

<b>Form 5500</b>  Department of the Treasury Internal Revenue Service  Department of Labor Employee Benefits Security Administration  Pension Benefit Guaranty Corporation	<b>Annual Return/Report of Employee Benefit Plan</b>  This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).  <b>► Complete all entries in accordance with the instructions to the Form 5500.</b>	OMB Nos. 1210-0110 1210-0089  <b>2016</b>  <b>This Form is Open to Public Inspection</b>
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<b>Part I</b>	<b>Annual Report Identification Information</b> For calendar plan year 2016 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>12/31/2016</u>
<b>A</b> This return/report is for: <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> a multiemployer plan   <input type="checkbox"/> a single-employer plan         </div> <div> <input type="checkbox"/> a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)   <input type="checkbox"/> a DFE (specify) ____         </div> </div>	
<b>B</b> This return/report is: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> the first return/report   <input type="checkbox"/> an amended return/report         </div> <div> <input type="checkbox"/> the final return/report   <input type="checkbox"/> a short plan year return/report (less than 12 months)         </div> </div>	
<b>C</b> If the plan is a collectively-bargained plan, check here. . . . . <input checked="" type="checkbox"/>	
<b>D</b> Check box if filing under: <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Form 5558   <input type="checkbox"/> special extension (enter description)         </div> <div> <input type="checkbox"/> automatic extension         </div> <div> <input type="checkbox"/> the DFVC program         </div> </div>	

<b>Part II</b>	<b>Basic Plan Information</b> —enter all requested information		
<b>1a</b> Name of plan <u>THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT &amp; SIGNAL EMPLOYEES</u>		<b>1b</b> Three-digit plan number (PN) ►	<u>508</u>
<b>2a</b> Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>NATIONAL CARRIERS' CONFERENCE COMMITTEE</u>   <u>251 - 18TH STREET SOUTH SUITE 750</u> <u>ARLINGTON, VA 22202</u>		<b>1c</b> Effective date of plan <u>07/01/1973</u>	
		<b>2b</b> Employer Identification Number (EIN) <u>52-1036399</u>	
		<b>2c</b> Plan Sponsor's telephone number <u>571-336-7600</u>	
		<b>2d</b> Business code (see instructions) <u>482110</u>	

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

<b>SIGN HERE</b>	Filed with authorized/valid electronic signature.	10/12/2017	A. K. GRADIA
	<b>Signature of plan administrator</b>	Date	Enter name of individual signing as plan administrator
<b>SIGN HERE</b>			
	<b>Signature of employer/plan sponsor</b>	Date	Enter name of individual signing as employer or plan sponsor
<b>SIGN HERE</b>			
	<b>Signature of DFE</b>	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's telephone number

<b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor	<b>3b</b> Administrator's EIN  <b>3c</b> Administrator's telephone number  <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
<b>4</b> If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: <b>a</b> Sponsor's name	<b>4b</b> EIN  <b>4c</b> PN
<b>5</b> Total number of participants at the beginning of the plan year	<b>5</b> 46788
<b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).  <b>a(1)</b> Total number of active participants at the beginning of the plan year..... <b>a(2)</b> Total number of active participants at the end of the plan year .....  <b>b</b> Retired or separated participants receiving benefits..... <b>c</b> Other retired or separated participants entitled to future benefits ..... <b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> ..... <b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. .... <b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....  <b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....  <b>h</b> Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested .....	<b>6a(1)</b> 46788 <b>6a(2)</b> 43714 <b>6b</b> <b>6c</b> <b>6d</b> 43714 <b>6e</b> <b>6f</b> 43714 <b>6g</b> <b>6h</b>
<b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	<b>7</b> 33

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:  
4F

<b>9a</b> Plan funding arrangement (check all that apply) <b>(1)</b> <input type="checkbox"/> Insurance <b>(2)</b> <input type="checkbox"/> Code section 412(e)(3) insurance contracts <b>(3)</b> <input checked="" type="checkbox"/> Trust <b>(4)</b> <input type="checkbox"/> General assets of the sponsor	<b>9b</b> Plan benefit arrangement (check all that apply) <b>(1)</b> <input type="checkbox"/> Insurance <b>(2)</b> <input type="checkbox"/> Code section 412(e)(3) insurance contracts <b>(3)</b> <input checked="" type="checkbox"/> Trust <b>(4)</b> <input type="checkbox"/> General assets of the sponsor
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**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- (1)** ☐ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ **A** (Insurance Information)
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☐ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☒ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

<b>SCHEDULE C</b> <b>(Form 5500)</b> Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	<b>Service Provider Information</b> This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ <b>File as an attachment to Form 5500.</b>	OMB No. 1210-0110
		<b>2016</b>
		<b>This Form is Open to Public Inspection.</b>

For calendar plan year 2016 or fiscal plan year beginning **01/01/2016** and ending **12/31/2016**

<b>A</b> Name of plan THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT & SIGNAL EMPLOYEES	<b>B</b> Three-digit plan number (PN) ▶ <b>508</b>
<b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 NATIONAL CARRIERS' CONFERENCE COMMITTEE	<b>D</b> Employer Identification Number (EIN) 52-1036399

<b>Part I</b>	<b>Service Provider Information (see instructions)</b>
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

**1 Information on Persons Receiving Only Eligible Indirect Compensation**

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

WEAVER BROTHERS INSURANCE

7315 WISCONSIN AVE  
EAST SUITE 900  
BETHESDA, MD 20814

52-1590169

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
22	NONE	15288	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

TMDG, LLC

500 E PRATT STREET  
SUITE 525  
BALTIMORE, MD 21202

03-0583064

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	117435	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

AETNA, INC.

151 FARMINGTON AVENUE  
HARTFORD, CT 06186

06-6033492

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
12 13 15	NONE	2483959	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

MCMC, LLC

300 CROWN COLONY DR  
SUITE 203  
QUINCY, MA 02169

14-1847542

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
16	NONE	7306	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**(a)** Enter name and EIN or address (see instructions)

<b>(b)</b> Service Code(s)	<b>(c)</b> Relationship to employer, employee organization, or person known to be a party-in-interest	<b>(d)</b> Enter direct compensation paid by the plan. If none, enter -0-.	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	<b>(f)</b> Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	<b>(g)</b> Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	<b>(h)</b> Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	



**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

**Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>a</b> Name:	<b>b</b> EIN:
<b>c</b> Position:	
<b>d</b> Address:	<b>e</b> Telephone:

Explanation:

<b>SCHEDULE H</b> <b>(Form 5500)</b>  <small>Internal Revenue Service</small> <small>Department of Labor</small> <small>Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	<b>Financial Information</b>  This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).  <b>► File as an attachment to Form 5500.</b>	<small>OMB No. 1210-0110</small>  <b>2016</b>  <b>This Form is Open to Public Inspection</b>
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For calendar plan year 2016 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>12/31/2016</u>		
<b>A</b> Name of plan <u>THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT &amp; SIGNAL EMPLOYEES</u>	<b>B</b> Three-digit plan number (PN) ►	<u>508</u>
<b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 <u>NATIONAL CARRIERS' CONFERENCE COMMITTEE</u>	<b>D</b> Employer Identification Number (EIN) <u>52-1036399</u>	

Part I	Asset and Liability Statement		
<b>1</b> Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. <b>Round off amounts to the nearest dollar.</b> MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
<b>a</b> Total noninterest-bearing cash.....	<b>1a</b>	141344	139172
<b>b</b> Receivables (less allowance for doubtful accounts):			
<b>(1)</b> Employer contributions.....	<b>1b(1)</b>	1955250	2213395
<b>(2)</b> Participant contributions.....	<b>1b(2)</b>		
<b>(3)</b> Other.....	<b>1b(3)</b>	4729028	7660452
<b>c</b> General investments:			
<b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit).....	<b>1c(1)</b>	3356190	923792
<b>(2)</b> U.S. Government securities .....	<b>1c(2)</b>		
<b>(3)</b> Corporate debt instruments (other than employer securities):			
<b>(A)</b> Preferred .....	<b>1c(3)(A)</b>		
<b>(B)</b> All other .....	<b>1c(3)(B)</b>		
<b>(4)</b> Corporate stocks (other than employer securities):			
<b>(A)</b> Preferred .....	<b>1c(4)(A)</b>		
<b>(B)</b> Common.....	<b>1c(4)(B)</b>		
<b>(5)</b> Partnership/joint venture interests .....	<b>1c(5)</b>		
<b>(6)</b> Real estate (other than employer real property).....	<b>1c(6)</b>		
<b>(7)</b> Loans (other than to participants) .....	<b>1c(7)</b>		
<b>(8)</b> Participant loans.....	<b>1c(8)</b>		
<b>(9)</b> Value of interest in common/collective trusts .....	<b>1c(9)</b>		0
<b>(10)</b> Value of interest in pooled separate accounts .....	<b>1c(10)</b>		
<b>(11)</b> Value of interest in master trust investment accounts .....	<b>1c(11)</b>		
<b>(12)</b> Value of interest in 103-12 investment entities.....	<b>1c(12)</b>		
<b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds).....	<b>1c(13)</b>		
<b>(14)</b> Value of funds held in insurance company general account (unallocated contracts).....	<b>1c(14)</b>		
<b>(15)</b> Other.....	<b>1c(15)</b>		

		(a) Beginning of Year	(b) End of Year
<b>1d</b>	Employer-related investments:		
(1)	Employer securities .....	<b>1d(1)</b>	
(2)	Employer real property .....	<b>1d(2)</b>	
<b>e</b>	Buildings and other property used in plan operation .....	<b>1e</b>	
<b>f</b>	Total assets (add all amounts in lines 1a through 1e) .....	<b>1f</b>	10181812 10936811
<b>Liabilities</b>			
<b>g</b>	Benefit claims payable .....	<b>1g</b>	9706639 10285551
<b>h</b>	Operating payables .....	<b>1h</b>	295504 409488
<b>i</b>	Acquisition indebtedness .....	<b>1i</b>	
<b>j</b>	Other liabilities .....	<b>1j</b>	179669 241772
<b>k</b>	Total liabilities (add all amounts in lines 1g through 1j) .....	<b>1k</b>	10181812 10936811
<b>Net Assets</b>			
<b>l</b>	Net assets (subtract line 1k from line 1f) .....	<b>1l</b>	

