Total income. Add all <b>income</b> amounts in column (b) and enter total .....	2d		27475636

**Expenses**

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers .....	2e(1)	22814941	
(2) To insurance carriers for the provision of benefits .....	2e(2)		
(3) Other .....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3) .....	2e(4)		22814941
f Corrective distributions (see instructions) .....	2f		
g Certain deemed distributions of participant loans (see instructions) .....	2g		
h Interest expense .....	2h		
i Administrative expenses: (1) Professional fees .....	2i(1)	140197	
(2) Contract administrator fees .....	2i(2)	2322149	
(3) Investment advisory and management fees .....	2i(3)	5561	
(4) Other .....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4) .....	2i(5)		2467907
j Total expenses. Add all <b>expense</b> amounts in column (b) and enter total .....	2j		25282848

**Net Income and Reconciliation**

k Net income (loss). Subtract line 2j from line 2d .....	2k		2192788
l Transfers of assets:			
(1) To this plan .....	2l(1)		
(2) From this plan .....	2l(2)		

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

**b** Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: TMDG, LLC

(2) EIN: 03-0583064

**d** The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

**a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) .....

**b** Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) .....

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
<b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) .....	<b>4c</b>		X	
<b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) .....	<b>4d</b>		X	
<b>e</b> Was this plan covered by a fidelity bond? .....	<b>4e</b>	X		1000000
<b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....	<b>4f</b>		X	
<b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? .....	<b>4g</b>		X	
<b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? .....	<b>4h</b>		X	
<b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) .....	<b>4i</b>	X		
<b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.) .....	<b>4j</b>	X		
<b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? .....	<b>4k</b>		X	
<b>l</b> Has the plan failed to provide any benefit when due under the plan? .....	<b>4l</b>		X	
<b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) .....	<b>4m</b>		X	
<b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. ....	<b>4n</b>			

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ..... ☐ Yes ☒ No  
 If "Yes," enter the amount of any plan assets that reverted to the employer this year \_\_\_\_\_.

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

**5c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined  
 If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year \_\_\_\_\_. (See instructions.)



## **INDEPENDENT AUDITOR'S REPORT**

To the National Carriers' Conference Committee with respect to  
The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees

### **Report on the Financial Statements**

We were engaged to audit the accompanying financial statements of The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees (the Plan), which comprise the statements of net assets available for benefits and of plan benefit obligations as of December 31, 2018 and 2017, the related statements of changes in net assets available for benefits and of changes in plan benefit obligations for the year ended December 31, 2018, and the related notes to the financial statements.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

### **Basis for Disclaimer of Opinion**

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by SunTrust Bank, the trustee of the Plan, except for comparing this information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of December 31, 2018 and 2017, and for the year ended December 31, 2018, that the information provided to the Plan Administrator by the trustee is complete and accurate.

### **Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

### **Other Matter**

The supplemental schedules, schedule of assets (held at end of year) and schedule of reportable transactions as of or for the year ended December 31, 2018, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purposes of additional analysis and are not a required part of the financial statements. The supplemental schedules are the responsibility of the Plan Administrator. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

### **Report on Form and Content in Compliance with DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

A handwritten signature in black ink, appearing to read "TMDG LLC", with a stylized flourish above the letters.

Baltimore, Maryland  
October 11, 2019



**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**SCHEDULE OF ASSETS (HELD AT THE END OF YEAR)  
December 31, 2018**

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Schedule H, Form 5500

Part IV, Line 4i – Schedule of Assets (Held at End of Year)

EIN: 52-1036399

Plan Number: 508

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	Cost	Current Value
<i>Money Market Funds</i>				
*	SunTrust Bank Account 70-04-102-7036739	Money Market Fund Federated Trsy Obligs MM-I #68 FFS	<u>\$7,849,955</u>	<u>\$7,849,955</u>

\*Known party-in-interest to the Plan.



# **THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**FINANCIAL STATEMENTS**  
**(Together with Report of Independent Public Accountants)**

**DECEMBER 31, 2018 and 2017**

**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**TABLE OF CONTENTS**

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	Page
INDEPENDENT AUDITOR’S REPORT ON THE FINANCIAL STATEMENTS	1 – 2
FINANCIAL STATEMENTS	
Statements of Net Assets Available for Benefits	3
Statement of Changes in Net Assets Available for Benefits	4
Statements of Plan Benefit Obligations	5
Statement of Changes in Plan Benefit Obligations	6
Notes to Financial Statements	7 - 13
SUPPLEMENTARY INFORMATION	
Schedule of Assets (Held at End of Year)	14
Schedule of Reportable Transactions	15 - 30

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## **INDEPENDENT AUDITOR'S REPORT**

To the National Carriers' Conference Committee with respect to  
The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees

### **Report on the Financial Statements**

We were engaged to audit the accompanying financial statements of The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees (the Plan), which comprise the statements of net assets available for benefits and of plan benefit obligations as of December 31, 2018 and 2017, the related statements of changes in net assets available for benefits and of changes in plan benefit obligations for the year ended December 31, 2018, and the related notes to the financial statements.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

### **Basis for Disclaimer of Opinion**

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by SunTrust Bank, the trustee of the Plan, except for comparing this information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of December 31, 2018 and 2017, and for the year ended December 31, 2018, that the information provided to the Plan Administrator by the trustee is complete and accurate.

### **Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

### **Other Matter**

The supplemental schedules, schedule of assets (held at end of year) and schedule of reportable transactions as of or for the year ended December 31, 2018, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purposes of additional analysis and are not a required part of the financial statements. The supplemental schedules are the responsibility of the Plan Administrator. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

### **Report on Form and Content in Compliance with DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

A handwritten signature in black ink, appearing to read "TMDG LLC", with a stylized flourish above the letters.

Baltimore, Maryland  
October 11, 2019

## **FINANCIAL STATEMENTS**

**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS  
December 31, 2018 and 2017**

	<b>2018</b>	<b>2017</b>
<b>ASSETS</b>		
Investments, at fair value	<b>\$ 7,849,955</b>	<b>\$ 1,630,319</b>
Receivables:		
Participating railroad's contributions	<b>2,665,898</b>	2,565,683
Plan benefit overpayments	<b>102,046</b>	193,449
Accrued interest	<b>13,503</b>	1,374
	<b>2,781,447</b>	2,760,506
Cash	<b>308,245</b>	213,341
Amounts due from participating railroads	<b>-</b>	7,092,625
Total assets	<b>10,939,647</b>	11,696,791
<b>LIABILITIES</b>		
Accounts payable and accrued expenses	<b>210,738</b>	403,953
Participating railroad contribution overpayment	<b>-</b>	51,261
Total liabilities	<b>210,738</b>	455,214
NET ASSETS AVAILABLE FOR BENEFITS	<b>\$ 10,728,909</b>	<b>\$ 11,241,577</b>



**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS  
Year Ended December 31, 2018**

---

ADDITIONS	
Investment Income:	
Interest income	\$ 69,136
Less investment expenses	<u>(5,561)</u>
	<u>63,575</u>
Participating railroads' contributions	<u>27,406,500</u>
Total additions	<u>27,470,075</u>
DEDUCTIONS	
Benefits paid to participants	25,520,397
Administrative expenses	<u>2,462,346</u>
Total deductions	<u>27,982,743</u>
Net decrease	(512,668)
NET ASSETS AVAILABLE FOR BENEFITS	
Beginning of year	<u>11,241,577</u>
End of year	<u><u>\$ 10,728,909</u></u>

**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**STATEMENTS OF PLAN BENEFIT OBLIGATIONS  
(AS DETERMINED BY THE HARTFORD)  
December 31, 2018 and 2017**

	<b>2018</b>	<b>2017</b>
<hr/>		
AMOUNTS CURRENTLY PAYABLE TO OR FOR PARTICIPANTS		
Claims payable and claims incurred but not reported	<u><b>\$ 8,536,121</b></u>	<u><b>\$ 11,241,577</b></u>

**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**STATEMENT OF CHANGES IN PLAN BENEFIT OBLIGATIONS  
(AS DETERMINED BY THE HARTFORD)  
Year Ended December 31, 2018**

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AMOUNTS CURRENTLY PAYABLE  
TO OR FOR PARTICIPANTS

Balance at beginning of year	\$ 11,241,577
Claims approved for payment and claims incurred but not reported	22,814,941
Claims paid	<u>(25,520,397)</u>
Balance at end of year	<u><u>\$ 8,536,121</u></u>

# THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

## NOTES TO FINANCIAL STATEMENTS

---

### **Note 1. Description of the Plan**

The following description of The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees (the Plan) provides only general information. Participants should refer to the Plan's Summary Plan Description for a more complete description of the Plan's provisions.

**General:** The Plan was established in 1973 pursuant to collective-bargaining to supplement the sickness benefits provided pursuant to the Railroad Unemployment Insurance Act for eligible railroad shop and signal employees of participating railroads. The Plan is administered by the National Carriers' Conference Committee and is subject to the provisions of the Railway Labor Act, as amended, and the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

**Benefits:** The Plan's supplemental sickness benefits are provided on a self-insured basis. The Plan is primarily administered by a third party administrator, The Hartford, pursuant to an Administrative Service Only contract.

**Eligibility:** Upon satisfying the eligibility and coverage requirements, as outlined in the Plan's Summary Plan Description, employees of the participating railroads are entitled to receive the benefits provided by the Plan.

**Funding:** The participating railroads' obligation to provide contributions to the Plan arises pursuant to the terms and conditions of the collective-bargaining agreements, Plan document, and related practices. Contributions to the Plan are provided by the participating railroads in the amount determined after discussions with The Hartford. The railroads fund the obligations of the Plan on a monthly basis as they become due and payable during the year.

**Tax status:** The Trust established under the Plan to hold the Plan's assets received an exemption letter from the Internal Revenue Service (IRS) stating that the trust is tax-exempt under the provisions of Section 501(c)9 of the Internal Revenue Code (IRC). However, as a result of the Plan's funding policy, from time to time the trust may be subject to income taxes. No federal or state income taxes have been recorded in 2018 for unrelated business taxable income.

In addition, the Plan and the Trust are required to operate in conformity with the IRC to maintain the tax-exempt status of the trust. The Plan Administrator believes that the Plan is being operated in compliance with the applicable requirements of the IRC and, therefore, believes that the related trust is tax-exempt.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if it has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

**Plan termination:** In the event of termination of the Plan, the Trust's remaining assets will be used to provide for the payment of any and all obligations of the Plan. Such payments shall be for the exclusive benefit of the Plan participants and beneficiaries and to defray the administrative expenses of the Plan.

# THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

## NOTES TO FINANCIAL STATEMENTS

---

### Note 2. Significant Accounting Policies

**Basis of accounting:** The financial statements of the Plan are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

**Use of estimates:** The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan Administrator to make estimates and assumptions that affect the reported amounts of assets, liabilities, benefit obligations and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

**Valuation of investments and income recognition:** Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis.

**Fair value of financial instruments:** The Plan Administrator believes the carrying value of financial instruments, as stated in the financial statements, approximates their fair value.

**Payment of benefits:** Claim payments are recorded when paid by the Plan. Amounts processed by the third party administrator, but not paid by the Plan and claims incurred but not reported as of December 31<sup>st</sup> are recorded as plan benefit obligations within the accompanying statements of plan benefit obligations.

**Participating railroads' contributions and contributions receivable:** Each participating railroad provides a monthly contribution to the plan as determined annually. Participating railroad contributions are recognized as revenue in the period in which the compensated service giving rise to the contribution is rendered.

**Allowance for doubtful accounts:** The plan utilizes the allowance method to account for uncollectible receivables. Unless otherwise noted (see Note 5), an allowance for doubtful accounts for the plan's receivable balances is not considered necessary as probable uncollectible amounts have been determined by the Plan Administrator not to be significant to the financial statements.

**Amounts due from participating railroads:** The amounts due from participating railroads represent an unfunded commitment, pursuant to the collective-bargaining agreements, plan document, and related practices, by each participating railroad, to contribute to the plan sufficient funds to enable the plan to pay the benefits provided by it for that railroad's employees.

**Subsequent events:** The Plan Administrator has evaluated subsequent events through October 11, 2019, the date the financial statements were available to be issued.

# THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

## NOTES TO FINANCIAL STATEMENTS

---

### Note 3. Information Certified by the Plan's Trustee

The following investments are held by a bank administered trust fund and were certified by the trustee, SunTrust Bank, as complete and accurate.

	2018	2017
Money Market Fund:*		
Investments	\$ 7,849,955	\$ 1,630,319
Accrued interest	\$ 13,503	\$ 1,374
Interest income	\$ 69,136	N/A

\* Investments held as of December 31, 2018 and 2017 were in Federated Treasury Obligations MM-I #68 FFS.

The investment activity reported on the schedule of assets (held at end of year) and schedule of reportable transactions was also certified by the trustee, SunTrust Bank, as complete and accurate.

The Plan's independent public accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

### Note 4. Fair Value Measurements

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, *Fair Value Measurement*, provides the framework for measuring fair value. The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

**Level 1** Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

**Level 2** Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

# THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

## NOTES TO FINANCIAL STATEMENTS

---

### Note 4. Fair Value Measurements (Continued)

**Level 3** Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The valuation methodology used for assets measured at fair value as of December 31, 2018 and 2017 is as follows:

**Money market funds:** Shares of a money market portfolio are considered cash equivalents and are valued at their carrying amount due to their short-term nature.

As of December 31, 2018 and 2017, all of the Plan's investment assets have been determined by the Plan Administrator to be level 1.

### Note 5. Plan Benefit Overpayment Receivable

Pursuant to the Plan's Summary Plan Description, should a Plan participant receive one or more types of certain subsequent payments from a government entity, another plan, or another insurance arrangement (see Plan's Summary Plan Description for a complete listing of these subsequent payments) in connection with a disability claim that has been paid by the Plan, the payment will reduce the basic benefit payable by the Plan and an overpayment will be created.

Upon receiving such a payment, the Plan participant is obligated to repay the overpayment to the Plan. Generally, the overpayment is equal to the lesser of the disability claim paid by the Plan or the total amount subsequently received by the Plan participant.

Based on current and historical collection patterns, the Plan Administrator has determined that any amounts not repaid by Plan participants within one year of receipt of such payments will be deemed uncollectible. The following table represents the balances of the plan benefit overpayment receivable as of December 31, 2018 and 2017 which only includes amounts that have been reported to and recorded by The Hartford as of December 31<sup>st</sup>:

	2018	2017
Total plan benefit overpayment receivable	\$ 1,195,696	\$ 1,128,699
Allowance	(1,195,696)	(935,250)
Net plan benefit overpayment receivable	<u>\$ 102,046</u>	<u>\$ 1,128,699</u>

# THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

## NOTES TO FINANCIAL STATEMENTS

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### **Note 5. Plan Benefit Overpayment Receivable (Continued)**

The gain contingency associated with the receipt of future awards that have not been communicated to The Hartford and recorded as an overpayment as of December 31<sup>st</sup>, may result in prospective overpayments to a participant and refunds receivable to the Plan. This gain contingency has not been recognized by the Plan because the Plan Administrator believes this amount cannot be reasonably estimated as of the financial statement date.

### **Note 6. Post-Retirement Benefits and Other Plan Obligations**

The Plan provides no post-retirement benefits.

Plan obligations as of December 31<sup>st</sup> for claims payable and claims incurred by participants but not reported as of that date are estimated by The Hartford. Such estimated amounts are reported in the accompanying statement of plan benefit obligations at present value. Based on the current nature of the obligation, generally within nine months of the end of the Plan year, discounting the obligation was not necessary.

For measurement purposes, the Insurance Underwriters at The Hartford developed monthly reserve factors using historical claim-cost data. The monthly reserve factors were applied to the monthly claims totals for the Plan year on an incurred basis. The resulting claims obligation represents the total claims expense to be paid for all disabilities that occurred during the Plan year. This obligation was then reduced by the claim payments made during the Plan year and the resulting obligation, which represents an estimate of the total claims to be paid after year-end, was recognized for financial statement reporting purposes.

### **Note 7. Concentration of Participating Railroads**

There are approximately 33 railroads participating in the Plan, of which 5 participating railroads comprised approximately 85% of the total Plan activity as of and for the years ended December 31, 2018 and 2017.

This activity includes, but is not limited to, participating railroad contributions receivable and amounts due from participating railroads as of December 31, 2018 and 2017, and participating railroads' contributions for the year ended December 31, 2018 as follows:

	Percentage of Total Plan Activity	
	2018	2017
Railroad:		
A	23.5%	23.4%
B	23.5%	22.0%
C	14.7%	15.0%
D	12.2%	12.0%
E	10.9%	12.2%



# THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

## NOTES TO FINANCIAL STATEMENTS

### Note 8. Reconciliation of Financial Statements to Form 5500

The following is a reconciliation of net assets available for benefits per the accompanying 2018 and 2017 financial statements to the Form 5500:

	2018	2017
Net assets available for benefits as reported within the financial statements	\$ 10,728,909	\$ 11,241,577
Benefit obligations currently payable	<u>(8,536,121)</u>	<u>(11,241,577)</u>
<b>Net assets available for benefits as reported within the Form 5500</b>	<b><u>\$ 2,192,788</u></b>	<b><u>\$ -</u></b>

The following is a reconciliation of benefits paid to participants per the financial statements to the Form 5500 for the year ended December 31, 2018:

Benefits paid to participants as reported within the financial statements	\$ 25,520,397
Add: Amounts payable at end of year	8,536,121
Less: Amounts payable at beginning of year	<u>(11,241,577)</u>
<b>Benefits paid to participants as reported within the Form 5500</b>	<b><u>\$ 22,814,941</u></b>

Amounts currently payable to or for participants are recorded on the Form 5500 for benefit claims that have been processed and approved for payment prior to December 31<sup>st</sup> but not yet paid as of that date and for incurred but not reported benefit claims as of December 31<sup>st</sup>.

### Note 9. Party in Interest Transactions

The Plan paid administrative fees to service providers during the year that are considered party in interest transactions. This includes management fees paid to SunTrust Bank, the trustee of the Plan, for certain Plan assets invested in money market funds. These transactions are exempt from the prohibited transaction rules of ERISA.

### Note 10. Risks and Uncertainties

The Plan invests in money market funds with SunTrust. These investments are exposed to credit risk. Due to the level of risk associated with these investments given the current economic condition and uncertainty in the market place, it is at least reasonably possible that changes in the value of these investments may occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits and changes in net assets available for benefits.

## **THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

### **NOTES TO FINANCIAL STATEMENTS**

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#### **Note 10. Risks and Uncertainties (Continued)**

A Plan benefit overpayment receivable is recorded when an overpayment is communicated to The Hartford. The collectability of this receivable is determined by the Plan Administrator based on certain historical collection patterns. Due to uncertainties inherent in the communication, estimations, and assumptions process, it is at least reasonably possible that the timing of such communications and changes in these estimates and assumptions in the near term could materially affect the amounts reported in the statements of net assets available for benefits and changes in net assets available for benefits.

The total obligations for claims payable and claims incurred but not reported, as calculated and reported to the Plan by The Hartford as of December 31, 2018 and 2017 is based on certain assumptions pertaining to health care trends and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the financial statements.

## **SUPPLEMENTARY INFORMATION**

**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**SCHEDULE OF ASSETS (HELD AT THE END OF YEAR)  
December 31, 2018**

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Schedule H, Form 5500

Part IV, Line 4i – Schedule of Assets (Held at End of Year)

EIN: 52-1036399

Plan Number: 508

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	Cost	Current Value
<i>Money Market Funds</i>				
*	SunTrust Bank Account 70-04-102-7036739	Money Market Fund Federated Trsy Obligs MM-I #68 FFS	<u>\$7,849,955</u>	<u>\$7,849,955</u>

\*Known party-in-interest to the Plan.

**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**SCHEDULE OF REPORTABLE TRANSACTIONS  
Year Ended December 31, 2018**

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Schedule H, Form 5500  
Part IV, Line 4j – Schedule of Reportable Transactions  
EIN: 52-1036399  
Plan Number: 508

(a)	(b)	(c)	(d)	(g)	(h)
Identity of Party Involved	Description of Assets	Purchase Price	Selling Price	Asset Cost	Current Value

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SunTrust Bank Account  
Account 70-04-102-7036739 (See attached schedule as prepared and reported by SunTrust Bank)



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 66

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
BEGINNING MARKET VALUE				1,631,693.17		
COMPARATIVE VALUE (5%)				81,584.66		
-----						
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE						
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/03/18 S	457,804.2500	1.0000	0	457,804 *	457,804	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/04/18 S	86,965.8200	1.0000	0	86,966 *	86,966	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/05/18 S	153,152.1600	1.0000	0	153,152 *	153,152	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/09/18 S	132,700.6000	1.0000	0	132,701 *	132,701	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/10/18 S	104,034.3000	1.0000	0	104,034 *	104,034	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/11/18 S	105,243.1600	1.0000	0	105,243 *	105,243	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/16/18 B	344,223.7600	1.0000	0	-344,224 *	344,224	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/17/18 B	379,890.6600	1.0000	0	-379,891 *	379,891	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/19/18 B	589,671.5500	1.0000	0	-589,672 *	589,672	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/23/18 S	114,903.9500	1.0000	0	114,904 *	114,904	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/25/18 S	128,392.9800	1.0000	0	128,393 *	128,393	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/26/18 B	612,591.9200	1.0000	0	-612,592 *	612,592	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/29/18 S	92,087.3200	1.0000	0	92,087 *	92,087	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/31/18 S	545,194.7400	1.0000	0	545,195 *	545,195	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/02/18 S	266,565.5000	1.0000	0	266,566 *	266,566	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/05/18 B	220,419.5400	1.0000	0	-220,420 *	220,420	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/12/18 S	94,443.5900	1.0000	0	94,444 *	94,444	0

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# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 67

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/14/18 S	129,605.4900	1.0000	0	129,605 *	129,605	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/16/18 B	702,620.8200	1.0000	0	-702,621 *	702,621	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/20/18 B	904,025.4800	1.0000	0	-904,025 *	904,025	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/21/18 B	140,145.5500	1.0000	0	-140,146 *	140,146	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/23/18 B	583,404.4200	1.0000	0	-583,404 *	583,404	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/26/18 S	112,290.6300	1.0000	0	112,291 *	112,291	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/27/18 S	316,077.0300	1.0000	0	316,077 *	316,077	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/01/18 S	162,175.9600	1.0000	0	162,176 *	162,176	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/02/18 S	300,023.4200	1.0000	0	300,023 *	300,023	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/06/18 S	99,518.4100	1.0000	0	99,518 *	99,518	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/07/18 S	140,493.5800	1.0000	0	140,494 *	140,494	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/08/18 S	109,212.6700	1.0000	0	109,213 *	109,213	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/12/18 S	99,037.7800	1.0000	0	99,038 *	99,038	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/13/18 S	81,933.7700	1.0000	0	81,934 *	81,934	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/14/18 S	149,575.9100	1.0000	0	149,576 *	149,576	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/15/18 B	343,377.0900	1.0000	0	-343,377 *	343,377	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/16/18 B	315,063.5700	1.0000	0	-315,064 *	315,064	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/19/18 S	101,628.8800	1.0000	0	101,629 *	101,629	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/20/18 S	110,629.9600	1.0000	0	110,630 *	110,630	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/21/18 B	1,054,574.2000	1.0000	0	-1,054,574 *	1,054,574	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/26/18 B	493,694.8400	1.0000	0	-493,695 *	493,695	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/28/18 S	175,328.4000	1.0000	0	175,328 *	175,328	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/29/18 S	547,941.2500	1.0000	0	547,941 *	547,941	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 68