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
<b>a</b>	<b>Contributions:</b>		
(1)	Received or receivable in cash from: <b>(A)</b> Employers .....	<b>2a(1)(A)</b>	29281721
	<b>(B)</b> Participants .....	<b>2a(1)(B)</b>	
	<b>(C)</b> Others (including rollovers) .....	<b>2a(1)(C)</b>	
(2)	Noncash contributions .....	<b>2a(2)</b>	
(3)	Total contributions. Add lines <b>2a(1)(A)</b> , <b>(B)</b> , <b>(C)</b> , and line <b>2a(2)</b> .....	<b>2a(3)</b>	29281721
<b>b</b>	<b>Earnings on investments:</b>		
(1)	Interest:		
	<b>(A)</b> Interest-bearing cash (including money market accounts and certificates of deposit) .....	<b>2b(1)(A)</b>	3000
	<b>(B)</b> U.S. Government securities .....	<b>2b(1)(B)</b>	
	<b>(C)</b> Corporate debt instruments .....	<b>2b(1)(C)</b>	
	<b>(D)</b> Loans (other than to participants) .....	<b>2b(1)(D)</b>	
	<b>(E)</b> Participant loans .....	<b>2b(1)(E)</b>	
	<b>(F)</b> Other .....	<b>2b(1)(F)</b>	
	<b>(G)</b> Total interest. Add lines <b>2b(1)(A)</b> through <b>(F)</b> .....	<b>2b(1)(G)</b>	3000
(2)	Dividends: <b>(A)</b> Preferred stock .....	<b>2b(2)(A)</b>	
	<b>(B)</b> Common stock .....	<b>2b(2)(B)</b>	
	<b>(C)</b> Registered investment company shares (e.g. mutual funds) .....	<b>2b(2)(C)</b>	
	<b>(D)</b> Total dividends. Add lines <b>2b(2)(A)</b> , <b>(B)</b> , and <b>(C)</b> .....	<b>2b(2)(D)</b>	
(3)	Rents .....	<b>2b(3)</b>	
(4)	Net gain (loss) on sale of assets: <b>(A)</b> Aggregate proceeds .....	<b>2b(4)(A)</b>	
	<b>(B)</b> Aggregate carrying amount (see instructions) .....	<b>2b(4)(B)</b>	
	<b>(C)</b> Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....	<b>2b(4)(C)</b>	
(5)	Unrealized appreciation (depreciation) of assets: <b>(A)</b> Real estate .....	<b>2b(5)(A)</b>	
	<b>(B)</b> Other .....	<b>2b(5)(B)</b>	
	<b>(C)</b> Total unrealized appreciation of assets. Add lines <b>2b(5)(A)</b> and <b>(B)</b> .....	<b>2b(5)(C)</b>	

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts .....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts .....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts .....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities .....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		
c Other income.....	2c		
d Total income. Add all <b>income</b> amounts in column (b) and enter total.....	2d		29284721

**Expenses**

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers .....	2e(1)	26657094	
(2) To insurance carriers for the provision of benefits .....	2e(2)		
(3) Other .....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		26657094
f Corrective distributions (see instructions) .....	2f		
g Certain deemed distributions of participant loans (see instructions) .....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	140029	
(2) Contract administrator fees.....	2i(2)	2483959	
(3) Investment advisory and management fees.....	2i(3)	3639	
(4) Other .....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		2627627
j Total expenses. Add all <b>expense</b> amounts in column (b) and enter total .....	2j		29284721

**Net Income and Reconciliation**

k Net income (loss). Subtract line 2j from line 2d .....	2k		
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

**b** Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: TMDG, LLC

(2) EIN: 03-0583064

**d** The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

**a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

**b** Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) .....

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
<b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) .....	<b>4c</b>		X	
<b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) .....	<b>4d</b>		X	
<b>e</b> Was this plan covered by a fidelity bond? .....	<b>4e</b>	X		1000000
<b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....	<b>4f</b>		X	
<b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? .....	<b>4g</b>		X	
<b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? .....	<b>4h</b>		X	
<b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) .....	<b>4i</b>	X		
<b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.) .....	<b>4j</b>	X		
<b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? .....	<b>4k</b>		X	
<b>l</b> Has the plan failed to provide any benefit when due under the plan? .....	<b>4l</b>		X	
<b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) .....	<b>4m</b>		X	
<b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. ....	<b>4n</b>			
<b>o</b> Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service? .....	<b>4o</b>			

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  
If "Yes," enter the amount of any plan assets that reverted to the employer this year. .... ☐ Yes ☒ No **Amount:**

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

**5c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined  
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year ..... (See instructions.)

## Part V Trust Information

<b>6a</b> Name of trust	<b>6b</b> Trust's EIN
<b>6c</b> Name of trustee or custodian	<b>6d</b> Trustee's or custodian's telephone number



## INDEPENDENT AUDITOR'S REPORT

To the National Carriers' Conference Committee with respect to  
The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees

### **Report on the Financial Statements**

We were engaged to audit the accompanying We were engaged to audit the accompanying financial statements of The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees (the Plan), which comprise the statements of net assets available for benefits and of plan benefit obligations as of December 31, 2016 and 2015, and the related statements of changes in net assets available for benefits and of changes in plan benefit obligations for the year ended December 31, 2016, and the related notes to the financial statements.

### ***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditor's Responsibility***

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

### ***Basis for Disclaimer of Opinion***

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by SunTrust Bank, the trustee of the Plan, except for comparing this information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of December 31, 2016 and 2015, and for the year ended December 31, 2016, that the information provided to the Plan Administrator by the trustee is complete and accurate.

***Disclaimer of Opinion***

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

***Other Matter***

The supplemental schedules, schedule of assets (held at end of year) and schedule of reportable transactions as of or for the year ended December 31, 2016, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purposes of additional analysis and are not a required part of the financial statements. These supplemental schedules are the responsibility of the Plan Administrator. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

**Report on Form and Content in Compliance with DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

**TADG<sub>LLC</sub>**

Baltimore, Maryland  
October 11, 2017



THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

Schedule of Assets (Held at End of Year)  
December 31, 2016

Schedule H Item 4(i) – Schedule of Assets (Held at End of Year)  
EIN: 52-1036399  
Plan Number: 508

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	<u>Cost</u>	Current <u>Value</u>
	Money Market Funds			
*	SunTrust Bank	Money Market Fund		
	Account 70-04-102-7036739	Federated Trsy Obligs MM-I #68 FFS	<u>\$ 923,792</u>	<u>\$ 923,792</u>

\* Known party-in-interest to the Plan.

THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

Schedule of Reportable Transactions  
For the Year Ended December 31, 2016

Schedule H Item 4(j) – Schedule of Reportable Transactions  
EIN: 52-1036399  
Plan Number: 508

(a)	(b)	(c)	(d)	(g)	(h)
<u>Identity of Party Involved</u>	<u>Description of Assets</u>	<u>Purchase Price</u>	<u>Selling Price</u>	<u>Asset Cost</u>	<u>Current Value</u>
SunTrust Bank Account 70-04-102-7036739	(See attached schedule as prepared and reported by SunTrust Bank)				



## RAILROAD - SS8 PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD

SHARES  
PAR VALUE

UNIT PRICE

**EXPENSE INCURRED**

PRINCIPAL CASH

**ERISA COST**

**ERISA COST**  
**GAIN/LOSS**

BEGINNING MARKET VALUE	3,356,290.84
COMPARATIVE VALUE (5%)	167,814.54

CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE

[illegible]



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/16 THROUGH 12/31/16

ACCOUNT 7036739

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RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/17/16 S	321,087.3300	1.0000	0	321,087 *	321,087	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/18/16 B	251,577.9100	1.0000	0	-251,578 *	251,578	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/20/16 B	1,607,682.2100	1.0000	0	-1,607,682 *	1,607,682	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/26/16 S	199,027.4200	1.0000	0	199,027 *	199,027	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/27/16 S	348,044.7900	1.0000	0	348,045 *	348,045	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/31/16 S	194,805.8200	1.0000	0	194,806 *	194,806	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/14/16 S	288,349.8400	1.0000	0	288,350 *	288,350	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/23/16 B	1,905,702.9600	1.0000	0	-1,905,703 *	1,905,703	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/29/16 S	445,958.9800	1.0000	0	445,959 *	445,959	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/18/16 S	305,749.4400	1.0000	0	305,749 *	305,749	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/20/16 B	809,522.1400	1.0000	0	-809,522 *	809,522	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/21/16 B	747,062.6200	1.0000	0	-747,063 *	747,063	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/22/16 B	197,413.2900	1.0000	0	-197,413 *	197,413	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/28/16 S	418,905.9600	1.0000	0	418,906 *	418,906	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/17/16 B	223,568.2800	1.0000	0	-223,568 *	223,568	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/18/16 B	1,233,052.3500	1.0000	0	-1,233,052 *	1,233,052	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/30/16 S	406,772.1000	1.0000	0	406,772 *	406,772	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/16/16 B	2,106,288.4800	1.0000	0	-2,106,288 *	2,106,288	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/21/16 B	881,977.2200	1.0000	0	-881,977 *	881,977	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/29/16 S	423,671.9600	1.0000	0	423,672 *	423,672	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/05/16 S	261,412.6300	1.0000	0	261,413 *	261,413	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/11/16 S	343,450.7500	1.0000	0	343,451 *	343,451	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/20/16 B	1,582,834.0800	1.0000	0	-1,582,834 *	1,582,834	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/16 THROUGH 12/31/16

ACCOUNT 7036739

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RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/28/16 S	364,977.2000	1.0000	0	364,977 *	364,977	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/09/16 S	175,803.9400	1.0000	0	175,804 *	175,804	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/17/16 B	1,649,579.3100	1.0000	0	-1,649,579 *	1,649,579	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/22/16 S	187,908.1400	1.0000	0	187,908 *	187,908	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/29/16 S	387,603.9800	1.0000	0	387,604 *	387,604	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/15/16 S	267,876.1300	1.0000	0	267,876 *	267,876	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/16/16 B	234,905.1500	1.0000	0	-234,905 *	234,905	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/28/16 B	1,251,899.0300	1.0000	0	-1,251,899 *	1,251,899	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/29/16 S	238,442.6600	1.0000	0	238,443 *	238,443	0
GRAND TOTAL			0	30,569,391	30,569,391	0

CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

\*\*\* NO TRANSACTIONS QUALIFIED FOR THIS SECTION \*\*\*

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68

01/05/16 B	100.4000	1.0000	0	-100	100
01/13/16 B	365,088.3900	1.0000	0	-365,088 *	365,088



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/16 THROUGH 12/31/16

ACCOUNT 7036739

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RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
01/19/16 B	840,951.4400	1.0000	0	-840,951 *	840,951	
01/21/16 B	821,431.6300	1.0000	0	-821,432 *	821,432	
01/29/16 B	168,322.6900	1.0000	0	-168,323 *	168,323	
02/02/16 B	295.4800	1.0000	0	-295	295	
02/18/16 B	1,712,052.4400	1.0000	0	-1,712,052 *	1,712,052	
03/02/16 B	374.7500	1.0000	0	-375	375	
03/02/16 B	154,924.2300	1.0000	0	-154,924	154,924	
03/17/16 B	1,666,580.2400	1.0000	0	-1,666,580 *	1,666,580	
03/22/16 B	157,365.9100	1.0000	0	-157,366	157,366	
04/04/16 B	411.4400	1.0000	0	-411	411	
04/18/16 B	733,627.7300	1.0000	0	-733,628 *	733,628	
04/19/16 B	856,559.5300	1.0000	0	-856,560 *	856,560	
04/20/16 B	126,137.4900	1.0000	0	-126,137	126,137	
05/03/16 B	327.7000	1.0000	0	-328	328	
05/18/16 B	251,577.9100	1.0000	0	-251,578 *	251,578	
05/20/16 B	1,607,682.2100	1.0000	0	-1,607,682 *	1,607,682	
06/02/16 B	283.5800	1.0000	0	-284	284	
06/23/16 B	1,905,702.9600	1.0000	0	-1,905,703 *	1,905,703	
07/05/16 B	221.0300	1.0000	0	-221	221	
07/20/16 B	809,522.1400	1.0000	0	-809,522 *	809,522	
07/21/16 B	747,062.6200	1.0000	0	-747,063 *	747,063	
07/22/16 B	197,413.2900	1.0000	0	-197,413 *	197,413	
08/02/16 B	186.2500	1.0000	0	-186	186	
08/17/16 B	223,568.2800	1.0000	0	-223,568 *	223,568	
08/18/16 B	1,233,052.3500	1.0000	0	-1,233,052 *	1,233,052	
09/02/16 B	126.9300	1.0000	0	-127	127	
09/15/16 B	22,026.7400	1.0000	0	-22,027	22,027	
09/16/16 B	2,106,288.4800	1.0000	0	-2,106,288 *	2,106,288	
09/21/16 B	881,977.2200	1.0000	0	-881,977 *	881,977	
10/04/16 B	197.3800	1.0000	0	-197	197	
10/20/16 B	1,582,834.0800	1.0000	0	-1,582,834 *	1,582,834	
10/25/16 B	163,564.5700	1.0000	0	-163,565	163,565	
11/02/16 B	244.5400	1.0000	0	-245	245	
11/17/16 B	1,649,579.3100	1.0000	0	-1,649,579 *	1,649,579	
11/30/16 B	14,265.4000	1.0000	0	-14,265	14,265	
12/02/16 B	214.6500	1.0000	0	-215	215	
12/06/16 B	139,582.7700	1.0000	0	-139,583	139,583	
12/16/16 B	234,905.1500	1.0000	0	-234,905 *	234,905	
12/22/16 B	148,642.4900	1.0000	0	-148,642	148,642	
12/28/16 B	1,251,899.0300	1.0000	0	-1,251,899 *	1,251,899	
SUB-TOTAL OF BUYS # 42				22,777,170	22,777,170	
01/04/16 S	137,870.5500	1.0000	0	137,871	137,871	0
01/05/16 S	305,375.0100	1.0000	0	305,375 *	305,375	0
01/06/16 S	53,364.2800	1.0000	0	53,364	53,364	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/16 THROUGH 12/31/16

ACCOUNT 7036739

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RAILROAD - SSB PLAN CYR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
01/07/16 S	124,185.0200	1.0000	0	124,185	124,185	0
01/08/16 S	457,136.2900	1.0000	0	457,136 *	457,136	0
01/11/16 S	433.9000	1.0000	0	434	434	0
01/11/16 S	100,720.9700	1.0000	0	100,721	100,721	0
01/12/16 S	146,567.4200	1.0000	0	146,567	146,567	0
01/14/16 S	84,856.7700	1.0000	0	84,857	84,857	0
01/15/16 S	92,299.9600	1.0000	0	92,300	92,300	0
01/20/16 S	6,291.3000	1.0000	0	6,291	6,291	0
01/22/16 S	59,673.0600	1.0000	0	59,673	59,673	0
01/25/16 S	90,606.6200	1.0000	0	90,607	90,607	0
01/26/16 S	92,028.4500	1.0000	0	92,028	92,028	0
01/27/16 S	82,836.4800	1.0000	0	82,836	82,836	0
01/28/16 S	365,756.6100	1.0000	0	365,757 *	365,757	0
02/01/16 S	98,980.3300	1.0000	0	98,980	98,980	0
02/02/16 S	262,799.5800	1.0000	0	262,800 *	262,800	0
02/03/16 S	97,843.1500	1.0000	0	97,843	97,843	0
02/04/16 S	75,968.2200	1.0000	0	75,968	75,968	0
02/05/16 S	52,746.4700	1.0000	0	52,746	52,746	0
02/08/16 S	432.9700	1.0000	0	433	433	0
02/08/16 S	96,940.9500	1.0000	0	96,941	96,941	0
02/09/16 S	55,406.6300	1.0000	0	55,407	55,407	0
02/10/16 S	24,882.7300	1.0000	0	24,883	24,883	0
02/11/16 S	162,257.2000	1.0000	0	162,257	162,257	0
02/12/16 S	51,431.4700	1.0000	0	51,431	51,431	0
02/16/16 S	93,285.7400	1.0000	0	93,286	93,286	0
02/17/16 S	163,171.9400	1.0000	0	163,172	163,172	0
02/19/16 S	34,447.8800	1.0000	0	34,448	34,448	0
02/22/16 S	84,858.9800	1.0000	0	84,859	84,859	0
02/23/16 S	88,235.3100	1.0000	0	88,235	88,235	0
02/24/16 S	85,658.9800	1.0000	0	85,659	85,659	0
02/25/16 S	123,079.2500	1.0000	0	123,079	123,079	0
02/29/16 S	96,255.5700	1.0000	0	357,085 *	357,085	0
03/01/16 S	123,476.6300	1.0000	0	96,256	96,256	0
03/03/16 S	105,074.1300	1.0000	0	123,477	123,477	0
03/04/16 S	91,781.2400	1.0000	0	105,074	105,074	0
03/07/16 S	105,506.1100	1.0000	0	91,781	91,781	0
03/08/16 S	286,902.7800	1.0000	0	105,506	105,506	0
03/08/16 S	434.5200	1.0000	0	286,903 *	286,903	0
03/09/16 S	129,420.4000	1.0000	0	435	435	0
03/10/16 S	60,465.4600	1.0000	0	129,420	129,420	0
03/11/16 S	75,859.8900	1.0000	0	60,465	60,465	0
03/14/16 S	72,398.7200	1.0000	0	75,860	75,860	0
03/15/16 S	77,855.9100	1.0000	0	72,399	72,399	0
03/16/16 S	74,847.6900	1.0000	0	77,856	77,856	0
03/18/16 S	79,419.9900	1.0000	0	74,848	74,848	0
				79,420	79,420	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/16 THROUGH 12/31/16

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

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DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
03/21/16 S	96,193.6100	1.0000	0	96,194	96,194	0
03/23/16 S	67,393.3800	1.0000	0	67,393	67,393	0
03/24/16 S	147,209.7800	1.0000	0	147,210	147,210	0
03/28/16 S	123,852.2500	1.0000	0	123,852	123,852	0
03/29/16 S	116,532.1100	1.0000	0	116,532	116,532	0
03/30/16 S	386,957.9500	1.0000	0	386,958 *	386,958	0
03/31/16 S	95,111.8900	1.0000	0	95,112	95,112	0
04/01/16 S	77,458.9700	1.0000	0	77,459	77,459	0
04/04/16 S	112,082.3100	1.0000	0	112,082	112,082	0
04/05/16 S	75,732.8800	1.0000	0	75,733	75,733	0
04/06/16 S	326,024.0300	1.0000	0	326,024 *	326,024	0
04/07/16 S	81,566.2800	1.0000	0	81,566	81,566	0
04/08/16 S	420.5300	1.0000	0	421	421	0
04/08/16 S	74,508.2800	1.0000	0	74,508	74,508	0
04/11/16 S	103,839.9400	1.0000	0	103,840	103,840	0
04/12/16 S	64,452.4900	1.0000	0	64,452	64,452	0
04/13/16 S	92,639.6400	1.0000	0	92,640	92,640	0
04/14/16 S	86,009.4500	1.0000	0	86,009	86,009	0
04/15/16 S	28,513.1700	1.0000	0	28,513	28,513	0
04/21/16 S	60,128.9800	1.0000	0	60,129	60,129	0
04/22/16 S	76,548.5600	1.0000	0	76,549	76,549	0
04/25/16 S	106,509.3700	1.0000	0	106,509	106,509	0
04/26/16 S	50,458.1100	1.0000	0	50,458	50,458	0
04/27/16 S	104,040.7500	1.0000	0	104,041	104,041	0
04/28/16 S	393,827.1100	1.0000	0	393,827 *	393,827	0
04/29/16 S	75,594.9900	1.0000	0	75,595	75,595	0
05/02/16 S	99,377.1700	1.0000	0	99,377	99,377	0
05/03/16 S	117,507.1400	1.0000	0	117,507	117,507	0
05/04/16 S	106,119.8000	1.0000	0	106,120	106,120	0
05/05/16 S	82,008.6200	1.0000	0	82,009	82,009	0
05/06/16 S	57,775.4100	1.0000	0	57,775	57,775	0
05/09/16 S	354.3200	1.0000	0	354	354	0
05/09/16 S	93,716.7400	1.0000	0	93,717	93,717	0
05/10/16 S	105,782.2700	1.0000	0	105,782	105,782	0
05/11/16 S	95,661.4800	1.0000	0	95,661	95,661	0
05/12/16 S	99,051.5100	1.0000	0	99,052	99,052	0
05/13/16 S	98,133.5100	1.0000	0	98,134	98,134	0
05/16/16 S	100,486.2100	1.0000	0	100,486	100,486	0
05/17/16 S	321,087.3300	1.0000	0	321,087 *	321,087	0
05/19/16 S	46,974.0100	1.0000	0	46,974	46,974	0
05/23/16 S	132,130.2000	1.0000	0	132,130	132,130	0
05/24/16 S	43,489.0500	1.0000	0	43,489	43,489	0
05/25/16 S	58,871.0500	1.0000	0	58,871	58,871	0
05/26/16 S	199,027.4200	1.0000	0	199,027 *	199,027	0
05/27/16 S	348,044.7900	1.0000	0	348,045 *	348,045	0
05/31/16 S	194,805.8200	1.0000	0	194,806 *	194,806	0





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RAILROAD - SSB PLAN CVR SHP C&amp;S

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DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
06/01/16 S	15,515.4800	1.0000	0	15,515	15,515	0
06/02/16 S	116,919.5500	1.0000	0	116,920	116,920	0
06/03/16 S	81,017.0000	1.0000	0	81,017	81,017	0
06/06/16 S	97,951.8600	1.0000	0	97,952	97,952	0
06/07/16 S	74,421.4200	1.0000	0	74,421	74,421	0
06/08/16 S	306.3700	1.0000	0	306	306	0
06/08/16 S	86,587.7500	1.0000	0	86,588	86,588	0
06/09/16 S	115,422.3500	1.0000	0	115,422	115,422	0
06/10/16 S	60,322.4800	1.0000	0	60,322	60,322	0
06/13/16 S	132,624.6800	1.0000	0	132,625	132,625	0
06/14/16 S	288,349.8400	1.0000	0	288,350 *	288,350	0
06/15/16 S	89,871.7100	1.0000	0	89,872	89,872	0
06/16/16 S	80,432.4000	1.0000	0	80,432	80,432	0
06/17/16 S	91,271.7100	1.0000	0	91,272	91,272	0
06/20/16 S	43,552.6800	1.0000	0	43,553	43,553	0
06/21/16 S	77,520.3200	1.0000	0	77,520	77,520	0
06/22/16 S	75,260.2900	1.0000	0	75,260	75,260	0
06/24/16 S	78,177.9400	1.0000	0	78,178	78,178	0
06/27/16 S	111,949.5600	1.0000	0	111,950	111,950	0
06/28/16 S	73,182.2200	1.0000	0	73,182	73,182	0
06/29/16 S	445,958.9800	1.0000	0	445,959 *	445,959	0
06/30/16 S	93,322.0000	1.0000	0	93,322	93,322	0
07/01/16 S	25,895.7400	1.0000	0	25,896	25,896	0
07/05/16 S	100,627.1300	1.0000	0	100,627	100,627	0
07/06/16 S	132,113.2800	1.0000	0	132,113	132,113	0
07/07/16 S	143,092.6900	1.0000	0	143,093	143,093	0
07/08/16 S	77,030.3400	1.0000	0	77,030	77,030	0
07/11/16 S	221.8900	1.0000	0	222	222	0
07/11/16 S	79,035.1700	1.0000	0	79,035	79,035	0
07/12/16 S	79,532.4600	1.0000	0	79,532	79,532	0
07/13/16 S	107,118.4700	1.0000	0	107,118	107,118	0
07/14/16 S	101,461.5500	1.0000	0	101,462	101,462	0
07/15/16 S	68,852.8900	1.0000	0	68,853	68,853	0
07/18/16 S	305,749.4400	1.0000	0	305,749 *	305,749	0
07/19/16 S	85,580.2700	1.0000	0	85,580	85,580	0
07/25/16 S	87,476.1100	1.0000	0	87,476	87,476	0
07/26/16 S	90,092.3000	1.0000	0	90,092	90,092	0
07/27/16 S	98,827.2800	1.0000	0	98,827	98,827	0
07/28/16 S	418,905.9600	1.0000	0	418,906 *	418,906	0
07/29/16 S	78,629.8300	1.0000	0	78,630	78,630	0
08/01/16 S	100,400.7800	1.0000	0	100,401	100,401	0
08/02/16 S	44,372.4600	1.0000	0	44,372	44,372	0
08/03/16 S	82,995.2400	1.0000	0	82,995	82,995	0
08/04/16 S	87,738.5800	1.0000	0	87,739	87,739	0
08/05/16 S	83,456.2700	1.0000	0	83,456	83,456	0
08/08/16 S	197.0300	1.0000	0	197	197	0