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/04/18 S	232,332.6700	1.0000	0	232,333 *	232,333	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/05/18 S	167,796.3400	1.0000	0	167,796 *	167,796	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/06/18 S	96,672.4700	1.0000	0	96,672 *	96,672	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/09/18 S	103,253.9500	1.0000	0	103,254 *	103,254	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/11/18 S	142,226.0300	1.0000	0	142,226 *	142,226	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/12/18 S	131,300.8300	1.0000	0	131,301 *	131,301	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/16/18 B	348,567.0200	1.0000	0	-348,567 *	348,567	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/18/18 B	1,342,987.6100	1.0000	0	-1,342,988 *	1,342,988	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/19/18 S	86,333.2500	1.0000	0	86,333 *	86,333	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/23/18 S	109,205.5500	1.0000	0	109,206 *	109,206	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/24/18 B	862,146.5000	1.0000	0	-862,147 *	862,147	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/25/18 S	105,850.3600	1.0000	0	105,850 *	105,850	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/26/18 S	130,080.1900	1.0000	0	130,080 *	130,080	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/27/18 S	373,284.2000	1.0000	0	373,284 *	373,284	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/30/18 S	89,683.9500	1.0000	0	89,684 *	89,684	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/02/18 S	321,522.2300	1.0000	0	321,522 *	321,522	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/07/18 S	115,741.6800	1.0000	0	115,742 *	115,742	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/08/18 S	86,830.0900	1.0000	0	86,830 *	86,830	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/09/18 S	89,242.9400	1.0000	0	89,243 *	89,243	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/10/18 S	112,090.3800	1.0000	0	112,090 *	112,090	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/14/18 S	139,840.9900	1.0000	0	139,841 *	139,841	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/16/18 B	294,173.5400	1.0000	0	-294,174 *	294,174	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/17/18 B	479,147.9200	1.0000	0	-479,148 *	479,148	

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# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 69

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/18/18 B	814,129.1000	1.0000	0	-814,129 *	814,129	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/21/18 S	99,421.0200	1.0000	0	99,421 *	99,421	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/23/18 B	278,591.7100	1.0000	0	-278,592 *	278,592	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/24/18 B	520,111.8300	1.0000	0	-520,112 *	520,112	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/25/18 S	101,892.4400	1.0000	0	101,892 *	101,892	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/29/18 S	105,881.8000	1.0000	0	105,882 *	105,882	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/30/18 S	373,146.0400	1.0000	0	373,146 *	373,146	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/31/18 S	108,813.9600	1.0000	0	108,814 *	108,814	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/01/18 S	83,752.0900	1.0000	0	83,752 *	83,752	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/04/18 S	95,544.1600	1.0000	0	95,544 *	95,544	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/05/18 S	256,062.4300	1.0000	0	256,062 *	256,062	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/06/18 S	93,907.8100	1.0000	0	93,908 *	93,908	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/07/18 S	132,206.3100	1.0000	0	132,206 *	132,206	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/08/18 S	97,592.1800	1.0000	0	97,592 *	97,592	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/11/18 S	88,615.3200	1.0000	0	88,615 *	88,615	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/13/18 S	101,147.3100	1.0000	0	101,147 *	101,147	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/15/18 B	256,268.8300	1.0000	0	-256,269 *	256,269	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/18/18 B	508,815.3700	1.0000	0	-508,815 *	508,815	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/19/18 B	749,043.2300	1.0000	0	-749,043 *	749,043	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/20/18 S	93,975.1200	1.0000	0	93,975 *	93,975	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/21/18 S	91,680.2300	1.0000	0	91,680 *	91,680	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/25/18 B	873,588.7300	1.0000	0	-873,589 *	873,589	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/27/18 S	105,508.0300	1.0000	0	105,508 *	105,508	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 70

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/28/18 S	318,897.7300	1.0000	0	318,898 *	318,898	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/05/18 S	136,159.3300	1.0000	0	136,159 *	136,159	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/06/18 S	538,486.6000	1.0000	0	538,487 *	538,487	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/09/18 S	90,739.7700	1.0000	0	90,740 *	90,740	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/10/18 S	87,492.7000	1.0000	0	87,493 *	87,493	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/11/18 S	104,216.8900	1.0000	0	104,217 *	104,217	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/12/18 S	105,815.6100	1.0000	0	105,816 *	105,816	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/16/18 B	378,997.2700	1.0000	0	-378,997 *	378,997	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/17/18 B	237,149.6200	1.0000	0	-237,150 *	237,150	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/18/18 B	1,182,761.9600	1.0000	0	-1,182,762 *	1,182,762	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/20/18 B	609,921.8000	1.0000	0	-609,922 *	609,922	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/23/18 S	119,855.6600	1.0000	0	119,856 *	119,856	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/25/18 S	132,007.6400	1.0000	0	132,008 *	132,008	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/26/18 S	83,749.3700	1.0000	0	83,749 *	83,749	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/27/18 S	86,067.7100	1.0000	0	86,068 *	86,068	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/31/18 S	478,189.5400	1.0000	0	478,190 *	478,190	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/01/18 S	263,701.4000	1.0000	0	263,701 *	263,701	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/06/18 S	111,132.9400	1.0000	0	111,133 *	111,133	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/07/18 S	84,943.0900	1.0000	0	84,943 *	84,943	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/13/18 S	102,202.4700	1.0000	0	102,202 *	102,202	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/15/18 S	92,354.1500	1.0000	0	92,354 *	92,354	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/16/18 B	460,154.7000	1.0000	0	-460,155 *	460,155	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/17/18 B	1,547,186.7600	1.0000	0	-1,547,187 *	1,547,187	

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# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 71

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/20/18 S	92,824.7000	1.0000	0	92,825 *	92,825	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/24/18 B	596,947.2200	1.0000	0	-596,947 *	596,947	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/27/18 S	94,214.7800	1.0000	0	94,215 *	94,215	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/29/18 S	107,718.9600	1.0000	0	107,719 *	107,719	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/30/18 S	363,192.2400	1.0000	0	363,192 *	363,192	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/04/18 S	96,657.5500	1.0000	0	96,658 *	96,658	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/05/18 S	297,568.0100	1.0000	0	297,568 *	297,568	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/06/18 S	88,194.1300	1.0000	0	88,194 *	88,194	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/12/18 S	92,668.1800	1.0000	0	92,668 *	92,668	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/13/18 S	101,329.3100	1.0000	0	101,329 *	101,329	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/18/18 B	891,429.8400	1.0000	0	-891,430 *	891,430	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/19/18 B	659,803.9900	1.0000	0	-659,804 *	659,804	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/20/18 B	287,046.4800	1.0000	0	-287,046 *	287,046	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/24/18 B	670,560.9100	1.0000	0	-670,561 *	670,561	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/26/18 S	106,310.2000	1.0000	0	106,310 *	106,310	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/27/18 S	101,904.0300	1.0000	0	101,904 *	101,904	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/28/18 S	305,619.6600	1.0000	0	305,620 *	305,620	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/01/18 S	267,215.8600	1.0000	0	267,216 *	267,216	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/03/18 S	167,020.8300	1.0000	0	167,021 *	167,021	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/10/18 S	178,013.4500	1.0000	0	178,013 *	178,013	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/16/18 B	719,382.2700	1.0000	0	-719,382 *	719,382	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/17/18 B	806,171.3700	1.0000	0	-806,171 *	806,171	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/18/18 S	150,708.0000	1.0000	0	150,708 *	150,708	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 72

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/22/18 S	88,512.1100	1.0000	0	88,512 *	88,512	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/23/18 B	987,449.4600	1.0000	0	-987,449 *	987,449	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/24/18 S	90,417.2100	1.0000	0	90,417 *	90,417	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/30/18 S	364,444.4200	1.0000	0	364,444 *	364,444	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/31/18 S	128,272.4400	1.0000	0	128,272 *	128,272	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/01/18 S	251,753.9300	1.0000	0	251,754 *	251,754	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/05/18 S	94,558.2600	1.0000	0	94,558 *	94,558	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/07/18 S	109,856.5000	1.0000	0	109,857 *	109,857	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/08/18 S	92,795.5200	1.0000	0	92,796 *	92,796	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/14/18 S	228,396.0900	1.0000	0	228,396 *	228,396	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/16/18 B	730,433.8400	1.0000	0	-730,434 *	730,434	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/19/18 B	121,323.2100	1.0000	0	-121,323 *	121,323	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/20/18 B	734,635.2800	1.0000	0	-734,635 *	734,635	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/21/18 S	224,870.9900	1.0000	0	224,871 *	224,871	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/26/18 B	724,732.8500	1.0000	0	-724,733 *	724,733	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/27/18 B	273,286.8800	1.0000	0	-273,287 *	273,287	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/28/18 S	86,951.2400	1.0000	0	86,951 *	86,951	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/29/18 S	139,660.8100	1.0000	0	139,661 *	139,661	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/30/18 S	342,214.9900	1.0000	0	342,215 *	342,215	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/06/18 S	394,056.7000	1.0000	0	394,057 *	394,057	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/12/18 S	99,160.3300	1.0000	0	99,160 *	99,160	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/13/18 S	100,301.2700	1.0000	0	100,301 *	100,301	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/14/18 B	234,665.2900	1.0000	0	-234,665 *	234,665	

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## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 73

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/17/18 B	392,724.7300	1.0000	0	-392,725 *	392,725	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/18/18 B	398,355.8400	1.0000	0	-398,356 *	398,356	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/19/18 B	728,282.7900	1.0000	0	-728,283 *	728,283	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/21/18 B	649,354.0300	1.0000	0	-649,354 *	649,354	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/26/18 S	107,459.7000	1.0000	0	107,460 *	107,460	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/27/18 S	145,476.5900	1.0000	0	145,477 *	145,477	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/31/18 S	279,459.0000	1.0000	0	279,459 *	279,459	0
GRAND TOTAL			0	47,529,221	47,529,221	0

CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

\*\*\* NO TRANSACTIONS QUALIFIED FOR THIS SECTION \*\*\*

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68

01/03/18 B	1,374.1700	1.0000	0	-1,374	1,374
01/16/18 B	344,223.7600	1.0000	0	-344,224 *	344,224
01/17/18 B	379,890.6600	1.0000	0	-379,891 *	379,891
01/19/18 B	589,671.5500	1.0000	0	-589,672 *	589,672
01/22/18 B	25,479.1600	1.0000	0	-25,479	25,479
01/26/18 B	612,591.9200	1.0000	0	-612,592 *	612,592

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## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 74

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
02/02/18 B	1,190.2100	1.0000	0	-1,190	1,190	
02/05/18 B	220,419.5400	1.0000	0	-220,420 *	220,420	
02/16/18 B	702,620.8200	1.0000	0	-702,621 *	702,621	
02/20/18 B	904,025.4800	1.0000	0	-904,025 *	904,025	
02/21/18 B	140,145.5500	1.0000	0	-140,146 *	140,146	
02/23/18 B	583,404.4200	1.0000	0	-583,404 *	583,404	
03/02/18 B	1,511.3000	1.0000	0	-1,511	1,511	
03/15/18 B	343,377.0900	1.0000	0	-343,377 *	343,377	
03/16/18 B	315,063.5700	1.0000	0	-315,064 *	315,064	
03/21/18 B	1,054,574.2000	1.0000	0	-1,054,574 *	1,054,574	
03/26/18 B	493,694.8400	1.0000	0	-493,695 *	493,695	
04/03/18 B	2,512.8100	1.0000	0	-2,513	2,513	
04/16/18 B	348,567.0200	1.0000	0	-348,567 *	348,567	
04/18/18 B	1,342,987.6100	1.0000	0	-1,342,988 *	1,342,988	
04/24/18 B	862,146.5000	1.0000	0	-862,147 *	862,147	
05/02/18 B	2,738.9900	1.0000	0	-2,739	2,739	
05/15/18 B	12,866.4700	1.0000	0	-12,866	12,866	
05/16/18 B	294,173.5400	1.0000	0	-294,174 *	294,174	
05/17/18 B	479,147.9200	1.0000	0	-479,148 *	479,148	
05/18/18 B	814,129.1000	1.0000	0	-814,129 *	814,129	
05/23/18 B	278,591.7100	1.0000	0	-278,592 *	278,592	
05/24/18 B	520,111.8300	1.0000	0	-520,112 *	520,112	
06/04/18 B	3,565.3800	1.0000	0	-3,565	3,565	
06/15/18 B	256,268.8300	1.0000	0	-256,269 *	256,269	
06/18/18 B	508,815.3700	1.0000	0	-508,815 *	508,815	
06/19/18 B	749,043.2300	1.0000	0	-749,043 *	749,043	
06/25/18 B	873,588.7300	1.0000	0	-873,589 *	873,589	
07/03/18 B	4,158.9800	1.0000	0	-4,159	4,159	
07/16/18 B	378,997.2700	1.0000	0	-378,997 *	378,997	
07/17/18 B	237,149.6200	1.0000	0	-237,150 *	237,150	
07/18/18 B	1,182,761.9600	1.0000	0	-1,182,762 *	1,182,762	
07/19/18 B	15,127.8000	1.0000	0	-15,128	15,128	
07/20/18 B	609,921.8000	1.0000	0	-609,922 *	609,922	
08/02/18 B	5,742.0500	1.0000	0	-5,742	5,742	
08/16/18 B	460,154.7000	1.0000	0	-460,155 *	460,155	
08/17/18 B	1,547,186.7600	1.0000	0	-1,547,187 *	1,547,187	
08/24/18 B	596,947.2200	1.0000	0	-596,947 *	596,947	
09/05/18 B	6,499.2900	1.0000	0	-6,499	6,499	
09/18/18 B	891,429.8400	1.0000	0	-891,430 *	891,430	
09/19/18 B	659,803.9900	1.0000	0	-659,804 *	659,804	
09/20/18 B	287,046.4800	1.0000	0	-287,046 *	287,046	
09/24/18 B	670,560.9100	1.0000	0	-670,561 *	670,561	
10/02/18 B	7,409.1900	1.0000	0	-7,409	7,409	
10/16/18 B	719,382.2700	1.0000	0	-719,382 *	719,382	
10/17/18 B	806,171.3700	1.0000	0	-806,171 *	806,171	
10/23/18 B	987,449.4600	1.0000	0	-987,449 *	987,449	