## REPORTABLE TRANSACTIONS WORKSHEET

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RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
08/08/16 S	101,754.6100	1.0000	0	101,755	101,755	0
08/09/16 S	90,801.3200	1.0000	0	90,801	90,801	0
08/10/16 S	121,947.3500	1.0000	0	121,947	121,947	0
08/11/16 S	95,738.2600	1.0000	0	95,738	95,738	0
08/12/16 S	42,377.4100	1.0000	0	42,377	42,377	0
08/15/16 S	99,256.6000	1.0000	0	99,257	99,257	0
08/16/16 S	83,376.7800	1.0000	0	83,377	83,377	0
08/19/16 S	7,845.7100	1.0000	0	7,846	7,846	0
08/22/16 S	108,266.1400	1.0000	0	108,266	108,266	0
08/23/16 S	70,441.3200	1.0000	0	70,441	70,441	0
08/24/16 S	114,379.5100	1.0000	0	114,380	114,380	0
08/25/16 S	27,841.8200	1.0000	0	27,842	27,842	0
08/26/16 S	84,284.7200	1.0000	0	84,285	84,285	0
08/29/16 S	97,009.5800	1.0000	0	97,010	97,010	0
08/30/16 S	406,772.1000	1.0000	0	406,772 *	406,772	0
08/31/16 S	105,752.4100	1.0000	0	105,752	105,752	0
09/01/16 S	157,777.5800	1.0000	0	157,778	157,778	0
09/02/16 S	102,744.2200	1.0000	0	102,744	102,744	0
09/06/16 S	90,098.6300	1.0000	0	90,099	90,099	0
09/09/16 S	161.5200	1.0000	0	162	162	0
09/19/16 S	113,071.8800	1.0000	0	113,072	113,072	0
09/20/16 S	95,606.2900	1.0000	0	95,606	95,606	0
09/22/16 S	89,543.1600	1.0000	0	89,543	89,543	0
09/23/16 S	82,513.3400	1.0000	0	82,513	82,513	0
09/26/16 S	117,730.7700	1.0000	0	117,731	117,731	0
09/27/16 S	75,340.6800	1.0000	0	75,341	75,341	0
09/28/16 S	129,431.3400	1.0000	0	129,431	129,431	0
09/29/16 S	423,671.9600	1.0000	0	423,672 *	423,672	0
09/30/16 S	91,532.2700	1.0000	0	91,532	91,532	0
10/03/16 S	108,608.6300	1.0000	0	108,609	108,609	0
10/04/16 S	77,823.3100	1.0000	0	77,823	77,823	0
10/05/16 S	261,412.6300	1.0000	0	261,413 *	261,413	0
10/06/16 S	32,032.5700	1.0000	0	32,033	32,033	0
10/07/16 S	4,679.0600	1.0000	0	4,679	4,679	0
10/11/16 S	343,450.7500	1.0000	0	343,451 *	343,451	0
10/11/16 S	216.0300	1.0000	0	216	216	0
10/12/16 S	113,570.4400	1.0000	0	113,570	113,570	0
10/13/16 S	2,306.2500	1.0000	0	2,306	2,306	0
10/14/16 S	93,033.7700	1.0000	0	93,034	93,034	0
10/14/16 S	36,802.8000	1.0000	0	36,803	36,803	0
10/17/16 S	98,117.3400	1.0000	0	98,117	98,117	0
10/18/16 S	94,893.6800	1.0000	0	94,894	94,894	0
10/19/16 S	107,722.2300	1.0000	0	107,722	107,722	0
10/21/16 S	73,394.1400	1.0000	0	73,394	73,394	0
10/24/16 S	107,620.0400	1.0000	0	107,620	107,620	0
10/26/16 S	98,315.9700	1.0000	0	98,316	98,316	0



## REPORTABLE TRANSACTIONS WORKSHEET

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RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
10/27/16 S	68,503.8500	1.0000	0	68,504	68,504	0
10/28/16 S	364,977.2000	1.0000	0	364,977 *	364,977	0
10/31/16 S	119,459.2000	1.0000	0	119,459	119,459	0
11/01/16 S	97,533.3000	1.0000	0	97,533	97,533	0
11/02/16 S	124,832.6900	1.0000	0	124,833	124,833	0
11/03/16 S	82,246.1500	1.0000	0	82,246	82,246	0
11/04/16 S	64,054.9500	1.0000	0	64,055	64,055	0
11/07/16 S	97,181.3700	1.0000	0	97,181	97,181	0
11/08/16 S	246.8800	1.0000	0	247	247	0
11/08/16 S	55,268.7200	1.0000	0	55,269	55,269	0
11/09/16 S	175,803.9400	1.0000	0	175,804 *	175,804	0
11/10/16 S	79,798.3600	1.0000	0	79,798	79,798	0
11/14/16 S	94,939.3000	1.0000	0	94,939	94,939	0
11/15/16 S	90,045.7300	1.0000	0	90,046	90,046	0
11/16/16 S	107,882.3800	1.0000	0	107,882	107,882	0
11/18/16 S	95,873.8600	1.0000	0	95,874	95,874	0
11/21/16 S	125,715.4000	1.0000	0	125,715	125,715	0
11/22/16 S	187,908.1400	1.0000	0	187,908 *	187,908	0
11/23/16 S	105,000.5600	1.0000	0	105,001	105,001	0
11/28/16 S	77,333.1700	1.0000	0	77,333	77,333	0
11/29/16 S	387,603.9800	1.0000	0	387,604 *	387,604	0
12/01/16 S	93,909.9600	1.0000	0	93,910	93,910	0
12/02/16 S	97,049.2500	1.0000	0	97,049	97,049	0
12/05/16 S	112,869.9600	1.0000	0	112,870	112,870	0
12/07/16 S	149,118.0300	1.0000	0	149,118	149,118	0
12/08/16 S	212.7400	1.0000	0	213	213	0
12/08/16 S	93,334.0900	1.0000	0	93,334	93,334	0
12/09/16 S	71,944.6400	1.0000	0	71,945	71,945	0
12/12/16 S	86,709.5700	1.0000	0	86,710	86,710	0
12/13/16 S	79,527.8900	1.0000	0	79,528	79,528	0
12/14/16 S	109,032.2700	1.0000	0	109,032	109,032	0
12/15/16 S	267,876.1300	1.0000	0	267,876 *	267,876	0
12/19/16 S	118,165.3800	1.0000	0	118,165	118,165	0
12/20/16 S	78,990.0200	1.0000	0	78,990	78,990	0
12/21/16 S	34,500.0000	1.0000	0	34,500	34,500	0
12/23/16 S	122,010.9400	1.0000	0	122,011	122,011	0
12/27/16 S	37,918.3900	1.0000	0	37,918	37,918	0
12/29/16 S	238,442.6600	1.0000	0	238,443 *	238,443	0
12/30/16 S	112,114.8100	1.0000	0	112,115	112,115	0
SUB-TOTAL OF SALES # 226				25,209,567	25,209,567	0
SUB-TOTAL				47,986,737	47,986,737	0



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RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
GRAND TOTAL			0	47,986,737	47,986,737	0

**CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE**

\*\*\* NO TRANSACTIONS QUALIFIED FOR THIS SECTION \*\*\*

## FOOTNOTES

\* = SINGLE TRANSACTION IS 5% REPORTABLE  
B = BUY TRANSACTION  
S = SELL TRANSACTION  
R = REINVESTMENT TRANSACTION

THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

FINANCIAL STATEMENTS  
(Together with report of Independent Public Accountants)

December 31, 2016 and 2015

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## INDEPENDENT AUDITOR'S REPORT

To the National Carriers' Conference Committee with respect to  
The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees

### **Report on the Financial Statements**

We were engaged to audit the accompanying We were engaged to audit the accompanying financial statements of The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees (the Plan), which comprise the statements of net assets available for benefits and of plan benefit obligations as of December 31, 2016 and 2015, and the related statements of changes in net assets available for benefits and of changes in plan benefit obligations for the year ended December 31, 2016, and the related notes to the financial statements.

### ***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditor's Responsibility***

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

### ***Basis for Disclaimer of Opinion***

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by SunTrust Bank, the trustee of the Plan, except for comparing this information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of December 31, 2016 and 2015, and for the year ended December 31, 2016, that the information provided to the Plan Administrator by the trustee is complete and accurate.

***Disclaimer of Opinion***

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

***Other Matter***

The supplemental schedules, schedule of assets (held at end of year) and schedule of reportable transactions as of or for the year ended December 31, 2016, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purposes of additional analysis and are not a required part of the financial statements. These supplemental schedules are the responsibility of the Plan Administrator. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

**Report on Form and Content in Compliance with DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

**TADG<sub>LLC</sub>**

Baltimore, Maryland  
October 11, 2017



THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

Statements of Net Assets Available for Benefits  
December 31, 2016 and 2015

	2016	2015
<b>ASSETS</b>		
Investments, at fair value	<u>\$ 923,792</u>	<u>\$ 3,356,190</u>
Receivables:		
Participating railroads' contributions	2,213,395	1,955,250
Plan benefit overpayments	83,794	44,739
Accrued interest	<u>116</u>	<u>101</u>
	<u>2,297,305</u>	<u>2,000,090</u>
Cash	<u>139,172</u>	<u>141,344</u>
Amounts due from participating railroads	<u>7,576,542</u>	<u>4,684,188</u>
Total assets	<u>10,936,811</u>	<u>10,181,812</u>
<b>LIABILITIES</b>		
Accounts payable and accrued expenses	409,488	295,504
Participating railroad contribution overpayment	<u>241,772</u>	<u>179,669</u>
Total liabilities	<u>651,260</u>	<u>475,173</u>
<b>NET ASSETS AVAILABLE FOR BENEFITS</b>	<u><u>\$ 10,285,551</u></u>	<u><u>\$ 9,706,639</u></u>

The Notes to Financial Statements are an integral part of these statements.

THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

Statement of Changes in Net Assets Available for Benefits  
For the Year Ended December 31, 2016

ADDITIONS

Investment income:	
Interest income	\$ 3,000
Less investment expenses	<u>( 3,639)</u>
	<u>( 639)</u>
Participating railroads' contributions	<u>29,281,721</u>
Total additions	<u>29,281,082</u>

DEDUCTIONS

Benefits paid to participants	26,078,182
Administrative expenses	<u>2,623,988</u>
Total deductions	<u>28,702,170</u>
Net increase	578,912

NET ASSETS AVAILABLE FOR BENEFITS

Beginning of year	<u>9,706,639</u>
End of year	<u>\$ 10,285,551</u>

The Notes to Financial Statements are an integral part of this statement.

THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

Statements of Plan Benefit Obligations  
(As Determined by Aetna)  
December 31, 2016 and 2015

	2016	2015
AMOUNTS CURRENTLY PAYABLE TO OR FOR PARTICIPANTS		
Claims payable and claims incurred but not reported	<u>\$ 10,285,551</u>	<u>\$ 9,706,639</u>

The Notes to Financial Statements are an integral part of these statements.

THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

Statement of Changes in Plan Benefit Obligations  
(As Determined by Aetna)  
For the Year Ended December 31, 2016

AMOUNTS CURRENTLY PAYABLE TO OR FOR PARTICIPANTS

Balance at beginning of year	\$ 9,706,639
Claims approved for payment and claims incurred but not reported	26,657,094
Claims paid	<u>( 26,078,182)</u>
Balance at end of year	<u>\$ 10,285,551</u>

The Notes to Financial Statements are an integral part of this statement.

## NOTES TO FINANCIAL STATEMENTS

### Note 1. Description of the Plan

The following description of The Supplemental Sickness Benefit Plan Covering Railroad Shop Craft and Signal Employees (the Plan) provides only general information. Participants should refer to the Plan's Summary Plan Description for a more complete description of the Plan's provisions.

#### *General*

The Plan was established in 1973 pursuant to collective-bargaining to supplement the sickness benefits provided pursuant to the Railroad Unemployment Insurance Act for eligible railroad shop and signal employees of participating railroads. The Plan is administered by the National Carriers' Conference Committee and is subject to the provisions of the Railway Labor Act, as amended, and the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

#### *Benefits*

The Plan's supplemental sickness benefits are provided on a self-insured basis. The Plan is primarily administered by a third party administrator, Aetna, pursuant to an Administrative Service Only contract.

#### *Eligibility*

Upon satisfying the eligibility and coverage requirements, as outlined in the Plan's Summary Plan Description, employees of the participating railroads are entitled to receive the benefits provided by the Plan.

#### *Funding*

The participating railroads' obligation to provide contributions to the Plan arises pursuant to the terms and conditions of the collective-bargaining agreements, Plan document, and related practices. Contributions to the Plan are provided by the participating railroads in the amount determined after discussions with Aetna. The railroads fund the obligations of the Plan on a monthly basis as they become due and payable during the year.

#### *Tax status*

The Trust established pursuant to the Plan document to hold the Plan's assets is qualified pursuant to Section 501(c)(9) of the Internal Revenue Code and, accordingly, the Trust's net investment income is exempt from income taxation. However, as a result of the Plan's funding policy, from time to time the trust may be subject to income taxes. No federal or state income taxes have been recorded during the year ending December 31, 2016 for unrelated business taxable income.

## NOTES TO FINANCIAL STATEMENTS

### Note 1. Description of the Plan (continued)

The Plan has obtained a favorable tax determination letter from the Internal Revenue Service, and the Plan Administrator believes that the Plan, as amended, continues to qualify and to operate as designed.

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 740, Income Taxes, prescribes a recognition threshold and measurement attribute for the financial statement recognition and measurement of a tax position taken or expected to be taken in a tax return. The Plan's management has evaluated the impact of this guidance to its financial statements. The Plan's tax returns are subject to examination by taxing authorities, generally for a period of three years from the date the returns are filed.

#### *Plan termination*

In the event of termination of the Plan, the Trust's remaining assets will be used to provide for the payment of any and all obligations of the Plan. Such payments shall be for the exclusive benefit of the Plan participants and beneficiaries and to defray the administrative expenses of the Plan.

### Note 2. Significant Accounting Policies

#### *Basis of accounting*

The financial statements of the Plan are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

#### *Use of estimates*

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan Administrator to make estimates and assumptions that affect the reported amounts of assets, liabilities, benefit obligations and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

#### *Valuation of investments and income recognition*

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion of fair value measurements.

## NOTES TO FINANCIAL STATEMENTS

### Note 2. Significant Accounting Policies (continued)

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis.

#### *Fair value of financial instruments*

The Plan Administrator believes the carrying value of financial instruments, as stated in the financial statements, approximates their fair value.

#### *Payment of benefits*

Claim payments are recorded when paid by the Plan. Amounts processed by the third party administrator, but not paid by the Plan and claims incurred but not reported as of December 31<sup>st</sup> are recorded as plan benefit obligations within the accompanying statements of plan benefit obligations.

#### *Participating railroads' contributions and contributions receivable*

Each participating railroad provides a monthly contribution to the Plan as determined annually. Participating railroad contributions are recognized as revenue in the period in which the compensated service giving rise to the contribution is rendered.

#### *Allowance for doubtful accounts*

The Plan utilizes the allowance method to account for uncollectible receivables. Unless otherwise noted (See Note 5), an allowance for doubtful accounts for the Plan's receivable balances is not considered necessary as probable uncollectible amounts have been determined by the Plan Administrator not to be significant to the financial statements.

#### *Amounts due from participating railroads*

The amounts due from participating railroads represent an unfunded commitment, pursuant to the collective-bargaining agreements, Plan document, and related practices, by each participating railroad, to contribute to the Plan sufficient funds to enable the Plan to pay the benefits provided by it for that railroad's employees.

#### *Subsequent events*

The Plan has evaluated subsequent events through October 11, 2017, the date the financial statements were available to be issued.

## NOTES TO FINANCIAL STATEMENTS

### Note 3. Information Certified by the Plan's Trustee

The following investments are held by a bank administered trust fund and were certified by the Trustee, SunTrust Bank, as complete and accurate.

	2016	2015
Money Market Fund:*		
Investments	\$ 923,792	\$ 3,356,190
Accrued interest	\$ 116	\$ 101
Interest income	\$ 3,000	\$ N/A

\* Investments held as of December 31, 2016 and 2015 were in Federated Treasury Obligations MM-1 #68 FFS.

The investment activity reported on the schedule of assets (held at end of year) and schedule of reportable transactions was also certified by the Trustee, SunTrust Bank, as complete and accurate.

The Plan's independent public accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

### Note 4. Fair Value Measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy are described as follows:

#### *Level 1*

Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

#### *Level 2*

Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets
- Quoted prices for identical or similar assets or liabilities in inactive markets
- Inputs other than quoted prices that are observable for the asset or liability
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means



## NOTES TO FINANCIAL STATEMENTS

### Note 4. Fair Value Measurements (continued)

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

#### *Level 3*

Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

The valuation methodology used for assets measured at fair value as of December 31, 2016 and 2015 is as follows:

#### *Money market funds*

Shares of a money market portfolio are considered cash equivalents and are valued at their carrying amount due to their short-term nature.

As of December 31, 2016 and 2015, all of the Plan's investment assets have been determined by the Plan Administrator to be level 1.

### Note 5. Plan Benefit Overpayment Receivable

Pursuant to the Plan's Summary Plan Description, should a Plan participant receive one or more types of certain subsequent payments from a government entity, another plan, or another insurance arrangement (see Plan's Summary Plan Description for a complete listing of these subsequent payments) in connection with a disability claim that has been paid by the Plan, the payment will reduce the basic benefit payable by the Plan and an overpayment will be created.

Upon receiving such a payment, the Plan participant is obligated to repay the overpayment to the Plan. Generally, the overpayment is equal to the lesser of the disability claim paid by the Plan or the total amount subsequently received by the Plan participant.

Based on current and historical collection patterns, the Plan Administrator has determined that any amounts not repaid by Plan participants within one year of receipt of such payments will be deemed uncollectible. The following table represents the balances of the plan benefit overpayment receivable as of December 31, 2016 and 2015 which

## NOTES TO FINANCIAL STATEMENTS

### Note 5. Plan Benefit Overpayment Receivable (continued)

only includes amounts that have been reported to and recorded by Aetna as of December 31<sup>st</sup>:

	2016	2015
Total plan benefit overpayment receivable	\$ 231,779	\$ 208,816
Allowance	<u>( 147,985)</u>	<u>( 164,077)</u>
Net plan benefit overpayment receivable	<u>\$ 83,794</u>	<u>\$ 44,739</u>

The gain contingency associated with the receipt of future awards that have not been communicated to Aetna and recorded as an overpayment as of December 31<sup>st</sup>, may result in prospective overpayments to a participant and refunds receivable to the Plan. This gain contingency has not been recognized by the Plan because the Plan Administrator believes this amount cannot be reasonably estimated as of the financial statement date.

### Note 6. Post-Retirement Benefits and Other Plan Obligations

The Plan provides no post-retirement benefits.

Plan obligations as of December 31<sup>st</sup> for claims payable and claims incurred by participants but not reported as of that date are estimated by Aetna. Such estimated amounts are reported in the accompanying statement of plan benefit obligations at present value. Based on the current nature of the obligation, generally within nine months of the end of the Plan year, discounting the obligation was not necessary.

For measurement purposes, the Insurance Underwriters at Aetna evaluated all open, pending, and closed claims relating to the Plan year and determined the run-out for these claims for at least three months subsequent to the year end. The Underwriter then compared the actual claims run-out to historical run-out patterns to develop a reserve factor, which was applied to claims related to the Plan year on an incurred basis. The resulting claims obligation was added to the claims run-out liability described above to develop the total obligation, which was recognized for financial statement reporting purposes.

### Note 7. Concentration of Participating Railroads

There are approximately 33 railroads participating in the Plan, of which 5 participating railroads comprised approximately 84% and 86% of the total Plan activity as of and for the years ended December 31, 2016 and 2015, respectively.

## NOTES TO FINANCIAL STATEMENTS

### Note 7. Concentration of Participating Railroads (continued)

This activity includes, but is not limited to, participating railroad contributions receivable and amounts due from participating railroads as of December 31, 2016 and 2015, and participating railroads' contributions for the year ended December 31, 2016 as follows:

Railroad	Percentage of Total Plan Activity	
	2016	2015
A	23.1%	23.6%
B	21.6%	21.7%
C	15.1%	15.3%
D	12.7%	13.6%
E	11.7%	11.4%

### Note 8. Reconciliation of Financial Statements to Form 5500

The following is a reconciliation of net assets available for benefits per the accompanying 2016 and 2015 financial statements to the Form 5500.

	2016	2015
Net assets available for benefits as reported within the financial statements	\$ 10,285,551	\$ 9,706,639
Benefit obligations currently payable	<u>( 10,285,551)</u>	<u>( 9,706,639)</u>
Net assets available for benefits as reported within the Form 5500	<u>\$ -</u>	<u>\$ -</u>

The following is a reconciliation of benefits paid to participants per the financial statements to the Form 5500 for the year ended December 31, 2016.

Benefits paid to participants as reported within the financial statements	\$ 26,078,182
Add: Amounts payable at end of year	10,285,551
Less: Amounts payable at beginning of year	<u>( 9,706,639)</u>
Benefits paid to participants as reported within the Form 5500	<u>\$ 26,657,094</u>

## NOTES TO FINANCIAL STATEMENTS

### Note 8. Reconciliation of Financial Statements to Form 5500 (continued)

Amounts currently payable to or for participants are recorded on the Form 5500 for benefit claims that have been processed and approved for payment prior to December 31<sup>st</sup> but not yet paid as of that date and for incurred but not reported benefit claims as of December 31<sup>st</sup>.

### Note 9. Party in Interest Transactions

The Plan paid administrative fees to service providers during the year that are considered party in interest transactions. This includes management fees paid to SunTrust Bank, the trustee of the Plan, for certain Plan assets invested in money market funds. These transactions are exempt from the prohibited transaction rules of ERISA.