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# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 75

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
11/02/18 B	9,792.5300	1.0000	0	-9,793	9,793	
11/13/18 B	19,661.0700	1.0000	0	-19,661	19,661	
11/16/18 B	730,433.8400	1.0000	0	-730,434 *	730,434	
11/19/18 B	121,323.2100	1.0000	0	-121,323 *	121,323	
11/20/18 B	734,635.2800	1.0000	0	-734,635 *	734,635	
11/26/18 B	724,732.8500	1.0000	0	-724,733 *	724,733	
11/27/18 B	273,286.8800	1.0000	0	-273,287 *	273,287	
12/04/18 B	10,512.6400	1.0000	0	-10,513	10,513	
12/14/18 B	234,665.2900	1.0000	0	-234,665 *	234,665	
12/17/18 B	392,724.7300	1.0000	0	-392,725 *	392,725	
12/18/18 B	398,355.8400	1.0000	0	-398,356 *	398,356	
12/19/18 B	728,282.7900	1.0000	0	-728,283 *	728,283	
12/21/18 B	649,354.0300	1.0000	0	-649,354 *	649,354	
SUB-TOTAL OF BUYS # 65			0	29,164,177	29,164,177	
01/02/18 S	71,151.6800	1.0000	0	71,152	71,152	0
01/03/18 S	457,804.2500	1.0000	0	457,804 *	457,804	0
01/04/18 S	86,965.8200	1.0000	0	86,966 *	86,966	0
01/05/18 S	153,152.1600	1.0000	0	153,152 *	153,152	0
01/08/18 S	56,478.9900	1.0000	0	56,479	56,479	0
01/09/18 S	132,700.6000	1.0000	0	132,701 *	132,701	0
01/09/18 S	256.6500	1.0000	0	257	257	0
01/10/18 S	104,034.3000	1.0000	0	104,034 *	104,034	0
01/11/18 S	105,243.1600	1.0000	0	105,243 *	105,243	0
01/12/18 S	68,847.7400	1.0000	0	68,848	68,848	0
01/18/18 S	37,253.6400	1.0000	0	37,254	37,254	0
01/23/18 S	114,903.9500	1.0000	0	114,904 *	114,904	0
01/24/18 S	13,800.4100	1.0000	0	13,800	13,800	0
01/25/18 S	128,392.9800	1.0000	0	128,393 *	128,393	0
01/29/18 S	92,087.3200	1.0000	0	92,087 *	92,087	0
01/31/18 S	545,194.7400	1.0000	0	545,195 *	545,195	0
02/01/18 S	60,882.0600	1.0000	0	60,882	60,882	0
02/02/18 S	266,565.5000	1.0000	0	266,566 *	266,566	0
02/06/18 S	78,453.3600	1.0000	0	78,453	78,453	0
02/07/18 S	54,888.0000	1.0000	0	54,888	54,888	0
02/08/18 S	214.9800	1.0000	0	215	215	0
02/08/18 S	70,392.9500	1.0000	0	70,393	70,393	0
02/09/18 S	56,729.0800	1.0000	0	56,729	56,729	0
02/12/18 S	94,443.5900	1.0000	0	94,444 *	94,444	0
02/13/18 S	77,987.3200	1.0000	0	77,987	77,987	0
02/14/18 S	129,605.4900	1.0000	0	129,605 *	129,605	0
02/15/18 S	40,701.3900	1.0000	0	40,701	40,701	0
02/22/18 S	21,226.1400	1.0000	0	21,226	21,226	0
02/26/18 S	112,290.6300	1.0000	0	112,291 *	112,291	0
02/27/18 S	316,077.0300	1.0000	0	316,077 *	316,077	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 76

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
02/28/18 S	67,694.8400	1.0000	0	67,695	67,695	0
03/01/18 S	162,175.9600	1.0000	0	162,176 *	162,176	0
03/02/18 S	300,023.4200	1.0000	0	300,023 *	300,023	0
03/05/18 S	71,792.6900	1.0000	0	71,793	71,793	0
03/06/18 S	99,518.4100	1.0000	0	99,518 *	99,518	0
03/07/18 S	140,493.5800	1.0000	0	140,494 *	140,494	0
03/08/18 S	109,212.6700	1.0000	0	109,213 *	109,213	0
03/08/18 S	261.3500	1.0000	0	261	261	0
03/09/18 S	38,144.8400	1.0000	0	38,145	38,145	0
03/12/18 S	99,037.7800	1.0000	0	99,038 *	99,038	0
03/13/18 S	81,933.7700	1.0000	0	81,934 *	81,934	0
03/14/18 S	149,575.9100	1.0000	0	149,576 *	149,576	0
03/19/18 S	101,628.8800	1.0000	0	101,629 *	101,629	0
03/20/18 S	110,629.9600	1.0000	0	110,630 *	110,630	0
03/22/18 S	76,184.7700	1.0000	0	76,185	76,185	0
03/23/18 S	72,763.4900	1.0000	0	72,763	72,763	0
03/27/18 S	72,889.6200	1.0000	0	72,890	72,890	0
03/28/18 S	175,328.4000	1.0000	0	175,328 *	175,328	0
03/29/18 S	547,941.2500	1.0000	0	547,941 *	547,941	0
04/02/18 S	73,207.1100	1.0000	0	73,207	73,207	0
04/03/18 S	55,221.9000	1.0000	0	55,222	55,222	0
04/04/18 S	232,332.6700	1.0000	0	232,333 *	232,333	0
04/05/18 S	167,796.3400	1.0000	0	167,796 *	167,796	0
04/06/18 S	96,672.4700	1.0000	0	96,672 *	96,672	0
04/09/18 S	103,253.9500	1.0000	0	103,254 *	103,254	0
04/09/18 S	324.9000	1.0000	0	325	325	0
04/10/18 S	68,814.1900	1.0000	0	68,814	68,814	0
04/11/18 S	142,226.0300	1.0000	0	142,226 *	142,226	0
04/12/18 S	131,300.8300	1.0000	0	131,301 *	131,301	0
04/13/18 S	66,707.3100	1.0000	0	66,707	66,707	0
04/17/18 S	67,936.1400	1.0000	0	67,936	67,936	0
04/19/18 S	86,333.2500	1.0000	0	86,333 *	86,333	0
04/20/18 S	71,819.0300	1.0000	0	71,819	71,819	0
04/23/18 S	109,205.5500	1.0000	0	109,206 *	109,206	0
04/25/18 S	105,850.3600	1.0000	0	105,850 *	105,850	0
04/26/18 S	130,080.1900	1.0000	0	130,080 *	130,080	0
04/27/18 S	373,284.2000	1.0000	0	373,284 *	373,284	0
04/30/18 S	89,683.9500	1.0000	0	89,684 *	89,684	0
05/01/18 S	68,642.9600	1.0000	0	68,643	68,643	0
05/02/18 S	321,522.2300	1.0000	0	321,522 *	321,522	0
05/03/18 S	66,438.4200	1.0000	0	66,438	66,438	0
05/04/18 S	64,345.2500	1.0000	0	64,345	64,345	0
05/07/18 S	115,741.6800	1.0000	0	115,742 *	115,742	0
05/08/18 S	86,830.0900	1.0000	0	86,830 *	86,830	0
05/08/18 S	330.4700	1.0000	0	330	330	0
05/09/18 S	89,242.9400	1.0000	0	89,243 *	89,243	0

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# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 77

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
05/10/18 S	112,090.3800	1.0000	0	112,090 *	112,090	0
05/11/18 S	60,436.4400	1.0000	0	60,436	60,436	0
05/14/18 S	139,840.9900	1.0000	0	139,841 *	139,841	0
05/21/18 S	99,421.0200	1.0000	0	99,421 *	99,421	0
05/22/18 S	81,289.8600	1.0000	0	81,290	81,290	0
05/25/18 S	101,892.4400	1.0000	0	101,892 *	101,892	0
05/29/18 S	105,881.8000	1.0000	0	105,882 *	105,882	0
05/30/18 S	373,146.0400	1.0000	0	373,146 *	373,146	0
05/31/18 S	108,813.9600	1.0000	0	108,814 *	108,814	0
06/01/18 S	83,752.0900	1.0000	0	83,752 *	83,752	0
06/04/18 S	95,544.1600	1.0000	0	95,544 *	95,544	0
06/05/18 S	256,062.4300	1.0000	0	256,062 *	256,062	0
06/06/18 S	93,907.8100	1.0000	0	93,908 *	93,908	0
06/07/18 S	132,206.3100	1.0000	0	132,206 *	132,206	0
06/08/18 S	97,592.1800	1.0000	0	97,592 *	97,592	0
06/08/18 S	388.7200	1.0000	0	389	389	0
06/11/18 S	88,615.3200	1.0000	0	88,615 *	88,615	0
06/12/18 S	74,181.4000	1.0000	0	74,181	74,181	0
06/13/18 S	101,147.3100	1.0000	0	101,147 *	101,147	0
06/14/18 S	60,423.8900	1.0000	0	60,424	60,424	0
06/20/18 S	93,975.1200	1.0000	0	93,975 *	93,975	0
06/21/18 S	91,680.2300	1.0000	0	91,680 *	91,680	0
06/22/18 S	74,730.9400	1.0000	0	74,731	74,731	0
06/26/18 S	32,081.2700	1.0000	0	32,081	32,081	0
06/27/18 S	105,508.0300	1.0000	0	105,508 *	105,508	0
06/28/18 S	318,897.7300	1.0000	0	318,898 *	318,898	0
07/05/18 S	136,159.3300	1.0000	0	136,159 *	136,159	0
07/06/18 S	538,486.6000	1.0000	0	538,487 *	538,487	0
07/09/18 S	90,739.7700	1.0000	0	90,740 *	90,740	0
07/10/18 S	87,492.7000	1.0000	0	87,493 *	87,493	0
07/10/18 S	428.5200	1.0000	0	429	429	0
07/11/18 S	104,216.8900	1.0000	0	104,217 *	104,217	0
07/12/18 S	105,815.6100	1.0000	0	105,816 *	105,816	0
07/13/18 S	73,770.5100	1.0000	0	73,771	73,771	0
07/23/18 S	119,855.6600	1.0000	0	119,856 *	119,856	0
07/24/18 S	58,932.3300	1.0000	0	58,932	58,932	0
07/25/18 S	132,007.6400	1.0000	0	132,008 *	132,008	0
07/26/18 S	83,749.3700	1.0000	0	83,749 *	83,749	0
07/27/18 S	86,067.7100	1.0000	0	86,068 *	86,068	0
07/31/18 S	478,189.5400	1.0000	0	478,190 *	478,190	0
08/01/18 S	263,701.4000	1.0000	0	263,701 *	263,701	0
08/02/18 S	60,807.1600	1.0000	0	60,807	60,807	0
08/03/18 S	72,106.7500	1.0000	0	72,107	72,107	0
08/06/18 S	111,132.9400	1.0000	0	111,133 *	111,133	0
08/07/18 S	84,943.0900	1.0000	0	84,943 *	84,943	0
08/08/18 S	533.1300	1.0000	0	533	533	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 78

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
08/08/18 S	46,357.1600	1.0000	0	46,357	46,357	0
08/09/18 S	46,584.2000	1.0000	0	46,584	46,584	0
08/10/18 S	68,079.7600	1.0000	0	68,080	68,080	0
08/13/18 S	102,202.4700	1.0000	0	102,202 *	102,202	0
08/14/18 S	36,868.0000	1.0000	0	36,868	36,868	0
08/15/18 S	92,354.1500	1.0000	0	92,354 *	92,354	0
08/20/18 S	92,824.7000	1.0000	0	92,825 *	92,825	0
08/21/18 S	65,962.0100	1.0000	0	65,962	65,962	0
08/22/18 S	49,082.0000	1.0000	0	49,082	49,082	0
08/23/18 S	76,729.3500	1.0000	0	76,729	76,729	0
08/27/18 S	94,214.7800	1.0000	0	94,215 *	94,215	0
08/28/18 S	59,182.7600	1.0000	0	59,183	59,183	0
08/29/18 S	107,718.9600	1.0000	0	107,719 *	107,719	0
08/30/18 S	363,192.2400	1.0000	0	363,192 *	363,192	0
08/31/18 S	73,250.1100	1.0000	0	73,250	73,250	0
09/04/18 S	96,657.5500	1.0000	0	96,658 *	96,658	0
09/05/18 S	297,568.0100	1.0000	0	297,568 *	297,568	0
09/06/18 S	88,194.1300	1.0000	0	88,194 *	88,194	0
09/07/18 S	59,283.3700	1.0000	0	59,283	59,283	0
09/10/18 S	72,463.8700	1.0000	0	72,464	72,464	0
09/11/18 S	586.1700	1.0000	0	586	586	0
09/11/18 S	76,797.5600	1.0000	0	76,798	76,798	0
09/12/18 S	92,668.1800	1.0000	0	92,668 *	92,668	0
09/13/18 S	101,329.3100	1.0000	0	101,329 *	101,329	0
09/14/18 S	39,101.6400	1.0000	0	39,102	39,102	0
09/17/18 S	73,360.4500	1.0000	0	73,360	73,360	0
09/21/18 S	40,730.5200	1.0000	0	40,731	40,731	0
09/26/18 S	106,310.2000	1.0000	0	106,310 *	106,310	0
09/27/18 S	101,904.0300	1.0000	0	101,904 *	101,904	0
09/28/18 S	305,619.6600	1.0000	0	305,620 *	305,620	0
10/01/18 S	267,215.8600	1.0000	0	267,216 *	267,216	0
10/02/18 S	65,540.0400	1.0000	0	65,540	65,540	0
10/03/18 S	167,020.8300	1.0000	0	167,021 *	167,021	0
10/04/18 S	64,368.4400	1.0000	0	64,368	64,368	0
10/05/18 S	54,853.0300	1.0000	0	54,853	54,853	0
10/09/18 S	654.3300	1.0000	0	654	654	0
10/09/18 S	69,733.7800	1.0000	0	69,734	69,734	0
10/10/18 S	178,013.4500	1.0000	0	178,013 *	178,013	0
10/11/18 S	61,823.5900	1.0000	0	61,824	61,824	0
10/12/18 S	31,977.6600	1.0000	0	31,978	31,978	0
10/15/18 S	63,747.2800	1.0000	0	63,747	63,747	0
10/18/18 S	150,708.0000	1.0000	0	150,708 *	150,708	0
10/19/18 S	54,461.5200	1.0000	0	54,462	54,462	0
10/22/18 S	88,512.1100	1.0000	0	88,512 *	88,512	0
10/24/18 S	90,417.2100	1.0000	0	90,417 *	90,417	0
10/25/18 S	70,660.6700	1.0000	0	70,661	70,661	0

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## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 79

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
10/26/18 S	32,896.6300	1.0000	0	32,897	32,897	0
10/29/18 S	71,630.7500	1.0000	0	71,631	71,631	0
10/30/18 S	364,444.4200	1.0000	0	364,444 *	364,444	0
10/31/18 S	128,272.4400	1.0000	0	128,272 *	128,272	0
11/01/18 S	251,753.9300	1.0000	0	251,754 *	251,754	0
11/05/18 S	94,558.2600	1.0000	0	94,558 *	94,558	0
11/06/18 S	72,660.8800	1.0000	0	72,661	72,661	0
11/07/18 S	109,856.5000	1.0000	0	109,857 *	109,857	0
11/08/18 S	92,795.5200	1.0000	0	92,796 *	92,796	0
11/08/18 S	762.9800	1.0000	0	763	763	0
11/09/18 S	56,748.1100	1.0000	0	56,748	56,748	0
11/14/18 S	228,396.0900	1.0000	0	228,396 *	228,396	0
11/15/18 S	46,988.9700	1.0000	0	46,989	46,989	0
11/21/18 S	224,870.9900	1.0000	0	224,871 *	224,871	0
11/28/18 S	86,951.2400	1.0000	0	86,951 *	86,951	0
11/29/18 S	139,660.8100	1.0000	0	139,661 *	139,661	0
11/30/18 S	342,214.9900	1.0000	0	342,215 *	342,215	0
12/03/18 S	48,646.6200	1.0000	0	48,647	48,647	0
12/04/18 S	56,832.6000	1.0000	0	56,833	56,833	0
12/06/18 S	394,056.7000	1.0000	0	394,057 *	394,057	0
12/07/18 S	50,155.0100	1.0000	0	50,155	50,155	0
12/10/18 S	819.1600	1.0000	0	819	819	0
12/10/18 S	66,107.0800	1.0000	0	66,107	66,107	0
12/11/18 S	38,552.7900	1.0000	0	38,553	38,553	0
12/12/18 S	99,160.3300	1.0000	0	99,160 *	99,160	0
12/13/18 S	100,301.2700	1.0000	0	100,301 *	100,301	0
12/20/18 S	54,403.8800	1.0000	0	54,404	54,404	0
12/26/18 S	107,459.7000	1.0000	0	107,460 *	107,460	0
12/26/18 S	59,696.8900	1.0000	0	59,697	59,697	0
12/27/18 S	145,476.5900	1.0000	0	145,477 *	145,477	0
12/28/18 S	7,316.6300	1.0000	0	7,317	7,317	0
12/31/18 S	279,459.0000	1.0000	0	279,459 *	279,459	0
SUB-TOTAL OF SALES # 200			0	22,944,539	22,944,539	0
SUB-TOTAL			0	52,108,716	52,108,716	0
GRAND TOTAL			0	52,108,716	52,108,716	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 80

<u>DATE BOUGHT/SOLD</u>	<u>SHARES PAR VALUE</u>	<u>UNIT PRICE</u>	<u>EXPENSE INCURRED</u>	<u>PRINCIPAL CASH</u>	<u>ERISA COST</u>	<u>ERISA COST GAIN/LOSS</u>
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CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE

\*\*\* NO TRANSACTIONS QUALIFIED FOR THIS SECTION \*\*\*

## F O O T N O T E S

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\* = SINGLE TRANSACTION IS 5% REPORTABLE  
B = BUY TRANSACTION  
S = SELL TRANSACTION  
R = REINVESTMENT TRANSACTION

<b>Form 5500</b> Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	<b>Annual Return/Report of Employee Benefit Plan</b> This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). <b>► Complete all entries in accordance with the instructions to the Form 5500.</b>	OMB Nos. 1210 - 0110 1210 - 0089  <b>2018</b>  <b>This Form is Open to Public Inspection</b>
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<b>Part I Annual Report Identification Information</b>	
For calendar plan year 2018 or fiscal plan year beginning <u>01/01/2018</u> and ending <u>12/31/2018</u>	
A This return/report is for:	<input checked="" type="checkbox"/> a multiemployer plan <input type="checkbox"/> a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instr.)
B This return/report is:	<input type="checkbox"/> a single-employer plan <input type="checkbox"/> a DFE (specify) _____ <input type="checkbox"/> the first return/report <input type="checkbox"/> the final return/report <input type="checkbox"/> an amended return/report <input type="checkbox"/> a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here	► <input checked="" type="checkbox"/>
D Check box if filing under:	<input checked="" type="checkbox"/> Form 5558 <input type="checkbox"/> automatic extension <input type="checkbox"/> the DFVC program <input type="checkbox"/> special extension (enter description)

<b>Part II Basic Plan Information - enter all requested information</b>					
<b>1a Name of plan</b> <b>THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT &amp; SIGNAL EMPLOYEES</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"><b>1b Three-digit plan number (PN) ►</b></td> <td style="width: 40%; text-align: center;"><b>508</b></td> </tr> <tr> <td colspan="2"><b>1c Effective date of plan</b> <b>07/01/1973</b></td> </tr> </table>	<b>1b Three-digit plan number (PN) ►</b>	<b>508</b>	<b>1c Effective date of plan</b> <b>07/01/1973</b>	
<b>1b Three-digit plan number (PN) ►</b>	<b>508</b>				
<b>1c Effective date of plan</b> <b>07/01/1973</b>					
<b>2a Plan sponsor's name (employer, if for a single-employer plan)</b> Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <b>NATIONAL CARRIERS' CONFERENCE COMMITTEE</b>  <b>251 - 18TH STREET SOUTH SUITE 750</b>  <b>ARLINGTON VA 22202</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td><b>2b Employer Identification Number (EIN)</b> <b>52-1036399</b></td> </tr> <tr> <td><b>2c Plan Sponsor's telephone number</b> <b>(571) 336-7600</b></td> </tr> <tr> <td><b>2d Business code (see instructions)</b> <b>482110</b></td> </tr> </table>	<b>2b Employer Identification Number (EIN)</b> <b>52-1036399</b>	<b>2c Plan Sponsor's telephone number</b> <b>(571) 336-7600</b>	<b>2d Business code (see instructions)</b> <b>482110</b>	
<b>2b Employer Identification Number (EIN)</b> <b>52-1036399</b>					
<b>2c Plan Sponsor's telephone number</b> <b>(571) 336-7600</b>					
<b>2d Business code (see instructions)</b> <b>482110</b>					

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

<b>SIGN HERE</b>	<i>Brendan M. Branon</i>	<u>10/9/2019</u>	BRENDAN M. BRANON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
<b>SIGN HERE</b>			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
<b>SIGN HERE</b>			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2018)  
v. 171027

<b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor	<b>3b</b> Administrator's EIN  <b>3c</b> Administrator's telephone number  <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
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<b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: <b>a</b> Sponsor's name <b>c</b> Plan Name	<b>4b</b> EIN  <b>4d</b> PN
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<b>5</b> Total number of participants at the beginning of the plan year	<b>5</b>	41,948
<b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1), 6a(2), 6b, 6c, and 6d).		
<b>a(1)</b> Total number of active participants at the beginning of the plan year	<b>6a(1)</b>	41,948
<b>a(2)</b> Total number of active participants at the end of the plan year	<b>6a(2)</b>	42,267
<b>b</b> Retired or separated participants receiving benefits	<b>6b</b>	
<b>c</b> Other retired or separated participants entitled to future benefits	<b>6c</b>	
<b>d</b> Subtotal. Add lines 6a(2), 6b, and 6c	<b>6d</b>	42,267
<b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	<b>6e</b>	
<b>f</b> Total. Add lines 6d and 6e	<b>6f</b>	
<b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	<b>6g</b>	
<b>h</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	<b>6h</b>	
<b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	<b>7</b>	33

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:  
**4F**

<b>9a</b> Plan funding arrangement (check all that apply) (1) <input type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input checked="" type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor	<b>9b</b> Plan benefit arrangement (check all that apply) (1) <input type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input checked="" type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor
---	---

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- (1) ☐ **R** (Retirement Plan Information)  
 (2) ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary  
 (3) ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**

- (1) ☒ **H** (Financial Information)  
 (2) ☐ **I** (Financial Information - Small Plan)  
 (3) ☐ **A** (Insurance Information)  
 (4) ☒ **C** (Service Provider Information)  
 (5) ☐ **D** (DFE/Participating Plan Information)  
 (6) ☐ **G** (Financial Transaction Schedules)