### Note 10. Risks and Uncertainties

The Plan invests in money market funds with SunTrust. These investments are exposed to credit risk. Due to the level of risk associated with these investments given the current economic condition and uncertainty in the market place, it is at least reasonably possible that changes in the value of these investments may occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits and changes in net assets available for benefits.

A Plan benefit overpayment receivable is recorded when an overpayment is communicated to Aetna. The collectability of this receivable is determined by the Plan Administrator based on certain historical collection patterns. Due to uncertainties inherent in the communication, estimations, and assumptions process, it is at least reasonably possible that the timing of such communications and changes in these estimates and assumptions in the near term could materially affect the amounts reported in the statements of net assets available for benefits and changes in net assets available for benefits.

The total obligations for claims payable and claims incurred but not reported, as calculated and reported to the Plan by Aetna as of December 31, 2016 and 2015 is based on certain assumptions pertaining to health care trends and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the financial statements.

## SUPPLEMENTAL SCHEDULES

THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

Schedule of Assets (Held at End of Year)  
December 31, 2016

Schedule H Item 4(i) – Schedule of Assets (Held at End of Year)  
EIN: 52-1036399  
Plan Number: 508

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	<u>Cost</u>	Current <u>Value</u>
	Money Market Funds			
*	SunTrust Bank	Money Market Fund		
	Account 70-04-102-7036739	Federated Trsy Obligs MM-I #68 FFS	<u>\$ 923,792</u>	<u>\$ 923,792</u>

\* Known party-in-interest to the Plan.

THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING  
RAILROAD SHOP CRAFT AND SIGNAL EMPLOYEES

Schedule of Reportable Transactions  
For the Year Ended December 31, 2016

Schedule H Item 4(j) – Schedule of Reportable Transactions  
EIN: 52-1036399  
Plan Number: 508

(a)	(b)	(c)	(d)	(g)	(h)
<u>Identity of Party Involved</u>	<u>Description of Assets</u>	<u>Purchase Price</u>	<u>Selling Price</u>	<u>Asset Cost</u>	<u>Current Value</u>
SunTrust Bank Account 70-04-102-7036739	(See attached schedule as prepared and reported by SunTrust Bank)				



## RAILROAD - SS8 PLAN CVR SHP C&amp;S

**1/1/16 THROUGH 12/31/16**

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE						
BEGINNING MARKET VALUE						
COMPARATIVE VALUE (5%)						
-----						
3,356,290.84						
167,814.54						
-----						
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/05/16 S	305,375.0100	1.0000		305,375 *	305,375	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/08/16 S	457,136.2900	1.0000		457,136 *	457,136	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/13/16 B	365,088.3900	1.0000		-365,088 *	365,088	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/19/16 B	840,951.4400	1.0000		-840,951 *	840,951	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/21/16 B	821,432.6300	1.0000		-821,432 *	821,432	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/28/16 S	365,756.6100	1.0000		365,757 *	365,757	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
01/29/16 B	168,322.6900	1.0000		-168,323 *	168,323	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/02/16 S	262,799.5800	1.0000		262,800 *	262,800	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/18/16 B	1,712,052.4400	1.0000		-1,712,052 *	1,712,052	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
02/26/16 S	357,084.6100	1.0000		357,085 *	357,085	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/08/16 S	286,902.7800	1.0000		286,903 *	286,903	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/17/16 B	1,666,580.2400	1.0000		-1,666,580 *	1,666,580	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
03/30/16 S	386,957.9500	1.0000		386,958 *	386,958	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/06/16 S	326,024.0300	1.0000		326,024 *	326,024	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/18/16 B	733,627.7300	1.0000		-733,628 *	733,628	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/19/16 B	856,559.5300	1.0000		-856,560 *	856,560	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
04/28/16 S	393,827.1100	1.0000		393,827 *	393,827	0





## REPORTABLE TRANSACTIONS WORKSHEET

1/1/16 THROUGH 12/31/16

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

PAGE 63

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/17/16 S	321,087.3300	1.0000	0	321,087 *	321,087	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/18/16 B	251,577.9100	1.0000	0	-251,578 *	251,578	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/20/16 B	1,607,682.2100	1.0000	0	-1,607,682 *	1,607,682	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/26/16 S	199,027.4200	1.0000	0	199,027 *	199,027	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/27/16 S	348,044.7900	1.0000	0	348,045 *	348,045	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
05/31/16 S	194,805.8200	1.0000	0	194,806 *	194,806	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/14/16 S	288,349.8400	1.0000	0	288,350 *	288,350	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/23/16 B	1,905,702.9600	1.0000	0	-1,905,703 *	1,905,703	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
06/29/16 S	445,958.9800	1.0000	0	445,959 *	445,959	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/18/16 S	305,749.4400	1.0000	0	305,749 *	305,749	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/20/16 B	809,522.1400	1.0000	0	-809,522 *	809,522	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/21/16 B	747,062.6200	1.0000	0	-747,063 *	747,063	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/22/16 B	197,413.2900	1.0000	0	-197,413 *	197,413	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
07/28/16 S	418,905.9600	1.0000	0	418,906 *	418,906	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/17/16 B	223,568.2800	1.0000	0	-223,568 *	223,568	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/18/16 B	1,233,052.3500	1.0000	0	-1,233,052 *	1,233,052	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
08/30/16 S	406,772.1000	1.0000	0	406,772 *	406,772	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/16/16 B	2,106,288.4800	1.0000	0	-2,106,288 *	2,106,288	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/21/16 B	881,977.2200	1.0000	0	-881,977 *	881,977	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
09/29/16 S	423,671.9600	1.0000	0	423,672 *	423,672	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/05/16 S	261,412.6300	1.0000	0	261,413 *	261,413	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/11/16 S	343,450.7500	1.0000	0	343,451 *	343,451	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/20/16 B	1,582,834.0800	1.0000	0	-1,582,834 *	1,582,834	0



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RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
10/28/16 S	364,977.2000	1.0000	0	364,977 *	364,977	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/09/16 S	175,803.9400	1.0000	0	175,804 *	175,804	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/17/16 B	1,649,579.3100	1.0000	0	-1,649,579 *	1,649,579	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/22/16 S	187,908.1400	1.0000	0	187,908 *	187,908	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
11/29/16 S	387,603.9800	1.0000	0	387,604 *	387,604	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/15/16 S	267,876.1300	1.0000	0	267,876 *	267,876	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/16/16 B	234,905.1500	1.0000	0	-234,905 *	234,905	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/28/16 B	1,251,899.0300	1.0000	0	-1,251,899 *	1,251,899	0
ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68						
12/29/16 S	238,442.6600	1.0000	0	238,443 *	238,443	0
GRAND TOTAL			0	30,569,391	30,569,391	0

CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

\*\*\* NO TRANSACTIONS QUALIFIED FOR THIS SECTION \*\*\*

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

ISSUE: 60934N500 - FEDERATED TRSY OBLIGS MM-I #68

01/05/16 B	100.4000	1.0000	0	-100	100
01/13/16 B	365,088.3900	1.0000	0	-365,088 *	365,088



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RAILROAD - SSB PLAN CVR SHP C&amp;S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
01/19/16 B	840,951.4400	1.0000	0	-840,951 *	840,951	
01/21/16 B	821,431.6300	1.0000	0	-821,432 *	821,432	
01/29/16 B	168,322.6900	1.0000	0	-168,323 *	168,323	
02/02/16 B	295.4800	1.0000	0	-295	295	
02/18/16 B	1,712,052.4400	1.0000	0	-1,712,052 *	1,712,052	
03/02/16 B	374.7500	1.0000	0	-375	375	
03/02/16 B	154,924.2300	1.0000	0	-154,924	154,924	
03/17/16 B	1,666,580.2400	1.0000	0	-1,666,580 *	1,666,580	
03/22/16 B	157,365.9100	1.0000	0	-157,366	157,366	
04/04/16 B	411.4400	1.0000	0	-411	411	
04/18/16 B	733,627.7300	1.0000	0	-733,628 *	733,628	
04/19/16 B	856,559.5300	1.0000	0	-856,560 *	856,560	
04/20/16 B	126,137.4900	1.0000	0	-126,137	126,137	
05/03/16 B	327.7000	1.0000	0	-328	328	
05/18/16 B	251,577.9100	1.0000	0	-251,578 *	251,578	
05/20/16 B	1,607,682.2100	1.0000	0	-1,607,682 *	1,607,682	
06/02/16 B	283.5800	1.0000	0	-284	284	
06/23/16 B	1,905,702.9600	1.0000	0	-1,905,703 *	1,905,703	
07/05/16 B	221.0300	1.0000	0	-221	221	
07/20/16 B	809,522.1400	1.0000	0	-809,522 *	809,522	
07/21/16 B	747,062.6200	1.0000	0	-747,063 *	747,063	
07/22/16 B	197,413.2900	1.0000	0	-197,413 *	197,413	
08/02/16 B	186.2500	1.0000	0	-186	186	
08/17/16 B	223,568.2800	1.0000	0	-223,568 *	223,568	
08/18/16 B	1,233,052.3500	1.0000	0	-1,233,052 *	1,233,052	
09/02/16 B	126.9300	1.0000	0	-127	127	
09/15/16 B	22,026.7400	1.0000	0	-22,027	22,027	
09/16/16 B	2,106,288.4800	1.0000	0	-2,106,288 *	2,106,288	
09/21/16 B	881,977.2200	1.0000	0	-881,977 *	881,977	
10/04/16 B	197.3800	1.0000	0	-197	197	
10/20/16 B	1,582,834.0800	1.0000	0	-1,582,834 *	1,582,834	
10/25/16 B	163,564.5700	1.0000	0	-163,565	163,565	
11/02/16 B	244.5400	1.0000	0	-245	245	
11/17/16 B	1,649,579.3100	1.0000	0	-1,649,579 *	1,649,579	
11/30/16 B	14,265.4000	1.0000	0	-14,265	14,265	
12/02/16 B	214.6500	1.0000	0	-215	215	
12/06/16 B	139,582.7700	1.0000	0	-139,583	139,583	
12/16/16 B	234,905.1500	1.0000	0	-234,905 *	234,905	
12/22/16 B	148,642.4900	1.0000	0	-148,642	148,642	
12/28/16 B	1,251,899.0300	1.0000	0	-1,251,899 *	1,251,899	
SUB-TOTAL OF BUYS # 42				22,777,170	22,777,170	
01/04/16 S	137,870.5500	1.0000	0	137,871	137,871	0
01/05/16 S	305,375.0100	1.0000	0	305,375 *	305,375	0
01/06/16 S	53,364.2800	1.0000	0	53,364	53,364	0



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DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
01/07/16 S	124,185.0200	1.0000	0	124,185	124,185	0
01/08/16 S	457,136.2900	1.0000	0	457,136 *	457,136	0
01/11/16 S	433.9000	1.0000	0	434	434	0
01/11/16 S	100,720.9700	1.0000	0	100,721	100,721	0
01/12/16 S	146,567.4200	1.0000	0	146,567	146,567	0
01/14/16 S	84,856.7700	1.0000	0	84,857	84,857	0
01/15/16 S	92,299.9600	1.0000	0	92,300	92,300	0
01/20/16 S	6,291.3000	1.0000	0	6,291	6,291	0
01/22/16 S	59,673.0600	1.0000	0	59,673	59,673	0
01/25/16 S	90,606.6200	1.0000	0	90,607	90,607	0
01/26/16 S	92,028.4500	1.0000	0	92,028	92,028	0
01/27/16 S	82,836.4800	1.0000	0	82,836	82,836	0
01/28/16 S	365,756.6100	1.0000	0	365,757 *	365,757	0
02/01/16 S	98,980.3300	1.0000	0	98,980	98,980	0
02/02/16 S	262,799.5800	1.0000	0	262,800 *	262,800	0
02/03/16 S	97,843.1500	1.0000	0	97,843	97,843	0
02/04/16 S	75,968.2200	1.0000	0	75,968	75,968	0
02/05/16 S	52,746.4700	1.0000	0	52,746	52,746	0
02/08/16 S	432.9700	1.0000	0	433	433	0
02/08/16 S	96,940.9500	1.0000	0	96,941	96,941	0
02/09/16 S	55,406.6300	1.0000	0	55,407	55,407	0
02/10/16 S	24,882.7300	1.0000	0	24,883	24,883	0
02/11/16 S	162,257.2000	1.0000	0	162,257	162,257	0
02/12/16 S	51,431.4700	1.0000	0	51,431	51,431	0
02/16/16 S	93,285.7400	1.0000	0	93,286	93,286	0
02/17/16 S	163,171.9400	1.0000	0	163,172	163,172	0
02/19/16 S	34,447.8800	1.0000	0	34,448	34,448	0
02/22/16 S	84,858.9800	1.0000	0	84,859	84,859	0
02/23/16 S	88,235.3100	1.0000	0	88,235	88,235	0
02/24/16 S	85,658.9800	1.0000	0	85,659	85,659	0
02/25/16 S	123,079.2500	1.0000	0	123,079	123,079	0
02/29/16 S	96,255.5700	1.0000	0	357,085 *	357,085	0
03/01/16 S	123,476.6300	1.0000	0	96,256	96,256	0
03/03/16 S	105,074.1300	1.0000	0	123,477	123,477	0
03/04/16 S	91,781.2400	1.0000	0	105,074	105,074	0
03/07/16 S	105,506.1100	1.0000	0	91,781	91,781	0
03/08/16 S	286,902.7800	1.0000	0	105,506	105,506	0
03/08/16 S	434.5200	1.0000	0	286,903 *	286,903	0
03/09/16 S	129,420.4000	1.0000	0	435	435	0
03/10/16 S	60,465.4600	1.0000	0	129,420	129,420	0
03/11/16 S	75,859.8900	1.0000	0	60,465	60,465	0
03/14/16 S	72,398.7200	1.0000	0	75,860	75,860	0
03/15/16 S	77,855.9100	1.0000	0	72,399	72,399	0
03/16/16 S	74,847.6900	1.0000	0	77,856	77,856	0
03/18/16 S	79,419.9900	1.0000	0	74,848	74,848	0
				79,420	79,420	0



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DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
03/21/16 S	96,193.6100	1.0000	0	96,194	96,194	0
03/23/16 S	67,393.3800	1.0000	0	67,393	67,393	0
03/24/16 S	147,209.7800	1.0000	0	147,210	147,210	0
03/28/16 S	123,852.2500	1.0000	0	123,852	123,852	0
03/29/16 S	116,532.1100	1.0000	0	116,532	116,532	0
03/30/16 S	386,957.9500	1.0000	0	386,958 *	386,958	0
03/31/16 S	95,111.8900	1.0000	0	95,112	95,112	0
04/01/16 S	77,458.9700	1.0000	0	77,459	77,459	0
04/04/16 S	112,082.3100	1.0000	0	112,082	112,082	0
04/05/16 S	75,732.8800	1.0000	0	75,733	75,733	0
04/06/16 S	326,024.0300	1.0000	0	326,024 *	326,024	0
04/07/16 S	81,566.2800	1.0000	0	81,566	81,566	0
04/08/16 S	420.5300	1.0000	0	421	421	0
04/08/16 S	74,508.2800	1.0000	0	74,508	74,508	0
04/11/16 S	103,839.9400	1.0000	0	103,840	103,840	0
04/12/16 S	64,452.4900	1.0000	0	64,452	64,452	0
04/13/16 S	92,639.6400	1.0000	0	92,640	92,640	0
04/14/16 S	86,009.4500	1.0000	0	86,009	86,009	0
04/15/16 S	28,513.1700	1.0000	0	28,513	28,513	0
04/21/16 S	60,128.9800	1.0000	0	60,129	60,129	0
04/22/16 S	76,548.5600	1.0000	0	76,549	76,549	0
04/25/16 S	106,509.3700	1.0000	0	106,509	106,509	0
04/26/16 S	50,458.1100	1.0000	0	50,458	50,458	0
04/27/16 S	104,040.7500	1.0000	0	104,041	104,041	0
04/28/16 S	393,827.1100	1.0000	0	393,827 *	393,827	0
04/29/16 S	75,594.9900	1.0000	0	75,595	75,595	0
05/02/16 S	99,377.1700	1.0000	0	99,377	99,377	0
05/03/16 S	117,507.1400	1.0000	0	117,507	117,507	0
05/04/16 S	106,119.8000	1.0000	0	106,120	106,120	0
05/05/16 S	82,008.6200	1.0000	0	82,009	82,009	0
05/06/16 S	57,775.4100	1.0000	0	57,775	57,775	0
05/09/16 S	354.3200	1.0000	0	354	354	0
05/09/16 S	93,716.7400	1.0000	0	93,717	93,717	0
05/10/16 S	105,782.2700	1.0000	0	105,782	105,782	0
05/11/16 S	95,661.4800	1.0000	0	95,661	95,661	0
05/12/16 S	99,051.5100	1.0000	0	99,052	99,052	0
05/13/16 S	98,133.5100	1.0000	0	98,134	98,134	0
05/16/16 S	100,486.2100	1.0000	0	100,486	100,486	0
05/17/16 S	321,087.3300	1.0000	0	321,087 *	321,087	0
05/19/16 S	46,974.0100	1.0000	0	46,974	46,974	0
05/23/16 S	132,130.2000	1.0000	0	132,130	132,130	0
05/24/16 S	43,489.0500	1.0000	0	43,489	43,489	0
05/25/16 S	58,871.0500	1.0000	0	58,871	58,871	0
05/26/16 S	199,027.4200	1.0000	0	199,027 *	199,027	0
05/27/16 S	348,044.7900	1.0000	0	348,045 *	348,045	0
05/31/16 S	194,805.8200	1.0000	0	194,806 *	194,806	0



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DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
06/01/16 S	15,515.4800	1.0000	0	15,515	15,515	0
06/02/16 S	116,919.5500	1.0000	0	116,920	116,920	0
06/03/16 S	81,017.0000	1.0000	0	81,017	81,017	0
06/06/16 S	97,951.8600	1.0000	0	97,952	97,952	0
06/07/16 S	74,421.4200	1.0000	0	74,421	74,421	0
06/08/16 S	306.3700	1.0000	0	306	306	0
06/08/16 S	86,587.7500	1.0000	0	86,588	86,588	0
06/09/16 S	115,422.3500	1.0000	0	115,422	115,422	0
06/10/16 S	60,322.4800	1.0000	0	60,322	60,322	0
06/13/16 S	132,624.6800	1.0000	0	132,625	132,625	0
06/14/16 S	288,349.8400	1.0000	0	288,350 *	288,350	0
06/15/16 S	89,871.7100	1.0000	0	89,872	89,872	0
06/16/16 S	80,432.4000	1.0000	0	80,432	80,432	0
06/17/16 S	91,271.7100	1.0000	0	91,272	91,272	0
06/20/16 S	43,552.6800	1.0000	0	43,553	43,553	0
06/21/16 S	77,520.3200	1.0000	0	77,520	77,520	0
06/22/16 S	75,260.2900	1.0000	0	75,260	75,260	0
06/24/16 S	78,177.9400	1.0000	0	78,178	78,178	0
06/27/16 S	111,949.5600	1.0000	0	111,950	111,950	0
06/28/16 S	73,182.2200	1.0000	0	73,182	73,182	0
06/29/16 S	445,958.9800	1.0000	0	445,959 *	445,959	0
06/30/16 S	93,322.0000	1.0000	0	93,322	93,322	0
07/01/16 S	25,895.7400	1.0000	0	25,896	25,896	0
07/05/16 S	100,627.1300	1.0000	0	100,627	100,627	0
07/06/16 S	132,113.2800	1.0000	0	132,113	132,113	0
07/07/16 S	143,092.6900	1.0000	0	143,093	143,093	0
07/08/16 S	77,030.3400	1.0000	0	77,030	77,030	0
07/11/16 S	221.8900	1.0000	0	222	222	0
07/11/16 S	79,035.1700	1.0000	0	79,035	79,035	0
07/12/16 S	79,532.4600	1.0000	0	79,532	79,532	0
07/13/16 S	107,118.4700	1.0000	0	107,118	107,118	0
07/14/16 S	101,461.5500	1.0000	0	101,462	101,462	0
07/15/16 S	68,852.8900	1.0000	0	68,853	68,853	0
07/18/16 S	305,749.4400	1.0000	0	305,749 *	305,749	0
07/19/16 S	85,580.2700	1.0000	0	85,580	85,580	0
07/25/16 S	87,476.1100	1.0000	0	87,476	87,476	0
07/26/16 S	90,092.3000	1.0000	0	90,092	90,092	0
07/27/16 S	98,827.2800	1.0000	0	98,827	98,827	0
07/28/16 S	418,905.9600	1.0000	0	418,906 *	418,906	0
07/29/16 S	78,629.8300	1.0000	0	78,630	78,630	0
08/01/16 S	100,400.7800	1.0000	0	100,401	100,401	0
08/02/16 S	44,372.4600	1.0000	0	44,372	44,372	0
08/03/16 S	82,995.2400	1.0000	0	82,995	82,995	0
08/04/16 S	87,738.5800	1.0000	0	87,739	87,739	0
08/05/16 S	83,456.2700	1.0000	0	83,456	83,456	0
08/08/16 S	197.0300	1.0000	0	197	197	0