**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☒ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2018 Form M-1 annual report. If the plan was not required to file the 2018 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

**THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES**

**SCHEDULE OF REPORTABLE TRANSACTIONS  
Year Ended December 31, 2018**

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Schedule H, Form 5500  
Part IV, Line 4j – Schedule of Reportable Transactions  
EIN: 52-1036399  
Plan Number: 508

(a)	(b)	(c)	(d)	(g)	(h)
Identity of Party Involved	Description of Assets	Purchase Price	Selling Price	Asset Cost	Current Value

---

SunTrust Bank Account  
Account 70-04-102-7036739 (See attached schedule as prepared and reported by SunTrust Bank)





## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 66

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
BEGINNING MARKET VALUE				1,631,693.17		
COMPARATIVE VALUE (5%)				81,584.66		
-----						
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE						
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/03/18 S	457,804.2500	1.0000	0	457,804 *	457,804	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/04/18 S	86,965.8200	1.0000	0	86,966 *	86,966	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/05/18 S	153,152.1600	1.0000	0	153,152 *	153,152	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/09/18 S	132,700.6000	1.0000	0	132,701 *	132,701	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/10/18 S	104,034.3000	1.0000	0	104,034 *	104,034	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/11/18 S	105,243.1600	1.0000	0	105,243 *	105,243	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/16/18 B	344,223.7600	1.0000	0	-344,224 *	344,224	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/17/18 B	379,890.6600	1.0000	0	-379,891 *	379,891	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/19/18 B	589,671.5500	1.0000	0	-589,672 *	589,672	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/23/18 S	114,903.9500	1.0000	0	114,904 *	114,904	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/25/18 S	128,392.9800	1.0000	0	128,393 *	128,393	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/26/18 B	612,591.9200	1.0000	0	-612,592 *	612,592	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/29/18 S	92,087.3200	1.0000	0	92,087 *	92,087	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/31/18 S	545,194.7400	1.0000	0	545,195 *	545,195	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/02/18 S	266,565.5000	1.0000	0	266,566 *	266,566	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/05/18 B	220,419.5400	1.0000	0	-220,420 *	220,420	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/12/18 S	94,443.5900	1.0000	0	94,444 *	94,444	0

00045 01 000000



REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 67

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/14/18 S	129,605.4900	1.0000	0	129,605 *	129,605	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/16/18 B	702,620.8200	1.0000	0	-702,621 *	702,621	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/20/18 B	904,025.4800	1.0000	0	-904,025 *	904,025	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/21/18 B	140,145.5500	1.0000	0	-140,146 *	140,146	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/23/18 B	583,404.4200	1.0000	0	-583,404 *	583,404	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/26/18 S	112,290.6300	1.0000	0	112,291 *	112,291	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/27/18 S	316,077.0300	1.0000	0	316,077 *	316,077	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/01/18 S	162,175.9600	1.0000	0	162,176 *	162,176	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/02/18 S	300,023.4200	1.0000	0	300,023 *	300,023	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/06/18 S	99,518.4100	1.0000	0	99,518 *	99,518	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/07/18 S	140,493.5800	1.0000	0	140,494 *	140,494	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/08/18 S	109,212.6700	1.0000	0	109,213 *	109,213	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/12/18 S	99,037.7800	1.0000	0	99,038 *	99,038	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/13/18 S	81,933.7700	1.0000	0	81,934 *	81,934	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/14/18 S	149,575.9100	1.0000	0	149,576 *	149,576	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/15/18 B	343,377.0900	1.0000	0	-343,377 *	343,377	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/16/18 B	315,063.5700	1.0000	0	-315,064 *	315,064	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/19/18 S	101,628.8800	1.0000	0	101,629 *	101,629	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/20/18 S	110,629.9600	1.0000	0	110,630 *	110,630	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/21/18 B	1,054,574.2000	1.0000	0	-1,054,574 *	1,054,574	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/26/18 B	493,694.8400	1.0000	0	-493,695 *	493,695	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/28/18 S	175,328.4000	1.0000	0	175,328 *	175,328	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/29/18 S	547,941.2500	1.0000	0	547,941 *	547,941	0

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 68

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/04/18 S	232,332.6700	1.0000	0	232,333 *	232,333	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/05/18 S	167,796.3400	1.0000	0	167,796 *	167,796	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/06/18 S	96,672.4700	1.0000	0	96,672 *	96,672	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/09/18 S	103,253.9500	1.0000	0	103,254 *	103,254	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/11/18 S	142,226.0300	1.0000	0	142,226 *	142,226	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/12/18 S	131,300.8300	1.0000	0	131,301 *	131,301	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/16/18 B	348,567.0200	1.0000	0	-348,567 *	348,567	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/18/18 B	1,342,987.6100	1.0000	0	-1,342,988 *	1,342,988	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/19/18 S	86,333.2500	1.0000	0	86,333 *	86,333	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/23/18 S	109,205.5500	1.0000	0	109,206 *	109,206	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/24/18 B	862,146.5000	1.0000	0	-862,147 *	862,147	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/25/18 S	105,850.3600	1.0000	0	105,850 *	105,850	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/26/18 S	130,080.1900	1.0000	0	130,080 *	130,080	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/27/18 S	373,284.2000	1.0000	0	373,284 *	373,284	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/30/18 S	89,683.9500	1.0000	0	89,684 *	89,684	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/02/18 S	321,522.2300	1.0000	0	321,522 *	321,522	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/07/18 S	115,741.6800	1.0000	0	115,742 *	115,742	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/08/18 S	86,830.0900	1.0000	0	86,830 *	86,830	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/09/18 S	89,242.9400	1.0000	0	89,243 *	89,243	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/10/18 S	112,090.3800	1.0000	0	112,090 *	112,090	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/14/18 S	139,840.9900	1.0000	0	139,841 *	139,841	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/16/18 B	294,173.5400	1.0000	0	-294,174 *	294,174	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/17/18 B	479,147.9200	1.0000	0	-479,148 *	479,148	



# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 69

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/18/18 B	814,129.1000	1.0000	0	-814,129 *	814,129	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/21/18 S	99,421.0200	1.0000	0	99,421 *	99,421	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/23/18 B	278,591.7100	1.0000	0	-278,592 *	278,592	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/24/18 B	520,111.8300	1.0000	0	-520,112 *	520,112	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/25/18 S	101,892.4400	1.0000	0	101,892 *	101,892	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/29/18 S	105,881.8000	1.0000	0	105,882 *	105,882	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/30/18 S	373,146.0400	1.0000	0	373,146 *	373,146	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/31/18 S	108,813.9600	1.0000	0	108,814 *	108,814	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/01/18 S	83,752.0900	1.0000	0	83,752 *	83,752	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/04/18 S	95,544.1600	1.0000	0	95,544 *	95,544	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/05/18 S	256,062.4300	1.0000	0	256,062 *	256,062	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/06/18 S	93,907.8100	1.0000	0	93,908 *	93,908	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/07/18 S	132,206.3100	1.0000	0	132,206 *	132,206	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/08/18 S	97,592.1800	1.0000	0	97,592 *	97,592	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/11/18 S	88,615.3200	1.0000	0	88,615 *	88,615	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/13/18 S	101,147.3100	1.0000	0	101,147 *	101,147	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/15/18 B	256,268.8300	1.0000	0	-256,269 *	256,269	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/18/18 B	508,815.3700	1.0000	0	-508,815 *	508,815	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/19/18 B	749,043.2300	1.0000	0	-749,043 *	749,043	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/20/18 S	93,975.1200	1.0000	0	93,975 *	93,975	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/21/18 S	91,680.2300	1.0000	0	91,680 *	91,680	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/25/18 B	873,588.7300	1.0000	0	-873,589 *	873,589	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/27/18 S	105,508.0300	1.0000	0	105,508 *	105,508	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 70

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/28/18 S	318,897.7300	1.0000	0	318,898 *	318,898	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/05/18 S	136,159.3300	1.0000	0	136,159 *	136,159	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/06/18 S	538,486.6000	1.0000	0	538,487 *	538,487	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/09/18 S	90,739.7700	1.0000	0	90,740 *	90,740	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/10/18 S	87,492.7000	1.0000	0	87,493 *	87,493	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/11/18 S	104,216.8900	1.0000	0	104,217 *	104,217	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/12/18 S	105,815.6100	1.0000	0	105,816 *	105,816	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/16/18 B	378,997.2700	1.0000	0	-378,997 *	378,997	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/17/18 B	237,149.6200	1.0000	0	-237,150 *	237,150	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/18/18 B	1,182,761.9600	1.0000	0	-1,182,762 *	1,182,762	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/20/18 B	609,921.8000	1.0000	0	-609,922 *	609,922	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/23/18 S	119,855.6600	1.0000	0	119,856 *	119,856	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/25/18 S	132,007.6400	1.0000	0	132,008 *	132,008	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/26/18 S	83,749.3700	1.0000	0	83,749 *	83,749	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/27/18 S	86,067.7100	1.0000	0	86,068 *	86,068	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/31/18 S	478,189.5400	1.0000	0	478,190 *	478,190	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/01/18 S	263,701.4000	1.0000	0	263,701 *	263,701	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/06/18 S	111,132.9400	1.0000	0	111,133 *	111,133	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/07/18 S	84,943.0900	1.0000	0	84,943 *	84,943	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/13/18 S	102,202.4700	1.0000	0	102,202 *	102,202	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/15/18 S	92,354.1500	1.0000	0	92,354 *	92,354	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/16/18 B	460,154.7000	1.0000	0	-460,155 *	460,155	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/17/18 B	1,547,186.7600	1.0000	0	-1,547,187 *	1,547,187	

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# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 71

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/20/18 S	92,824.7000	1.0000	0	92,825 *	92,825	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/24/18 B	596,947.2200	1.0000	0	-596,947 *	596,947	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/27/18 S	94,214.7800	1.0000	0	94,215 *	94,215	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/29/18 S	107,718.9600	1.0000	0	107,719 *	107,719	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/30/18 S	363,192.2400	1.0000	0	363,192 *	363,192	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/04/18 S	96,657.5500	1.0000	0	96,658 *	96,658	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/05/18 S	297,568.0100	1.0000	0	297,568 *	297,568	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/06/18 S	88,194.1300	1.0000	0	88,194 *	88,194	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/12/18 S	92,668.1800	1.0000	0	92,668 *	92,668	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/13/18 S	101,329.3100	1.0000	0	101,329 *	101,329	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/18/18 B	891,429.8400	1.0000	0	-891,430 *	891,430	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/19/18 B	659,803.9900	1.0000	0	-659,804 *	659,804	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/20/18 B	287,046.4800	1.0000	0	-287,046 *	287,046	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/24/18 B	670,560.9100	1.0000	0	-670,561 *	670,561	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/26/18 S	106,310.2000	1.0000	0	106,310 *	106,310	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/27/18 S	101,904.0300	1.0000	0	101,904 *	101,904	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/28/18 S	305,619.6600	1.0000	0	305,620 *	305,620	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/01/18 S	267,215.8600	1.0000	0	267,216 *	267,216	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/03/18 S	167,020.8300	1.0000	0	167,021 *	167,021	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/10/18 S	178,013.4500	1.0000	0	178,013 *	178,013	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/16/18 B	719,382.2700	1.0000	0	-719,382 *	719,382	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/17/18 B	806,171.3700	1.0000	0	-806,171 *	806,171	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/18/18 S	150,708.0000	1.0000	0	150,708 *	150,708	0





## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 72

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/22/18 S	88,512.1100	1.0000	0	88,512 *	88,512	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/23/18 B	987,449.4600	1.0000	0	-987,449 *	987,449	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/24/18 S	90,417.2100	1.0000	0	90,417 *	90,417	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/30/18 S	364,444.4200	1.0000	0	364,444 *	364,444	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/31/18 S	128,272.4400	1.0000	0	128,272 *	128,272	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/01/18 S	251,753.9300	1.0000	0	251,754 *	251,754	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/05/18 S	94,558.2600	1.0000	0	94,558 *	94,558	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/07/18 S	109,856.5000	1.0000	0	109,857 *	109,857	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/08/18 S	92,795.5200	1.0000	0	92,796 *	92,796	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/14/18 S	228,396.0900	1.0000	0	228,396 *	228,396	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/16/18 B	730,433.8400	1.0000	0	-730,434 *	730,434	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/19/18 B	121,323.2100	1.0000	0	-121,323 *	121,323	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/20/18 B	734,635.2800	1.0000	0	-734,635 *	734,635	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/21/18 S	224,870.9900	1.0000	0	224,871 *	224,871	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/26/18 B	724,732.8500	1.0000	0	-724,733 *	724,733	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/27/18 B	273,286.8800	1.0000	0	-273,287 *	273,287	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/28/18 S	86,951.2400	1.0000	0	86,951 *	86,951	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/29/18 S	139,660.8100	1.0000	0	139,661 *	139,661	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/30/18 S	342,214.9900	1.0000	0	342,215 *	342,215	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/06/18 S	394,056.7000	1.0000	0	394,057 *	394,057	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/12/18 S	99,160.3300	1.0000	0	99,160 *	99,160	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/13/18 S	100,301.2700	1.0000	0	100,301 *	100,301	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/14/18 B	234,665.2900	1.0000	0	-234,665 *	234,665	

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## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 73

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/17/18 B	392,724.7300	1.0000	0	-392,725 *	392,725	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/18/18 B	398,355.8400	1.0000	0	-398,356 *	398,356	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/19/18 B	728,282.7900	1.0000	0	-728,283 *	728,283	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/21/18 B	649,354.0300	1.0000	0	-649,354 *	649,354	
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/26/18 S	107,459.7000	1.0000	0	107,460 *	107,460	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/27/18 S	145,476.5900	1.0000	0	145,477 *	145,477	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/31/18 S	279,459.0000	1.0000	0	279,459 *	279,459	0
GRAND TOTAL			0	47,529,221	47,529,221	0

CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

\*\*\* NO TRANSACTIONS QUALIFIED FOR THIS SECTION \*\*\*

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68

01/03/18 B	1,374.1700	1.0000	0	-1,374	1,374
01/16/18 B	344,223.7600	1.0000	0	-344,224 *	344,224
01/17/18 B	379,890.6600	1.0000	0	-379,891 *	379,891
01/19/18 B	589,671.5500	1.0000	0	-589,672 *	589,672
01/22/18 B	25,479.1600	1.0000	0	-25,479	25,479
01/26/18 B	612,591.9200	1.0000	0	-612,592 *	612,592

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## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 74

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
02/02/18 B	1,190.2100	1.0000	0	-1,190	1,190	
02/05/18 B	220,419.5400	1.0000	0	-220,420 *	220,420	
02/16/18 B	702,620.8200	1.0000	0	-702,621 *	702,621	
02/20/18 B	904,025.4800	1.0000	0	-904,025 *	904,025	
02/21/18 B	140,145.5500	1.0000	0	-140,146 *	140,146	
02/23/18 B	583,404.4200	1.0000	0	-583,404 *	583,404	
03/02/18 B	1,511.3000	1.0000	0	-1,511	1,511	
03/15/18 B	343,377.0900	1.0000	0	-343,377 *	343,377	
03/16/18 B	315,063.5700	1.0000	0	-315,064 *	315,064	
03/21/18 B	1,054,574.2000	1.0000	0	-1,054,574 *	1,054,574	
03/26/18 B	493,694.8400	1.0000	0	-493,695 *	493,695	
04/03/18 B	2,512.8100	1.0000	0	-2,513	2,513	
04/16/18 B	348,567.0200	1.0000	0	-348,567 *	348,567	
04/18/18 B	1,342,987.6100	1.0000	0	-1,342,988 *	1,342,988	
04/24/18 B	862,146.5000	1.0000	0	-862,147 *	862,147	
05/02/18 B	2,738.9900	1.0000	0	-2,739	2,739	
05/15/18 B	12,866.4700	1.0000	0	-12,866	12,866	
05/16/18 B	294,173.5400	1.0000	0	-294,174 *	294,174	
05/17/18 B	479,147.9200	1.0000	0	-479,148 *	479,148	
05/18/18 B	814,129.1000	1.0000	0	-814,129 *	814,129	
05/23/18 B	278,591.7100	1.0000	0	-278,592 *	278,592	
05/24/18 B	520,111.8300	1.0000	0	-520,112 *	520,112	
06/04/18 B	3,565.3800	1.0000	0	-3,565	3,565	
06/15/18 B	256,268.8300	1.0000	0	-256,269 *	256,269	
06/18/18 B	508,815.3700	1.0000	0	-508,815 *	508,815	
06/19/18 B	749,043.2300	1.0000	0	-749,043 *	749,043	
06/25/18 B	873,588.7300	1.0000	0	-873,589 *	873,589	
07/03/18 B	4,158.9800	1.0000	0	-4,159	4,159	
07/16/18 B	378,997.2700	1.0000	0	-378,997 *	378,997	
07/17/18 B	237,149.6200	1.0000	0	-237,150 *	237,150	
07/18/18 B	1,182,761.9600	1.0000	0	-1,182,762 *	1,182,762	
07/19/18 B	15,127.8000	1.0000	0	-15,128	15,128	
07/20/18 B	609,921.8000	1.0000	0	-609,922 *	609,922	
08/02/18 B	5,742.0500	1.0000	0	-5,742	5,742	
08/16/18 B	460,154.7000	1.0000	0	-460,155 *	460,155	
08/17/18 B	1,547,186.7600	1.0000	0	-1,547,187 *	1,547,187	
08/24/18 B	596,947.2200	1.0000	0	-596,947 *	596,947	
09/05/18 B	6,499.2900	1.0000	0	-6,499	6,499	
09/18/18 B	891,429.8400	1.0000	0	-891,430 *	891,430	
09/19/18 B	659,803.9900	1.0000	0	-659,804 *	659,804	
09/20/18 B	287,046.4800	1.0000	0	-287,046 *	287,046	
09/24/18 B	670,560.9100	1.0000	0	-670,561 *	670,561	
10/02/18 B	7,409.1900	1.0000	0	-7,409	7,409	
10/16/18 B	719,382.2700	1.0000	0	-719,382 *	719,382	
10/17/18 B	806,171.3700	1.0000	0	-806,171 *	806,171	
10/23/18 B	987,449.4600	1.0000	0	-987,449 *	987,449	

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# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 75

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
11/02/18 B	9,792.5300	1.0000	0	-9,793	9,793	
11/13/18 B	19,661.0700	1.0000	0	-19,661	19,661	
11/16/18 B	730,433.8400	1.0000	0	-730,434 *	730,434	
11/19/18 B	121,323.2100	1.0000	0	-121,323 *	121,323	
11/20/18 B	734,635.2800	1.0000	0	-734,635 *	734,635	
11/26/18 B	724,732.8500	1.0000	0	-724,733 *	724,733	
11/27/18 B	273,286.8800	1.0000	0	-273,287 *	273,287	
12/04/18 B	10,512.6400	1.0000	0	-10,513	10,513	
12/14/18 B	234,665.2900	1.0000	0	-234,665 *	234,665	
12/17/18 B	392,724.7300	1.0000	0	-392,725 *	392,725	
12/18/18 B	398,355.8400	1.0000	0	-398,356 *	398,356	
12/19/18 B	728,282.7900	1.0000	0	-728,283 *	728,283	
12/21/18 B	649,354.0300	1.0000	0	-649,354 *	649,354	
SUB-TOTAL OF BUYS # 65			0	29,164,177	29,164,177	
01/02/18 S	71,151.6800	1.0000	0	71,152	71,152	0
01/03/18 S	457,804.2500	1.0000	0	457,804 *	457,804	0
01/04/18 S	86,965.8200	1.0000	0	86,966 *	86,966	0
01/05/18 S	153,152.1600	1.0000	0	153,152 *	153,152	0
01/08/18 S	56,478.9900	1.0000	0	56,479	56,479	0
01/09/18 S	132,700.6000	1.0000	0	132,701 *	132,701	0
01/09/18 S	256.6500	1.0000	0	257	257	0
01/10/18 S	104,034.3000	1.0000	0	104,034 *	104,034	0
01/11/18 S	105,243.1600	1.0000	0	105,243 *	105,243	0
01/12/18 S	68,847.7400	1.0000	0	68,848	68,848	0
01/18/18 S	37,253.6400	1.0000	0	37,254	37,254	0
01/23/18 S	114,903.9500	1.0000	0	114,904 *	114,904	0
01/24/18 S	13,800.4100	1.0000	0	13,800	13,800	0
01/25/18 S	128,392.9800	1.0000	0	128,393 *	128,393	0
01/29/18 S	92,087.3200	1.0000	0	92,087 *	92,087	0
01/31/18 S	545,194.7400	1.0000	0	545,195 *	545,195	0
02/01/18 S	60,882.0600	1.0000	0	60,882	60,882	0
02/02/18 S	266,565.5000	1.0000	0	266,566 *	266,566	0
02/06/18 S	78,453.3600	1.0000	0	78,453	78,453	0
02/07/18 S	54,888.0000	1.0000	0	54,888	54,888	0
02/08/18 S	214.9800	1.0000	0	215	215	0
02/08/18 S	70,392.9500	1.0000	0	70,393	70,393	0
02/09/18 S	56,729.0800	1.0000	0	56,729	56,729	0
02/12/18 S	94,443.5900	1.0000	0	94,444 *	94,444	0
02/13/18 S	77,987.3200	1.0000	0	77,987	77,987	0
02/14/18 S	129,605.4900	1.0000	0	129,605 *	129,605	0
02/15/18 S	40,701.3900	1.0000	0	40,701	40,701	0
02/22/18 S	21,226.1400	1.0000	0	21,226	21,226	0
02/26/18 S	112,290.6300	1.0000	0	112,291 *	112,291	0
02/27/18 S	316,077.0300	1.0000	0	316,077 *	316,077	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 76

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
02/28/18 S	67,694.8400	1.0000	0	67,695	67,695	0
03/01/18 S	162,175.9600	1.0000	0	162,176 *	162,176	0
03/02/18 S	300,023.4200	1.0000	0	300,023 *	300,023	0
03/05/18 S	71,792.6900	1.0000	0	71,793	71,793	0
03/06/18 S	99,518.4100	1.0000	0	99,518 *	99,518	0
03/07/18 S	140,493.5800	1.0000	0	140,494 *	140,494	0
03/08/18 S	109,212.6700	1.0000	0	109,213 *	109,213	0
03/08/18 S	261.3500	1.0000	0	261	261	0
03/09/18 S	38,144.8400	1.0000	0	38,145	38,145	0
03/12/18 S	99,037.7800	1.0000	0	99,038 *	99,038	0
03/13/18 S	81,933.7700	1.0000	0	81,934 *	81,934	0
03/14/18 S	149,575.9100	1.0000	0	149,576 *	149,576	0
03/19/18 S	101,628.8800	1.0000	0	101,629 *	101,629	0
03/20/18 S	110,629.9600	1.0000	0	110,630 *	110,630	0
03/22/18 S	76,184.7700	1.0000	0	76,185	76,185	0
03/23/18 S	72,763.4900	1.0000	0	72,763	72,763	0
03/27/18 S	72,889.6200	1.0000	0	72,890	72,890	0
03/28/18 S	175,328.4000	1.0000	0	175,328 *	175,328	0
03/29/18 S	547,941.2500	1.0000	0	547,941 *	547,941	0
04/02/18 S	73,207.1100	1.0000	0	73,207	73,207	0
04/03/18 S	55,221.9000	1.0000	0	55,222	55,222	0
04/04/18 S	232,332.6700	1.0000	0	232,333 *	232,333	0
04/05/18 S	167,796.3400	1.0000	0	167,796 *	167,796	0
04/06/18 S	96,672.4700	1.0000	0	96,672 *	96,672	0
04/09/18 S	103,253.9500	1.0000	0	103,254 *	103,254	0
04/09/18 S	324.9000	1.0000	0	325	325	0
04/10/18 S	68,814.1900	1.0000	0	68,814	68,814	0
04/11/18 S	142,226.0300	1.0000	0	142,226 *	142,226	0
04/12/18 S	131,300.8300	1.0000	0	131,301 *	131,301	0
04/13/18 S	66,707.3100	1.0000	0	66,707	66,707	0
04/17/18 S	67,936.1400	1.0000	0	67,936	67,936	0
04/19/18 S	86,333.2500	1.0000	0	86,333 *	86,333	0
04/20/18 S	71,819.0300	1.0000	0	71,819	71,819	0
04/23/18 S	109,205.5500	1.0000	0	109,206 *	109,206	0
04/25/18 S	105,850.3600	1.0000	0	105,850 *	105,850	0
04/26/18 S	130,080.1900	1.0000	0	130,080 *	130,080	0
04/27/18 S	373,284.2000	1.0000	0	373,284 *	373,284	0
04/30/18 S	89,683.9500	1.0000	0	89,684 *	89,684	0
05/01/18 S	68,642.9600	1.0000	0	68,643	68,643	0
05/02/18 S	321,522.2300	1.0000	0	321,522 *	321,522	0
05/03/18 S	66,438.4200	1.0000	0	66,438	66,438	0
05/04/18 S	64,345.2500	1.0000	0	64,345	64,345	0
05/07/18 S	115,741.6800	1.0000	0	115,742 *	115,742	0
05/08/18 S	86,830.0900	1.0000	0	86,830 *	86,830	0
05/08/18 S	330.4700	1.0000	0	330	330	0
05/09/18 S	89,242.9400	1.0000	0	89,243 *	89,243	0