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DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
08/08/16 S	101,754.6100	1.0000	0	101,755	101,755	0
08/09/16 S	90,801.3200	1.0000	0	90,801	90,801	0
08/10/16 S	121,947.3500	1.0000	0	121,947	121,947	0
08/11/16 S	95,738.2600	1.0000	0	95,738	95,738	0
08/12/16 S	42,377.4100	1.0000	0	42,377	42,377	0
08/15/16 S	99,256.6000	1.0000	0	99,257	99,257	0
08/16/16 S	83,376.7800	1.0000	0	83,377	83,377	0
08/19/16 S	7,845.7100	1.0000	0	7,846	7,846	0
08/22/16 S	108,266.1400	1.0000	0	108,266	108,266	0
08/23/16 S	70,441.3200	1.0000	0	70,441	70,441	0
08/24/16 S	114,379.5100	1.0000	0	114,380	114,380	0
08/25/16 S	27,841.8200	1.0000	0	27,842	27,842	0
08/26/16 S	84,284.7200	1.0000	0	84,285	84,285	0
08/29/16 S	97,009.5800	1.0000	0	97,010	97,010	0
08/30/16 S	406,772.1000	1.0000	0	406,772 *	406,772	0
08/31/16 S	105,752.4100	1.0000	0	105,752	105,752	0
09/01/16 S	157,777.5800	1.0000	0	157,778	157,778	0
09/02/16 S	102,744.2200	1.0000	0	102,744	102,744	0
09/06/16 S	90,098.6300	1.0000	0	90,099	90,099	0
09/09/16 S	161.5200	1.0000	0	162	162	0
09/19/16 S	113,071.8800	1.0000	0	113,072	113,072	0
09/20/16 S	95,606.2900	1.0000	0	95,606	95,606	0
09/22/16 S	89,543.1600	1.0000	0	89,543	89,543	0
09/23/16 S	82,513.3400	1.0000	0	82,513	82,513	0
09/26/16 S	117,730.7700	1.0000	0	117,731	117,731	0
09/27/16 S	75,340.6800	1.0000	0	75,341	75,341	0
09/28/16 S	129,431.3400	1.0000	0	129,431	129,431	0
09/29/16 S	423,671.9600	1.0000	0	423,672 *	423,672	0
09/30/16 S	91,532.2700	1.0000	0	91,532	91,532	0
10/03/16 S	108,608.6300	1.0000	0	108,609	108,609	0
10/04/16 S	77,823.3100	1.0000	0	77,823	77,823	0
10/05/16 S	261,412.6300	1.0000	0	261,413 *	261,413	0
10/06/16 S	32,032.5700	1.0000	0	32,033	32,033	0
10/07/16 S	4,679.0600	1.0000	0	4,679	4,679	0
10/11/16 S	343,450.7500	1.0000	0	343,451 *	343,451	0
10/11/16 S	216.0300	1.0000	0	216	216	0
10/12/16 S	113,570.4400	1.0000	0	113,570	113,570	0
10/13/16 S	2,306.2500	1.0000	0	2,306	2,306	0
10/14/16 S	93,033.7700	1.0000	0	93,034	93,034	0
10/14/16 S	36,802.8000	1.0000	0	36,803	36,803	0
10/17/16 S	98,117.3400	1.0000	0	98,117	98,117	0
10/18/16 S	94,893.6800	1.0000	0	94,894	94,894	0
10/19/16 S	107,722.2300	1.0000	0	107,722	107,722	0
10/21/16 S	73,394.1400	1.0000	0	73,394	73,394	0
10/24/16 S	107,620.0400	1.0000	0	107,620	107,620	0
10/26/16 S	98,315.9700	1.0000	0	98,316	98,316	0



## REPORTABLE TRANSACTIONS WORKSHEET

1/1/16 THROUGH 12/31/16

ACCOUNT 7036739

RAILROAD - SSB PLAN CVR SHP C&amp;S

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DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
10/27/16 S	68,503.8500	1.0000	0	68,504	68,504	0
10/28/16 S	364,977.2000	1.0000	0	364,977 *	364,977	0
10/31/16 S	119,459.2000	1.0000	0	119,459	119,459	0
11/01/16 S	97,533.3000	1.0000	0	97,533	97,533	0
11/02/16 S	124,832.6900	1.0000	0	124,833	124,833	0
11/03/16 S	82,246.1500	1.0000	0	82,246	82,246	0
11/04/16 S	64,054.9500	1.0000	0	64,055	64,055	0
11/07/16 S	97,181.3700	1.0000	0	97,181	97,181	0
11/08/16 S	246.8800	1.0000	0	247	247	0
11/08/16 S	55,268.7200	1.0000	0	55,269	55,269	0
11/09/16 S	175,803.9400	1.0000	0	175,804 *	175,804	0
11/10/16 S	79,798.3600	1.0000	0	79,798	79,798	0
11/14/16 S	94,939.3000	1.0000	0	94,939	94,939	0
11/15/16 S	90,045.7300	1.0000	0	90,046	90,046	0
11/16/16 S	107,882.3800	1.0000	0	107,882	107,882	0
11/18/16 S	95,873.8600	1.0000	0	95,874	95,874	0
11/21/16 S	125,715.4000	1.0000	0	125,715	125,715	0
11/22/16 S	187,908.1400	1.0000	0	187,908 *	187,908	0
11/23/16 S	105,000.5600	1.0000	0	105,001	105,001	0
11/28/16 S	77,333.1700	1.0000	0	77,333	77,333	0
11/29/16 S	387,603.9800	1.0000	0	387,604 *	387,604	0
12/01/16 S	93,909.9600	1.0000	0	93,910	93,910	0
12/02/16 S	97,049.2500	1.0000	0	97,049	97,049	0
12/05/16 S	112,869.9600	1.0000	0	112,870	112,870	0
12/07/16 S	149,118.0300	1.0000	0	149,118	149,118	0
12/08/16 S	212.7400	1.0000	0	213	213	0
12/08/16 S	93,334.0900	1.0000	0	93,334	93,334	0
12/09/16 S	71,944.6400	1.0000	0	71,945	71,945	0
12/12/16 S	86,709.5700	1.0000	0	86,710	86,710	0
12/13/16 S	79,527.8900	1.0000	0	79,528	79,528	0
12/14/16 S	109,032.2700	1.0000	0	109,032	109,032	0
12/15/16 S	267,876.1300	1.0000	0	267,876 *	267,876	0
12/19/16 S	118,165.3800	1.0000	0	118,165	118,165	0
12/20/16 S	78,990.0200	1.0000	0	78,990	78,990	0
12/21/16 S	34,500.0000	1.0000	0	34,500	34,500	0
12/23/16 S	122,010.9400	1.0000	0	122,011	122,011	0
12/27/16 S	37,918.3900	1.0000	0	37,918	37,918	0
12/29/16 S	238,442.6600	1.0000	0	238,443 *	238,443	0
12/30/16 S	112,114.8100	1.0000	0	112,115	112,115	0
SUB-TOTAL OF SALES # 226				25,209,567	25,209,567	0
SUB-TOTAL				47,986,737	47,986,737	0





REPORTABLE TRANSACTIONS WORKSHEET

1/1/16 THROUGH 12/31/16

ACCOUNT 7036739

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RAILROAD - SSB PLAN CVR SHP C&S

DATE BOUGHT/SOLD	SHARES PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	ERISA COST	ERISA COST GAIN/LOSS
			0	47,986,737	47,986,737	0

GRAND TOTAL

CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE

\*\*\* NO TRANSACTIONS QUALIFIED FOR THIS SECTION \*\*\*

FOOTNOTES

\* = SINGLE TRANSACTION IS 5% REPORTABLE  
B = BUY TRANSACTION  
S = SELL TRANSACTION  
R = REINVESTMENT TRANSACTION



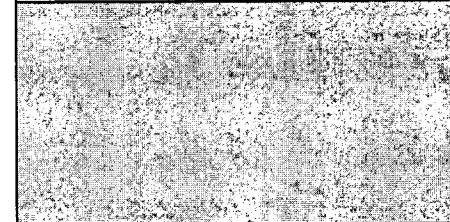
Form **5500**Department of the Treasury  
Internal Revenue ServiceDepartment of Labor  
Employee Benefits Security  
Administration

Pension Benefit Guaranty Corporation

**Annual Return/Report of Employee Benefit Plan****This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).****► Complete all entries in accordance with the instructions to the Form 5500.**OMB Nos. 1210-0110  
1210-0089**2016****This Form Is Open to  
Public Inspection****Part I Annual Report Identification Information****For calendar plan year 2016 or fiscal plan year beginning****and ending**


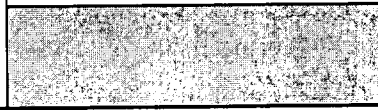
- A** This return/report is for: (1) ☒ a multiemployer plan (3) ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions)
- (2) ☐ a single-employer plan (4) ☐ a DFE (specify) \_\_\_\_\_
- B** This return/report is: (1) ☐ the first return/report (3) ☐ the final return/report
- (2) ☐ an amended return/report (4) ☐ a short plan year return/report (less than 12 months)
- C** If the plan is a collectively-bargained plan, check here ..... ☒
- D** Check box if filing under: ☒ Form 5558 ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

**Part II Basic Plan Information — enter all requested information**

<b>1 a</b> Name of plan <b>THE SUPPLEMENTAL SICKNESS BENEFIT PLAN COVERING RAILROAD SHOP CRAFT &amp; SIGNAL EMPLOYEES</b>	<b>1b</b> Three-digit plan number (PN).... <b>508</b>
<b>2 a</b> Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)	<b>1c</b> Effective date of plan <b>07/01/1973</b> <b>2b</b> Employer Identification Number (EIN) <b>52-1036399</b> <b>2c</b> Plan Sponsor's telephone number <b>571-336-7600</b> <b>2d</b> Business code (see instructions) <b>482110</b>
<b>NATIONAL CARRIERS' CONFERENCE COMMITTEE 251 - 18TH STREET, SOUTH, SUITE 750 ARLINGTON, VA 22202</b>	

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

<b>SIGN HERE</b>  Signature of plan administrator	Date <b>10/11/17</b>	<b>A. K. GRADIA</b> Enter name of individual signing as plan administrator
<b>SIGN HERE</b> Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
<b>SIGN HERE</b> Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)		Preparer's telephone number 

**For Paperwork Reduction Act Notice, see the instructions for Form 5500.**Form **5500** (2016)  
v.160205

<b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor		<b>3b</b> Administrator's EIN
<b>3c</b> Administrator's telephone number		<div style="background-color: #cccccc; height: 40px; width: 100%;"></div>

<b>4</b> If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: <b>a</b> Sponsor's name	<b>4b</b> EIN  <b>4c</b> PN
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<b>5</b> Total number of participants at the beginning of the plan year .....	<b>5</b>	46788
<b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).		
<b>a(1)</b> Total number of active participants at the beginning of the plan year .....	<b>6a(1)</b>	46788
<b>a(2)</b> Total number of active participants at the end of the plan year .....	<b>6a(2)</b>	43714
<b>b</b> Retired or separated participants receiving benefits .....	<b>6b</b>	
<b>c</b> Other retired or separated participants entitled to future benefits .....	<b>6c</b>	
<b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> .....	<b>6d</b>	43714
<b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits .....	<b>6e</b>	
<b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....	<b>6f</b>	43714
<b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....	<b>6g</b>	
<b>h</b> Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested .....	<b>6h</b>	
<b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) .....	<b>7</b>	33

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:


**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

4F									

<b>9a</b> Plan funding arrangement (check all that apply)	<b>9b</b> Plan benefit arrangement (check all that apply)
<b>(1)</b> <input type="checkbox"/> Insurance	<b>(1)</b> <input type="checkbox"/> Insurance
<b>(2)</b> <input type="checkbox"/> Code section 412(e)(3) insurance contracts	<b>(2)</b> <input type="checkbox"/> Code section 412(e)(3) insurance contracts
<b>(3)</b> <input checked="" type="checkbox"/> Trust	<b>(3)</b> <input checked="" type="checkbox"/> Trust
<b>(4)</b> <input type="checkbox"/> General assets of the sponsor	<b>(4)</b> <input type="checkbox"/> General assets of the sponsor

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

<b>a Pension Schedules</b>	<b>b General Schedules</b>
<b>(1)</b> <input type="checkbox"/> <b>R</b> (Retirement Plan Information)	<b>(1)</b> <input checked="" type="checkbox"/> <b>H</b> (Financial Information)
<b>(2)</b> <input type="checkbox"/> <b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) – signed by the plan actuary	<b>(2)</b> <input type="checkbox"/> <b>I</b> (Financial Information – Small Plan)
<b>(3)</b> <input type="checkbox"/> <b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) – signed by the plan actuary	<b>(3)</b> <input type="checkbox"/> <b>A</b> (Insurance Information)
	<b>(4)</b> <input checked="" type="checkbox"/> <b>C</b> (Service Provider Information)
	<b>(5)</b> <input type="checkbox"/> <b>D</b> (DFE/Participating Plan Information)
	<b>(6)</b> <input type="checkbox"/> <b>G</b> (Financial Transaction Schedules)

**Part III****Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☒ No

If 'Yes' is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2) .. ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_