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# REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 77

RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
05/10/18 S	112,090.3800	1.0000	0	112,090 *	112,090	0
05/11/18 S	60,436.4400	1.0000	0	60,436	60,436	0
05/14/18 S	139,840.9900	1.0000	0	139,841 *	139,841	0
05/21/18 S	99,421.0200	1.0000	0	99,421 *	99,421	0
05/22/18 S	81,289.8600	1.0000	0	81,290	81,290	0
05/25/18 S	101,892.4400	1.0000	0	101,892 *	101,892	0
05/29/18 S	105,881.8000	1.0000	0	105,882 *	105,882	0
05/30/18 S	373,146.0400	1.0000	0	373,146 *	373,146	0
05/31/18 S	108,813.9600	1.0000	0	108,814 *	108,814	0
06/01/18 S	83,752.0900	1.0000	0	83,752 *	83,752	0
06/04/18 S	95,544.1600	1.0000	0	95,544 *	95,544	0
06/05/18 S	256,062.4300	1.0000	0	256,062 *	256,062	0
06/06/18 S	93,907.8100	1.0000	0	93,908 *	93,908	0
06/07/18 S	132,206.3100	1.0000	0	132,206 *	132,206	0
06/08/18 S	97,592.1800	1.0000	0	97,592 *	97,592	0
06/08/18 S	388.7200	1.0000	0	389	389	0
06/11/18 S	88,615.3200	1.0000	0	88,615 *	88,615	0
06/12/18 S	74,181.4000	1.0000	0	74,181	74,181	0
06/13/18 S	101,147.3100	1.0000	0	101,147 *	101,147	0
06/14/18 S	60,423.8900	1.0000	0	60,424	60,424	0
06/20/18 S	93,975.1200	1.0000	0	93,975 *	93,975	0
06/21/18 S	91,680.2300	1.0000	0	91,680 *	91,680	0
06/22/18 S	74,730.9400	1.0000	0	74,731	74,731	0
06/26/18 S	32,081.2700	1.0000	0	32,081	32,081	0
06/27/18 S	105,508.0300	1.0000	0	105,508 *	105,508	0
06/28/18 S	318,897.7300	1.0000	0	318,898 *	318,898	0
07/05/18 S	136,159.3300	1.0000	0	136,159 *	136,159	0
07/06/18 S	538,486.6000	1.0000	0	538,487 *	538,487	0
07/09/18 S	90,739.7700	1.0000	0	90,740 *	90,740	0
07/10/18 S	87,492.7000	1.0000	0	87,493 *	87,493	0
07/10/18 S	428.5200	1.0000	0	429	429	0
07/11/18 S	104,216.8900	1.0000	0	104,217 *	104,217	0
07/12/18 S	105,815.6100	1.0000	0	105,816 *	105,816	0
07/13/18 S	73,770.5100	1.0000	0	73,771	73,771	0
07/23/18 S	119,855.6600	1.0000	0	119,856 *	119,856	0
07/24/18 S	58,932.3300	1.0000	0	58,932	58,932	0
07/25/18 S	132,007.6400	1.0000	0	132,008 *	132,008	0
07/26/18 S	83,749.3700	1.0000	0	83,749 *	83,749	0
07/27/18 S	86,067.7100	1.0000	0	86,068 *	86,068	0
07/31/18 S	478,189.5400	1.0000	0	478,190 *	478,190	0
08/01/18 S	263,701.4000	1.0000	0	263,701 *	263,701	0
08/02/18 S	60,807.1600	1.0000	0	60,807	60,807	0
08/03/18 S	72,106.7500	1.0000	0	72,107	72,107	0
08/06/18 S	111,132.9400	1.0000	0	111,133 *	111,133	0
08/07/18 S	84,943.0900	1.0000	0	84,943 *	84,943	0
08/08/18 S	533.1300	1.0000	0	533	533	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 78

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
08/08/18 S	46,357.1600	1.0000	0	46,357	46,357	0
08/09/18 S	46,584.2000	1.0000	0	46,584	46,584	0
08/10/18 S	68,079.7600	1.0000	0	68,080	68,080	0
08/13/18 S	102,202.4700	1.0000	0	102,202 *	102,202	0
08/14/18 S	36,868.0000	1.0000	0	36,868	36,868	0
08/15/18 S	92,354.1500	1.0000	0	92,354 *	92,354	0
08/20/18 S	92,824.7000	1.0000	0	92,825 *	92,825	0
08/21/18 S	65,962.0100	1.0000	0	65,962	65,962	0
08/22/18 S	49,082.0000	1.0000	0	49,082	49,082	0
08/23/18 S	76,729.3500	1.0000	0	76,729	76,729	0
08/27/18 S	94,214.7800	1.0000	0	94,215 *	94,215	0
08/28/18 S	59,182.7600	1.0000	0	59,183	59,183	0
08/29/18 S	107,718.9600	1.0000	0	107,719 *	107,719	0
08/30/18 S	363,192.2400	1.0000	0	363,192 *	363,192	0
08/31/18 S	73,250.1100	1.0000	0	73,250	73,250	0
09/04/18 S	96,657.5500	1.0000	0	96,658 *	96,658	0
09/05/18 S	297,568.0100	1.0000	0	297,568 *	297,568	0
09/06/18 S	88,194.1300	1.0000	0	88,194 *	88,194	0
09/07/18 S	59,283.3700	1.0000	0	59,283	59,283	0
09/10/18 S	72,463.8700	1.0000	0	72,464	72,464	0
09/11/18 S	586.1700	1.0000	0	586	586	0
09/11/18 S	76,797.5600	1.0000	0	76,798	76,798	0
09/12/18 S	92,668.1800	1.0000	0	92,668 *	92,668	0
09/13/18 S	101,329.3100	1.0000	0	101,329 *	101,329	0
09/14/18 S	39,101.6400	1.0000	0	39,102	39,102	0
09/17/18 S	73,360.4500	1.0000	0	73,360	73,360	0
09/21/18 S	40,730.5200	1.0000	0	40,731	40,731	0
09/26/18 S	106,310.2000	1.0000	0	106,310 *	106,310	0
09/27/18 S	101,904.0300	1.0000	0	101,904 *	101,904	0
09/28/18 S	305,619.6600	1.0000	0	305,620 *	305,620	0
10/01/18 S	267,215.8600	1.0000	0	267,216 *	267,216	0
10/02/18 S	65,540.0400	1.0000	0	65,540	65,540	0
10/03/18 S	167,020.8300	1.0000	0	167,021 *	167,021	0
10/04/18 S	64,368.4400	1.0000	0	64,368	64,368	0
10/05/18 S	54,853.0300	1.0000	0	54,853	54,853	0
10/09/18 S	654.3300	1.0000	0	654	654	0
10/09/18 S	69,733.7800	1.0000	0	69,734	69,734	0
10/10/18 S	178,013.4500	1.0000	0	178,013 *	178,013	0
10/11/18 S	61,823.5900	1.0000	0	61,824	61,824	0
10/12/18 S	31,977.6600	1.0000	0	31,978	31,978	0
10/15/18 S	63,747.2800	1.0000	0	63,747	63,747	0
10/18/18 S	150,708.0000	1.0000	0	150,708 *	150,708	0
10/19/18 S	54,461.5200	1.0000	0	54,462	54,462	0
10/22/18 S	88,512.1100	1.0000	0	88,512 *	88,512	0
10/24/18 S	90,417.2100	1.0000	0	90,417 *	90,417	0
10/25/18 S	70,660.6700	1.0000	0	70,661	70,661	0

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## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

PAGE 79

RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
10/26/18 S	32,896.6300	1.0000	0	32,897	32,897	0
10/29/18 S	71,630.7500	1.0000	0	71,631	71,631	0
10/30/18 S	364,444.4200	1.0000	0	364,444 *	364,444	0
10/31/18 S	128,272.4400	1.0000	0	128,272 *	128,272	0
11/01/18 S	251,753.9300	1.0000	0	251,754 *	251,754	0
11/05/18 S	94,558.2600	1.0000	0	94,558 *	94,558	0
11/06/18 S	72,660.8800	1.0000	0	72,661	72,661	0
11/07/18 S	109,856.5000	1.0000	0	109,857 *	109,857	0
11/08/18 S	92,795.5200	1.0000	0	92,796 *	92,796	0
11/08/18 S	762.9800	1.0000	0	763	763	0
11/09/18 S	56,748.1100	1.0000	0	56,748	56,748	0
11/14/18 S	228,396.0900	1.0000	0	228,396 *	228,396	0
11/15/18 S	46,988.9700	1.0000	0	46,989	46,989	0
11/21/18 S	224,870.9900	1.0000	0	224,871 *	224,871	0
11/28/18 S	86,951.2400	1.0000	0	86,951 *	86,951	0
11/29/18 S	139,660.8100	1.0000	0	139,661 *	139,661	0
11/30/18 S	342,214.9900	1.0000	0	342,215 *	342,215	0
12/03/18 S	48,646.6200	1.0000	0	48,647	48,647	0
12/04/18 S	56,832.6000	1.0000	0	56,833	56,833	0
12/06/18 S	394,056.7000	1.0000	0	394,057 *	394,057	0
12/07/18 S	50,155.0100	1.0000	0	50,155	50,155	0
12/10/18 S	819.1600	1.0000	0	819	819	0
12/10/18 S	66,107.0800	1.0000	0	66,107	66,107	0
12/11/18 S	38,552.7900	1.0000	0	38,553	38,553	0
12/12/18 S	99,160.3300	1.0000	0	99,160 *	99,160	0
12/13/18 S	100,301.2700	1.0000	0	100,301 *	100,301	0
12/20/18 S	54,403.8800	1.0000	0	54,404	54,404	0
12/26/18 S	107,459.7000	1.0000	0	107,460 *	107,460	0
12/26/18 S	59,696.8900	1.0000	0	59,697	59,697	0
12/27/18 S	145,476.5900	1.0000	0	145,477 *	145,477	0
12/28/18 S	7,316.6300	1.0000	0	7,317	7,317	0
12/31/18 S	279,459.0000	1.0000	0	279,459 *	279,459	0
SUB-TOTAL OF SALES # 200			0	22,944,539	22,944,539	0
SUB-TOTAL			0	52,108,716	52,108,716	0
GRAND TOTAL			0	52,108,716	52,108,716	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/18 THROUGH 12/31/18

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 80

<u>DATE BOUGHT/SOLD</u>	<u>SHARES PAR VALUE</u>	<u>UNIT PRICE</u>	<u>EXPENSE INCURRED</u>	<u>PRINCIPAL CASH</u>	<u>ERISA COST</u>	<u>ERISA COST GAIN/LOSS</u>
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CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE

\*\*\* NO TRANSACTIONS QUALIFIED FOR THIS SECTION \*\*\*

## F O O T N O T E S

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\* = SINGLE TRANSACTION IS 5% REPORTABLE  
B = BUY TRANSACTION  
S = SELL TRANSACTION  
R = REINVESTMENT TRANSACTION